INTER-AMERICAN INSTITUTE FOR GLOBAL CHANGE RESEARCH (IAI)

EC XIII - CoP VIII

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INTER-AMERICAN INSTITUTE FOR GLOBAL CHANGE RESEARCH DIRECTORATE

Report of the Director to the EC and the CoP 13th EC and 8th CoP Meetings Panama City, Panama

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In this report, an analysis of the IAI's accomplished or on-going activities, new initiatives, and related Directorate's actions is made for the period of July 2000-June 2001, with emphasis on actions accomplished during the period of December 2000-June 2001, following the 12th EC meeting held in San José de Costa Rica (December 4-5, 2000).

More information and analysis of some items briefly reported here are provided in other documents to be distributed to the EC and the CoP representatives and/or will be presented by other IAI staff member or EC representative during the meetings. This is particularly the case of the following items:

- *IAI Core Budget for FY 2001/2002;*
- Status of the implementation of the CRN Program;
- Training and Education Activities;
- Working Groups' and Task Forces' Activities.

June, 2001

I. INSTITUTIONAL ASPECTS

A. IAI Memberships

No new nation deposited the ratification instrument at the Organization of American States (OAS) during the period covered in this report (table 1).

Table 1: Countries that ratified and/or adhered to IAI Agreement up to June 2000.

| SIGNATORY COUNTRIES | DATE (m/d/y) | RA/AC/AD (m/d/y) | DEPOSIT (m/d/y) | INSTRUMEN T |
|------------------------|-----------------|---------------------|--------------------|----------------|
| ARGENTINA | 05/13/92 | 11/03/93 | 02/24/94 | RA |
| BOLIVIA | 05/13/92 | / / | / / | |
| BRAZIL | 05/13/92 | 05/07/93 | 06/23/93 | RA |
| CANADA | 05/13/92 | / / | 06/15/93 | AC |
| CHILE | 05/13/92 | 09/28/94 | 10/14/94 | RA |
| COLOMBIA | 05/13/92 | 08/13/97 | 09/24/97 | RA |
| COSTA RICA | 05/13/92 | 05/13/94 | 08/26/94 | RA |
| CUBA | 05/13/92 | / / | 01/05/95 | RA |
| ECUADOR | 05/13/92 | 10/16/97 | 11/24/97 | RA |
| GUATEMALA | / / | 10/13/97 | 01/05/98 | AD |
| JAMAICA | / / | 07/31/97 | 09/10/97 | AC |
| MEXICO | 05/13/92 | 06/30/92 | 04/27/93 | RA |
| PANAMA | 05/13/92 | 12/23/93 | 01//11/94 | RA |
| PARGUAY | 05/13/92 | 08/27/96 | 10/08/96 | RA |
| PERU | 05/13/92 | 12/27/94 | 02/17/95 | RA |
| DOMINICAN REPUBLIC | 05/13/92 | 07/29/97 | 09/04/97 | RA |
| UNITED STATES | 05/13/92 | / / | 01/12/93 | AC |
| URUGUAY | 05/13/92 | 08/10/93 | 08/10/93 | RA |
| VENEZUELA | / / | 03/06/96 | 06/14/96 | AD |

(RA = Ratification / AC = Acceptance / AD = Adhesion) Source: OAS – June 2001

B. Ratification Status of the CoP-VI, Resolution #7

The Resolution # 7, approved at the CoP-VI, was signed by its President (Gordon McBean) in January 2000 and sent to all member countries on the dates shown in table 2.

Table2: Dates when original copies of Resolution # 7 were sent to member countries and the names of the addressees.

| Country | Correspondence sent to: (mm.dd.yy) | Copy sent to: (mm.dd.yy) |
|---------|---|--|
| Brazil | 1) Ronaldo Mota Sardenberg (02.17.00) | 1) Marcio N. Barbosa (02.17.00) 2) Antonio MacDowell (02.17.00) |
| Canada | 1) David Drake (02.17.00) 2) Richard Ballhorn (02.17.00) | 1) Gordon McBean (02.17.00) |
| USA | 1) Paul Filmer (02.17.00) | |
| Jamaica | 1) Antony Chen (02.18.00) | |
| Cuba | 1) Rosa Elena Simeón Negrín (02.17.00) | 1) Barbara Garea (02.17.00) |

| Mexico | 1) Enrique Provencio (02.17.00) | 1) Carlos Gay (02.17.00) |
|-----------|---|--|
| Colombia | 1) Guillermo Fernandez de S. (02.17.00) | 1) Pablo Leyva (02.17.00) |
| Dom. Rep. | 1) Zoila González de G. (02.18.00) | |
| Peru | 1) Fernando Trazegnies G. (02.29.00) | 1) Pablo Lagos (03.01.00) |
| Argentina | 1) Dante Mario Caputo (02.29.00) | 1) Carlos Ereño (03.01.00) |
| Uruguay | 1) Didier Operti (03.01.00) | 1) Raul Michelini (03.01.00) |
| Guatemala | 1) Gabriel Orellana Rojas (03.14.00) | 1) Herbert Meneses (03.14.00) |
| Panama | 1) Flavio Méndez (03.14.00) | 1) Ricardo Anguizola (03.28.00) 2) Maria Donoso (03.28.00) |
| C. Rica | 1) Elizabeth Odio Benito (03.13.00) | 1) Roberto Rojas L. (03.13.00) 2) Eladio Zárate (03.10.00) |
| Venezuela | 1) Jesús Arnaldo Perez (03.10.00) | 1) David Pérez H. (03.10.00) 2) Manuel Martínez (03.10.00) 3) Edwin Rodriguez (03.10.00) |
| Paraguay | 1) Ruben Garcia Gimenez (03.10.00) | |
| Ecuador | 1) Fernando Ortiz C. (03.10.00) | |
| Chile | 1) Mauricio Sarrazín A. (03.10.00) | |

The Director notified the Depository (OAS) of the Resolution approved by the CoP on February 29, 2000.

The Department of International Law of OAS (Mr. Edwin Choy) informed the Directorate that no documentation ratifying the amendment had been received from any member countries at OAS up to June 1st, 2001.

C. Missions to and Communications with the IAI Member Countries

The Director visited and/or contacted several IAI member countries during this period in order to:
a) revitalize the participation of some countries that had shown decrease in their institutional linkage to IAI during the past years, b) request the delayed payments of due voluntary contributions, c) develop working agreements and/or obtain additional support for programmatic IAI activities. Because of the very diverse nature of IAI representations in each country, the approaches varied in each case:

Table 3 summarizes the main activities and results.

Table 3: IAI countries visited/contacted by the IAI Director, actions, and results.

| Country | Objective(s) | Actions | Results |
|---------|---|---|--|
| Mexico | To strengthen its institutional participation; To advance on payments of due voluntary contributions; To develop a Joint Funding and Resource Agreement (JFRA). | Contact with high Government officials (José Sarukan) and scientists elosely linked with the IAI (Ruben Lara) | Pending visit of IAI authorities to Mexico to meet with the SEMARNAP Secretary and the President of CONACYT; A JFRA model sent to Mexico. |

| Venezuela | To strengthen its institutional participation and implement the MOU already signed in September 1999. • To meet with the new IAI | Contact with the President of CONICIT (Danilo Lopez). Meeting with | The CONICIT President is studying ways to implement a JFRA similar to the one signed by Argentina in 1998. A briefing on IAI activities |
|-------------------|--|--|--|
| Canada | representative (Denis Everell); • To search for increased support of Canada to the IAI program/projects budget. | Michel Bêland (Environment Canada, Montreal) and a briefing on IAI activities and needs. | and needs to be made to D. Everell. |
| USA (NOAA/OGP) | To expand the funding basis of the next IAI announcement of opportunity; To obtain support to conduct a synthesis analysis of results emerging from climate variability - ISP projects. | Meeting with Lisa Farrow, Jonathan Pundsack, and Jim Buizer. | NOAA/OGP to consider a support to enlarge the funding basis of the next IAI announcement of opportunity. |
| Chile | To revitalize its participation at institutional level and update due voluntary contributions. | Institutional communications sent to various CONICIT officials. | No results yet. |
| Paraguay | To delineate mechanisms allowing the payment of due voluntary contributions. | Work with the University of Asunción to have part of the total due payment made to the IAI. | The University of Asunción has authorized the School of Science to proceed with the payments (2 years) of the Paraguay's voluntary contribution (June 2001). |

The IAI Director took advantage of the his participation in the <u>Meeting of Environment Ministers</u> of the Americas held in Montreal (March 29-30, 2001), to have brief meetings with several high level authorities of Environmental and National Resources Divisions of various countries. They were:

- Ministry of Environment of Paraguay, Juan F. Facetti
- Secretary of Environment and Natural Resources of the Dominican Republic, Frank Moya Pons,
- Senior Assistant to the Ministry of Environment and Natural Resources of El Salvador, Edgar Hasbún,
- Executive Secretary of the National Commission of Protected Areas of Guatemala, Juan J. Narciso Chua.

D. Missions to International Agencies

- Inter-American Development Bank (IDB)
- a) A meeting between the IAI EC Chair, the IAI Director, and the IDB President, Mr. Enrique Iglesias, is scheduled for June 27 (Washington D.C.).

b) Paul Filmer and the IAI Director met with Dr. Walter Arensberg (Chief of the Environment Division) on April 25. The IDB is interested in having a series of internal seminars on global environmental change issues for its staff with the participation of IAI scientists and authorities.

E. IAI Visibility in the Region

A series of activities already accomplished or in progress is summarized in this section. These activities, as a whole, are increasing the IAI visibility. (Please see table 4). Some of them are further described in this report or in separate documents.

Table 4

| Level | Meeting, Conference, Program/Project, Publications | IAI Participation |
|---------------|--|---|
| Institutional | Meeting of Environment Ministers of the Americas, Montreal, Canada (March 29-30, 2001) | Observer Presentation to the plenary on the IAI's goals and present accomplishments. |
| Public | • Encyclopedia of Global Environmental Change, Vol. 5 "Responding to Global Environmental Change". John Wiley & Sons. | • "IAI (Inter-America Institute for Global Change)". Acronyms (300 words) |
| Scientific | • International Group Funding Agencies Meeting, Zurich (Oct. 2000). | "The IAI, regional network for global change research in the Americas. |
| | • Symposium on "Science and Technology in Latin America, Financing and International Cooperation." Am. Assoc. Adv. Science meeting (Feb. 17) | Conference: "IAI, an existing mechanism to promote regional and multidisciplinary collaboration in the Americas". |
| | The Western Hemisphere Knowledge Partnership (WHKP) Initiative: Public Session at the Spring Meeting of the AGU (May 31, 2001) | Conference: The IAI context and WHKP initiative IAI nominated as part of the core group of institutions leading this initiative. |
| | Open Conference of the IGBP, Amsterdam (July 2001) | Papers and Posters presentations by the IAI PIs and Co-PIs of ISP, CRN, and PESCA programs. |
| | • 3 rd Open Meeting of the Human Dimensions of Global Environmental Change, Rio de Janeiro, Brazil (Oct. 2001) | Co-organizer and co-sponsor of the meeting. |
| | Climate Variability and Agriculture Program (CLIMAG) | • The IAI is part of the CLIMAG Steering Group. |
| | • Regional Workshop on Sustainability Science: Brazil (2 nd half 2001 or 1 st half 2002) | Convener with ECLAC, UNESCO, and the Kennedy School of Government of Harvard University. |
| | • Publications in peer-review journals acknowled IAI Annual Report 1999/2000) | edging the IAI support (as listed in the |

II. PROGRAMMATIC DEVELOPMENT

A. Initial Science Program (ISP)

All projects started under rounds I and II of the ISP are completed. Four out of sixteen projects composing its round III are also completed, the final reports of the remaining projects are supposed to be sent to the IAI still this year. The table of annex 1 summarizes the current status of the program development.

Table 5: Status and Funding of the ISP

| Round | # of awards | IAI funding | Status |
|-------|-------------|-------------|----------------------|
| I | 11 | 1,239,585 | completed |
| II | 12 | 999,103 | completed |
| III | 16 | 1,599,700 | 4 projects completed |
| Total | 39 | 3,838,388 | - |

A preliminary analysis of the scientific productivity of the program - as measured by the scientific publications, support for training and education, and network building - as well as some of the social and policy implications of the emerging information, was made by Brad Wilcox and published on the IAI Newsletter #23 (May-Oct. 2000). No further work has been done since then.

However, the very important investment made by the IAI in the ISP Program demands a <u>synthesis work</u> of the program upon its completion in 2001. That is why the IAI SAC and the IAI Directorate have agreed to recommend that the IAI hold a <u>Conference to capture and revise the accomplishments of the ISP Program</u> in 2002. At this Conference, each project should present a synthesis of the work done, and the <u>final product would be a book</u> (or a series of books) edited by the IAI. (Further information on these initiatives will be given at the EC/CoP meetings by the IAI SAC Chair).

In the meantime, the final reports of the ISP I and II have been saved in a CD and will be distributed to the IAI representatives at the upcoming EC and CoP meetings.

B. Collaborative Research Network (CRN) Program

The present status of the CRN Program implementation, both in terms of its scientific development and administrative management, is reported in the document 9.ECXIII/CoPVIII/DID/English and will be presented by Reynaldo Victoria and Eduardo Banús at the EC/CoP meetings.

C. Program to Expand Scientific Capacity in the Americas (PESCA)

None of the PESCA projects has finished, although all of them (but one) are expected to finish in 2001. Tables of annex 2 summarize the current status of the program. Some difficulties faced because of the delay of the PIs in signing the contracts and/or in the disbursement of funds to the Co-PIs have to be noticed and need to be considered when this program is evaluated.

D. Some Statistics of the IAI Programs

Below you will find some statistics of the IAI programs in terms of number of scientists involved, students trained, and funding support.

1) Scientists and countries involved

Table 6 summarizes the number of scientists who participated or are participating in IAI programs, either as PIs or Co-PIs. Column A indicates the number of PIs and Co-PIs involved in all IAI projects, with no consideration whether the same investigator is counted as PI or Co-PI more than once. Column B shows the number of scientists participating in IAI programs, each one counted only once.

Table 6

| | A | В |
|-------|-----|-----|
| PI | 100 | 73 |
| Co-PI | 526 | 386 |

Table 7 shows the same numbers of scientists (in both cases) but distributed by countries.

Table 7: PI's and Co-PI's distribution by countries.

| | A | | | | В | | | |
|--------------|-----|------|-------|----|------|-------|--|--|
| COUNTRIES | PI | CoPI | Total | PI | CoPI | Total | | |
| Argentina | 13 | 72 | 85 | 9 | 49 | 58 | | |
| Brasil | 11 | 80 | 91 | 6 | 53 | 59 | | |
| Canada | 10 | 27 | 37 | 6 | 24 | 30 | | |
| Chile | 4 | 40 | 44 | 4 | 34 | 38 | | |
| Colombia | 0 | 15 | 15 | 0 | 10 | 10 | | |
| Costa Rica | 0 | 17 | 17 | 0 | 13 | 13 | | |
| Cuba | 2 | 6 | 8 | 1 | 6 | 7 | | |
| Ecuador | 2 | 20 | 22 | 1 | 14 | 15 | | |
| Jamaica | 0 | 6 | 6 | 0 | 3 | 3 | | |
| Mexico | 11 | 47 | 58 | 6 | 38 | 44 | | |
| Panama | 1 | 17 | 18 | 1 | 12 | 13 | | |
| Paraguay | 0 | 4 | 4 | 0 | 4 | 4 | | |
| Peru | 4 | 17 | 21 | 3 | 11 | 14 | | |
| Uruguay | 3 | 19 | 22 | 3 | 14 | 17 | | |
| USA | 37 | 115 | 152 | 32 | 82 | 114 | | |
| Venezuela | 2 | 11 | 13 | 1 | 8 | 9 | | |
| Japan | 0 | 1 | 1 | 0 | 1 | 1 | | |
| South Africa | 0 | 1 | 1 | 0 | 1 | 1 | | |
| Germany | 0 | 1 | 1 | 0 | 1 | 1 | | |
| France | 0 | 1 | 1 | 0 | 1 | 1 | | |
| Bolivia | 0 | 8 | 8 | 0 | 8 | 8 | | |
| Australia | 0 | 1 | 1 | 0 | 1 | 1 | | |
| TOTAL | 100 | 526 | 626 | 73 | 386 | 459 | | |

A: The same investigator counted any time he (she) participates in an IAI program.

B: Each investigator is counted only once in his (her) participation in IAI programs.

2) Training

Support to students has been strong in the ISP and it is also important in the CRN Program. Table 8 shows that at least 92 students have been actively involved in research activities of the ISP. Graduate level training (MSc, PhD), has been emphasized as shown by the number of graduate students. Information obtained from seven out of fourteen CRN projects shows that the trend continues to be the same.

Table 8: Student participation in ISP and CRN Programs

| Level | ISP | CRN * | Training Areas |
|-------|-----|-------|---------------------------|
| BSc | 22 | 7 | Themes of the IAI Science |
| MSc | 55 | 5 | Agenda |
| PhD | 15 | 17 | |

^{*} information gathered from 7 CRN projects.

3) Level of funding

Funding of IAI programs, including both direct funding and additional and/or parallel funding is given in table 9.

Table 9

| Program | Direct Fundi | Direct Funding | | allel Funding |
|---------|--------------|------------------------|----------------|--|
| Frogram | Amount | Sources | Amount | Sources |
| SG | 1,794,077 | NSF | | • |
| ISP | 3,838,388 | NSF, NASA, NOAA | (under survey) | |
| CRN | 10,446,438 | NSF, Arg. Ag., NOAA | 12,556,242 * | - Member countries- Foundations- InternationalOrganizations |
| PESCA | 273,523 | NSF | | - |

^{*} Information provided by the CRN PIs.

4) Scientific Publications

A section of the 1999/2000 Annual Report summarizes published, accepted/in press, and submitted papers totally or partially derived from IAI projects.

III. TRAINING AND EDUCATION

A detailed report on training and education activities has been prepared by Marcella Ohira Schwarz, IAI Communications and Training and Education Officer, and is presented to the EC through the document 13.ECXIII/DID/English/June 5, 2001.

Here, only some specific considerations regarding the IAI/UM Summer Institute are made. The Institute is currently in its third year of activity (detailed information on the IAI/UM Summer Institutes is available on the Web: http://www.rsmas.miami.edu/IAIUM).

1) Background:

- a) The IAI/UM Summer Institute on "Interdisciplinary Global Change Science in the Americas" was made possible through a NSF grant awarded to the University of Miami for a 3-year period. It was the result of a collaborative effort between the UM and IAI. The central goal of the Institute is to foster effective communication and collaboration between natural and social scientists from the Americas.
- b) Each year, the Institute is organized based on a regionally important global change issue with societal relevance (table 10).

Table 10: IAI/UM Summer Institute Themes

| Year | Themes | | | |
|------|---|--|--|--|
| 1 | Interactions between Seasonal to Inter-Annuals Climate | | | |
| | Variability and Human Systems | | | |
| 2 | Environmental and Social Implications of Land-use and Land- | | | |
| | cover in the Americas. | | | |
| 3 | Integrated Management of Water Resources in the Americas | | | |

c) Table 11: Countries/Students participating in the IAI/UM Summer Institute

| Countries | Year 1 | | Year 2 | | Year 3 | | |
|------------|----------------|----------------|----------------|----------------|----------------|----------------|--|
| | # applications | # participants | # applications | # participants | # applications | # participants | |
| Argentina | 13 | 3 | 10 | 3 | 12 | 2 | |
| Brazil | 13 | 3 | 14 | 3 | 19 | 3 | |
| Canada | 1 | 1 | 7 | 1 | 8 | 2 | |
| Chile | 3 | 1 | 1 | - | 3 | 1 | |
| Colombia | 1 | - | 9 | 1 | 2 | 1 | |
| Costa Rica | 3 | 1 | 1 | - | 0 | - | |
| Cuba | 6 | 1 | 5 | 1 | 1 | - | |
| Dom. Rep. | 1 | - | 1 | 1 | 0 | - | |
| Ecuador | 5 | - | 2 | - | 3 | 1 | |
| Guatemala | - | - | - | - | - | - | |
| Jamaica | 1 | 1 | 2 | 1 | 2 | 1 | |
| Mexico | 12 | 3 | 5 | 1 | 10 | 2 | |
| Panama | 1 | - | - | - | 1 | 1 | |
| Paraguay | 1 | 1 | 1 | - | 1 | 1 | |
| Peru | 2 | - | 6 | 2 | 7 | 2 | |
| Uruguay | 2 | 1 | 3 | 2 | 2 | 1 | |
| USA | 15 | 3 | 13 | 4 | 19 | 3 | |
| Venezuela | 3 | 1 | 0 | - | 1 | 1 | |
| TOTAL | 83 | 20 | 83 | 20 | 91 | 22 | |

- d) Selection criteria: The selection of candidates was conducted with the participation of representatives from the two organizing Institutions (IAI and UM/RSMAS), and the two Institute leaders. Institute participants were selected on the basis of the following criteria:
 - Academic and/or professional record;

- Demonstrated motivation, interest and qualification to pursue multidisciplinary research;
- Conceptual clarity of the application essay (Statement of interest);
- Relevance of the Institute's theme to the applicant's current research or professional activities:
- Participation in on-going or planned IAI-sponsored research;
- References:
- Geographic distribution and gender balance along with an equilibrium in the number of nature and social scientists were also an important criteria for the selection of the participants.

2) A Preliminary Evaluation

Although at the completion of the 3rd Summer Institute (August 2001) a more complete analysis and evaluation of the IAI/UM Summer Institute will be made by Otis Brown/Armando Rabuffetti/Guillermo Podestá/Marcella Ohira Schwarz, it seems now appropriate to highlight some of the main Institute's achievements.

c) Evaluation by the participants:

| Ranking | Year 1 | Year 2 |
|-----------|--------|--------|
| Excellent | 2 | 5 |
| Very Good | 17 | 13 |
| Good | 1 | - |
| Fair | - | - |
| Poor | - | - |

d) Additional Remarks:

- A steady high number of applications were received for the 3 years. The representatives from IAI and UM participating in the selection process have noted a remarkable increase in the academic/scientific/professional experience qualifications of applicants when comparing year 1 to year 2 and 3. A significant number of applications from scientists with recognizable experience in leading research and/or research administration was noticed in the third year of the Institute.
- The Institute's brought about important connections between natural and social scientists. These connections were not only between them but also between them and the Institute's leaders, the University of Miami and/or the IAI itself.
 - Two out of eleven projects awarded under the PESCA program resulted from initial project formulations conducted in the First Summer Institute.
 - Networks on "land-use land-cover change issues" including natural and social scientists from Argentina, Brazil, and the USA started as a result of the Second Summer Institute.
- It is both the SAC's and the Director's perception that the Summer Institute has been a very successful activity to advance on IAI's goals of promoting capacity building, multidisciplinary and multinational collaboration. The SAC at its fifteenth meeting in São José dos Campos strongly recommended that the IAI continues with this type of activity.

IV. ADMINISTRATIVE ISSUES

Appointment of IAI Staff

- *Financial Officer (FO):* Silvio Bianchi started to work as the IAI Financial Officer on January 1st, 2001.
- Scientific Officer (SO): (Please find below a summary up to June 15th, 2001) of the recruitment and selection process for the IAI Scientific Officer position:

| Steps | Dates | Action |
|-------------------------------|-----------------------|---|
| Announcement | Oct. 2000 - Jan. 2001 | IAI Director: Posted on Science, Nature, IAI Newsletter, and IAI homepage. Distributed through the IAI list server as well as to International Organizations and Agencies, the EC |
| | | and CoP Representatives, and the SAC members. |
| 20 applications received: | | |
| Argentina (3), Brazil (3), | February 2001 | The Advisory Committee - Margaret Leinen |
| Canada (1), Colombia (1), | | (USA), John Stewart (SAC), Luiz Bevilacqua |
| Cuba (1), England (2), | | (SAC) – received the CVs. |
| Ecuador (1), Germany (3), | | |
| Uruguay (1), USA (4) * | | |
| Definition of a short list of | May 2001 | Margaret Leinen, Luiz Bevilacqua, John Stewart, |
| 5 candidates for interviews. | | the IAI Director. |
| Personal interviews ** (5 | June 2001 | Margaret Leinen, Luiz Bevilacqua, John Stewart, |
| finalists. | | the IAI Director. |

^{*} One of the candidates (from Brazil) withdrew early May 2001.

^{**} One of the selected candidates for final interviews withdrew early June 2001, before the personal interviews.

(Annex 1)

| ISP | III PROJECT | STATUS | | |
|--|--------------------|--------------------|-----------------|----------|
| PROJECT TITLE | <u>PI</u> | PROGRESS REPORT | FINAL REPORT | STATUS |
| Training and Education in the context of the LBA Experiment. A. <u>US\$ 100,000</u> | Nobre, Carlos A. | Yes | | On going |
| Effects of Species and Functional Diversity on Ecosystem Function: A comparison between Artic Tundra and a Temperate Grasslands / Shrubland System. B. <u>US\$ 116,000</u> | Diaz, Sandra M. | Yes | | On going |
| The Impact of Accelerated Sea Level Rise on Nutrient Cycling and Productivity in Karst and Deltaic Ecosystems in the Gulf of Mexico and Caribbean Area: Ecological and Socio-Economic Implications. C. <u>US\$ 116,000</u> | Day Jr., John W. | Yes | | On going |
| Benefits of incorporating ENSO forecasts into reservoir operation and hydroelectric power distribution procedures. D. US\$ 117,000 | Waylen, Peter | Yes | | On going |
| UNAM-UCR-NCAR Tutorial on Regional Weather and Climate Modeling for Latin America. E. US\$ 27,000 | Warner, Thomas | | Yes | Ended |
| Applications of Multiple Lead- Time Climate Predictions in the Region of Central America and the Caribbean. F. US\$ 81,000 | Diaz, Henry F. | | Yes | Ended |
| Variations in Spatial and Temporal Precipitation Patterns in the Trade Convergence Region. G. <u>US\$ 103,000</u> | Donoso, Maria C. | Yes | | On going |
| Estimation of ENSO Effects on sugar cane yields in several Latin-American countries. H. <u>US\$ 30,000</u> | Utset, Angel | Yes | | On going |
| Global Change Effects on Biogeochemical and Hydrological Determinants of Structure and Function in Cerrado Ecosystems. I. <u>US\$ 116,200</u> | Franco, Augusto | Yes | | On going |
| Relationships between the Antartic Vortex Dynamics, Chemistry, Ozone Depletion and Southern Midlatitude Stratosphere and Upper | Canziani, Pablo O. | Yes | | On going |

| Troposphere. | | | | |
|------------------------------------|--------------------|-----|-----|----------|
| J. <u>US\$ 117,000</u> | | | | |
| A regional assessment of landuse | | | | |
| impact on ecosystem function and | Paruelo, José M. | Yes | | On going |
| structure in temperate areas of | | | | |
| North and South America. | | | | |
| K. <u>US\$ 116,200</u> | | | | |
| Spawning Habitat of Small, Pelagic | | | | |
| Fish in Relation to ENSO and | Checkley, David | Yes | | On going |
| Global Change. | | | | |
| L. <u>US\$ 117,000</u> | | | | |
| An International Study on the | | | | |
| Health Effects of ENSO in the | Confalonieri, U. | Yes | | On going |
| Americas. | | | | |
| M. <u>US\$ 87,300</u> | | | | |
| Earth System and Global Change | | | | |
| Education Workshops: Building | Johnson, Donald | | Yes | Ended |
| IAI Capacity with a Science and | | | | |
| Education Network. | | | | |
| N. <u>US\$ 117,000</u> | | | | |
| Comparative Assessment of | | | | |
| Agricultural Uses of ENSO-Based | Jones, James W. | | Yes | Ended |
| Climate Forecasts in Argentina, | | | | |
| Mexico and Costa Rica. | | | | |
| <u>US\$ 117,000</u> | | | | |
| Natural and Anthropogenic | | | | |
| Controla on the Hydrology and | Llerena, Carlos A. | Yes | | On going |
| Biogeochemistry of a Meso-Scale | | | | |
| Andean Amazon River Catchment: | | | | |
| Integrating Andean Systems into | | | | |
| Basinwide Investigation. | | | | |
| <u>US\$ 117,000</u> | | | | |

PROGRAM TO EXPAND SCIENTIFIC CAPACITY IN THE AMERICAS - PESCA

| TITLE | PI / COUNTRY | NEW COUNTRY | TOTAL US\$ |
|---|-----------------------------------|-------------------|---------------|
| Analyzing and understanding climate variability in the Caribbean Islands | Magaña, Victor Mexico | Jamaica | 22,000 |
| Predicting ENSO effects on sugar cane yields using a weather-generator and mechanistic crop modeling | Utset, Angel Cuba | Ecuador / Jamaica | 24,600 |
| Impact of forestry on Uruguayan grasslands: changes in land-use patterns and ecosystem functioning | Paruelo, José Argentina | Uruguay | 25,900 |
| Downscaling activities and their applications of studies of climate variability and change in South America | Nobre, Carlos Brazil | Venezuela | 15,000 |
| An inventory of Disasters in Chile, ENSO and non-ENSO related: A La Red-Chile Project | Franco, Eduardo Peru | Chile | 30,000 |
| Efectos de los cambios globales sobre la diversidad de la vegetación en ecosistemas insulares y continentales | Silva, Juan Venezuela | Cuba | 28,000 |
| When oceans conspire: Examining the effect of concurrent SST Anomalies in the Tropical Atlantic and Pacific on Caribbean Rainfall | Cornejo, Pilar Ecuador | Jamaica | 25,000 |
| Characterization of stratospheric and upper tropospheric aerosols over Central and South America | Canziani, Pablo Argentina | Cuba | 29,923 |
| Estudios dendroncronológicos de eventos El Niño y otras variaciones climáticas | Luckman, Brian Canada | Peru | 26,600 |
| Mercury in Andean Amazon river catchments – MAARC Project | McClain, Michael United States | Ecuador / Canada | 30,000 |
| Aplicación de modelos numéricos de alta resolución en la simulación de la circulación atmosférica a escala local y regional en areas del Caribe | Magaña, Victor Mexico | Cuba | 16,500 |
| | | TOTAL | US\$ 273,523 |

PROGRAM TO EXPAND SCIENTIFIC CAPACITY IN THE AMERICAS - PESCA

| TITLE | PI / COUNTRY | NEW COUNTRY | DATE OF CONTRACT SIGNED BY PI | DATE THE CONTRACT WAS RECEIVED | DATE OF PAYMENT |
|---|--------------------------------------|----------------------|-------------------------------------|---|--------------------------|
| Analyzing and understanding climate variability in the Caribbean Islands | Magaña, Victor Mexico | Jamaica | 12/Jul/00 | 19/Jul/2000 | 09/Aug/00 US\$ 11,000 |
| Predicting ENSO effects on sugar cane yields using a weather- generator and mechanistic crop modeling | Utset, Angel Cuba | Ecuador / Jamaica | 13/Jul/00 | 22/Sep/00 | In process |
| Impact of forestry on Uruguayan grasslands: changes in land- use patterns and ecosystem functioning | Paruelo, José Argentina | Uruguay | 15/Jun/00 | 29/Jun/00 | 07/Jul/00 |
| Downscaling activities and their applications of studies of climate variability and change in South America | Nobre, Carlos Brasil | Venezuela | 09/Aug/00 | 10/Aug/00 | 10/Aug/00 |
| An inventory of Disasters in Chile, ENSO and non-ENSO related: A La Red-Chile Project | Franco, Eduardo Peru | Chile | 11/Sep/00 | 22/Sep/00 | 22/Sep/00 |
| Efectos de los cambios globales sobre la diversidad de la vegetación en ecosistemas insulares y continentales | Silva, Juan Venezuela | Cuba | 13/Jul/00 | 19/Jul/00 | 09/Aug/00 US\$ 14,000 |
| When oceans conspire: Examining the effect of concurrent SST Anomalies in the Tropical Atlantic and Pacific on Caribbean Rainfall | Cornejo, Pilar Ecuador | Jamaica | 28/Aug/00 | 28/Aug/00 | 28/Aug/00 |
| Characterization of stratospheric and upper tropospheric aerosols over Central and South America | Canziani, Pablo Argentina | Cuba | 16/Jul/00 | 28/Aug/00 | 28/Aug/00 |
| Estudios dendroncronológicos de eventos El Niño y otras variaciones climáticas | Luckman, Brian Canada | Peru | 26/Jun/00 | 07/Jul/00 | 07/Jul/00 |
| Mercury in Andean Amazon river catchments – MAARC Project | McClain, Michael United States | Ecuador / Canada | 15/Jun/00 | 23/Jun/00 | 07/Jul/00 |
| Aplicación de modelos numéricos de alta resolución en la simulación de la circulación atmosférica a escala local y regional en areas del Caribe | Magaña, Victor Mexico | Cuba | 12/Jul/00 | 19/Jul/00 | 09/Aug/00 US\$ 8,250 |

Date PESCA Grant Approved: May 31, 2000
Date PESCA Contract Sent to PIs: June 5, 2000