



Inter-American Institute  
For Global Change Research

QUARTERLY STATUS REPORT  
YTD – MAY 31, 2008

Report for the 26<sup>th</sup> EC / 15<sup>th</sup> CoP  
Buenos Aires, Argentina Jun. 17<sup>th</sup> to 20<sup>th</sup>, 2008

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## 1) Status of the Core Budget

### a) Country Contributions

As of May 31, 2008 the funds collected (cash incomes) represent 88% of the approved contributions for the fiscal year 2007/2008. We expected to withdraw up to US\$450k of the US contribution for the current year before the end of June 2008, as the new grant has been recently approved and is ready for disbursement.

Table I shows the status of the contributions received as of May 31, 2008.

**Core Budget - 2007 / 2008**  
Status of Country Contributions as of May 31, 2008  
Amounts in US\$

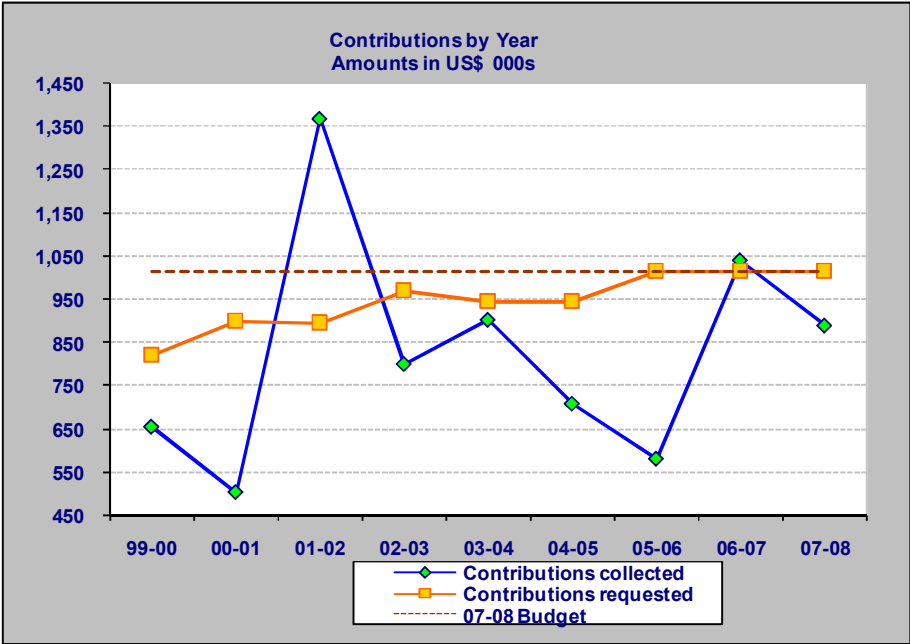
	Due as of 30-Jun-07	Contribution for FY 07/08	Paid - in 2007/2008 to be applied to:			Due as of 30-Jun-08
			Arrears	Current year	Advances	
Argentina	51,957	50,000	(50,000)			51,957
Bolivia	25,000	5,000				30,000
Brazil	(80,000)	85,000				5,000
Canada	-	125,000		(125,000)		-
Chile	(5,000)	5,000	-	-	(15,000)	(15,000)
Colombia	40,000	10,000				50,000
Costa Rica	6,992	5,000				11,992
Cuba	20,067	5,000				25,067
Dominican Republic	50,000	5,000				55,000
Ecuador	30,000	5,000				35,000
Guatemala	50,000	5,000				55,000
Jamaica	15,000	5,000				20,000
Mexico	-	60,000		(60,000)	-	-
Panama	-	5,000		(5,000)		-
Paraguay	55,000	5,000				60,000
Peru	35,000	5,000	(26,649)			13,351
Uruguay	45,000	5,000				50,000
USA (*)	595,000	595,000	(595,000)			595,000
Venezuela	184,521	30,000	(12,500)			202,021
<b>Totals</b>	<b>1,118,537</b>	<b>1,015,000</b>	<b>(684,149)</b>	<b>(190,000)</b>	<b>(15,000)</b>	<b>1,244,388</b>
						<b>Total Revenues:</b>
						(874,149)
						<b>Total Advances:</b>
						(15,000)
						<b>Contributions not received:</b>
						(140,851)
						<b>Difference:</b>
						-

TABLE I: Status of Member Country contributions as of May 31, 2008 (cash basis)

The response from the countries to the IAI contacts has been very positive; Peru transferred the total of US\$26,649 and as part of the commitment to pay for previous contributions during 2008. Chile and Brazil paid their contributions for 08/09 in advance before the end of 2006/2007.

At the start of 2007/2008 Chile sent another payment of US\$15,000 to IAI. They already had one year's worth of contributions in advance, and with the additional payment, they would be advancing 3 more years of contributions. We sent them a letter requesting an explanation for the increased amount, specifically asking if Chile had intended to increase their yearly contributions from US\$5,000 to US\$12,000, based on the increase in the OAS participation percentage. Their answer came back and they said they would advance one more year and increase their contribution to US\$12k in 2009.

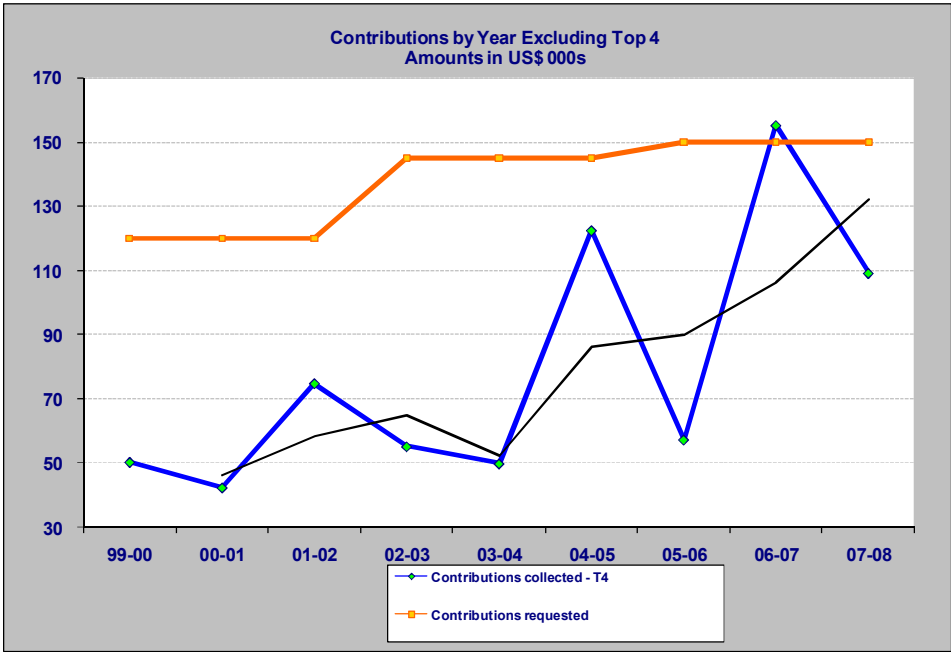
The following graph shows the Country Contributions since 1999.



Annex 1 shows the history of member country contributions as of May 31, 2008.

The amounts collected appear to be decreasing compared to previous years, however before the end of the fiscal year, we expected to have collected over 130% of the contributions, with payments from the USA, Cuba, and Colombia.

Excluding the four top contributors to the IAI (Brazil, Canada, Mexico, and USA), the trend of contributions is as follows:



The largest portion of the increase in 2006/2007 is Argentina's contribution to the IAI for a total of US\$100,000; the overall trend has increased consistently since 1999 (black trend line), even though the Institute is fully dependant of the four major contributors.

The trend for 2007/2008 is expected to increase from 2006/2007, once the expected payments are received and processed.

## b) Collection of Country Contributions

The funding requests continue to involve all senior members of the IAI staff, with different strategies depending on the situation of each specific country. Important developments have been obtained in the cases of Colombia and Peru, to engage them with IAI activities and to collect their contributions in arrears.

Talks with high-level government officials have taken place in Guatemala and Uruguay, but without tangible results so far. Guatemala has never paid its contributions, and Uruguay only once upon joining.

Colombia has already issued a payment, however it was not received in the IAI account and we are expecting their payment before June 2008.

Cuba has made the arrangements to pay for the 2007/2008 contribution, which we also expect before the end of June 2008.

Every opportunity to promote IAI and request funds is used. Whenever there is travel to one of the countries not actively participating in IAI affairs, contacts are made in order to promote IAI with the high level country officials, usually requesting meetings with persons with enough political power and budget, to move towards a decision to engage the country and compromise the funds.

The strategy to engage more countries is based on content driven effort, first creating the local scientific content and then involving local scientists in the IAI's request for contributions. This encompasses not only current members of the Institute, but also potential members, with a focus on the Caribbean and the Central American countries.

Whenever the IAI had communications with member countries (or those not actively participating), a country profile sheet is provided in order to show the tangible benefits that the countries have obtained from participating in IAI. This creates a very positive impact.

c) In-kind Contributions by the Host Country

As established in the Host Country Agreement, Brazil pays for several IAI expenses, including facilities, security, car and building maintenance, cleaning, gasoline, computer equipment, four local staff positions, telecommunications, office materials and equipment, among others.

The expenses paid by INPE in the 2006/2007 fiscal year amounted to US\$185,776.30 as follows (numbers provided by INPE Administration):

**IAI Expenses Paid by INPE**  
Amounts in US\$

<b>Fiscal Year Budget Line</b>	<b>2004/2005 US\$</b>	<b>2005/2006 US\$</b>	<b>2006/2007 US\$</b>
Fuel	1.649,04	3.025,04	3.680,07
Car Maintenance	-	1.008,97	789,83
Toll	-	-	-
Telephone / Fax	7.277,08	4.733,08	5.676,55
Xerox	2.287,53	2.320,12	1.058,59
Printing Services	-	654,95	-
Office Materials	828,38	226,11	518,38
Security	59.446,35	12.307,59	13.940,03
Cleaning / Maintenance Services	14.681,27	18.836,48	20.360,54
Salaries	65.879,54	79.782,71	93.803,84
Office Rent	20.205,32	31.858,45	34.464,20
Furniture Rent	7.737,27	6.157,52	6.570,60
Computer Equipment Rent	5.526,62	4.604,75	4.913,66
<b>Total</b>	<b>185.518,39</b>	<b>165.515,77</b>	<b>185.776,30</b>

In U.S. Dollar terms, the Brazilian In-kind contribution remained relative stable, with a slight decrease from years 2004/2005 to 2005/2006, compensated by the increase in year 2006/2007.

In Brazilian real terms however, the contribution has had a decrease of 37.4% from 2005 to 2008, mostly explained by the weakening of the US dollar compared to the Brazilian Real (Average: R\$ 2,66 / 1 US\$ in 2005; R\$ 1,67 / 1 US\$ in 2008).

The amount of the in-kind contribution in 2004/2005 was equal to R\$ 503,027; in 2006/2007 it was R\$ 390,163.

Annex 4 details the contributions per year in both U.S. Dollars and Brazilian Real with the yearly variances.

d) Expenses

The following table shows the expenses year-to-date May 2008 (eleven months into fiscal year 2007/2008). This comparison shows the status of the eleven-month core budget compared to the actual expenses in the corresponding period.

These expenses include the provisions for holidays, contributions to social security, and depreciation of fixed assets as of May 31, 2008.

**Budget Performance**  
**July 2007 - May 2008**  
 Amounts in US\$

Category	Actuals 2007/2008	Budget (*) 2007/2008	Difference	%
Salaries & Benefits	682,852	692,937	(10,085)	-1.5%
Travel & Training	76,196	73,333	2,863	3.9%
Equipment	2,652	11,000	(8,348)	-75.9%
Operational Costs	149,023	80,300	68,723	85.6%
Dissemination & Outreach	14,001	39,417	(25,416)	-64.5%
Director's Fund	32,976	33,367	(390)	-1.2%
<b>Total</b>	<b>957,700</b>	<b>930,354</b>	<b>27,347</b>	<b>2.9%</b>
(*) 11 months of budget				

TABLE II: Core Budget expenses as of May 31, 2008

Year-to-date expenses at the end of May 2008 are 2.9% higher than the eleven-month budget, with most of the variance coming in the categories of Operational Costs, partially offset by lower expenses in Salaries and Benefits, Equipment, Dissemination & Outreach.

The increase of operational costs include US\$20k than were robbed from IAI coming back from Banco do Brasil, and additional expenses than planned for the SAC meeting.

Below you will see the detail by activity of Director's Special Fund expenses during the period from July 2007 to March 2008 (Table III):



**Table III**  
**Director's Special Fund Details**  
**July 2007 - May 2008**  
**Amounts in US\$**

<b>Activity number</b>	<b>Title</b>	<b>Amount</b>
07DSF01-0293	TISG-P-1 (Claudio Slafztein)	624.38
07DSF01-0294	III Regional Conference on Global Change - South America	6,732.51
07DSF01-0295	Goettingen Summer School	8,634.97
07DSF01-0296	Workshop Climate Variability	1,200.00
07DSF01-0297	GECAFS Oxford Conf. 2008	3,327.07
07DSF01-0298	SENSOR Meeting Berlin 7-10 Apr	1,629.29
07DSF01-0299	VIII Seminar on Remote Sensing and GIS applied to Forestry Eng.	2,828.00
07DSF01-0301	4th IGBP International Geosphere Biosphere Programme Congress	3,000.00
	Cuban Activities CRN2050	5,000.00
	<b>Total DSF:</b>	<b>32,976.22</b>

The activities under the DSF have increased relatively compared to the previous year; more fund requests for projects involving Cuba are expected before in the next fiscal year.

## 2) Cash Composition and Core Budget Reserves

The cash balance at the end of May 31, 2008 was 35.7% lower than the ending balance at the end of March 2007; expenses continue to be tightly controlled and some countries continue to pay for previous year's contributions, however at end of 2007/2008 the IAI has temporarily covered Core Budget expenses with reserves, before requesting the funds from the NSF, this to avoid high NSF cash balances at the end of the quarter.

The grant to cover the U.S. Contribution for 07/08 did not open in Fastlane until after the end of May 2008, making it impossible to withdraw the funds, however expenses have been incurred and the funds will be requested (reimbursement) before June 2008.

### Cash Reconciliation At the end of May-08 Amounts in US\$

	Mar-07	May-08	Variance
Program Funds	458,140.66	316,601.09	-30.9%
IAI CB Funds	503,457.34	301,997.75	-40.0%
<b>Total Cash</b>	<b>961,598.00</b>	<b>618,598.84</b>	<b>-35.7%</b>

This level of reserves (IAI Core Budget Funds) would cover 4 months of operations with the current annual budget level of \$1,015,000 (Fiscal Year 2007/2008 budget approved by the 14<sup>th</sup> CoP in Manaus, June 2007).

If the funds for the U.S. and other contributions expected for 2007/2008 were taken into consideration in terms of reserves, the IAI Core Budget Funds would cover 9.8 months of operations at the current US\$1,015,000 budget level.

The effort to increase the level of contributions by broadening the country base is an on-going process and the results show in fiscal year 2007/2008, with member countries making efforts to participate more actively in the IAI and some of those that had not paid their contributions for some time, are trying to catch up on their commitments.

Alternative funding has been obtained by the Directorate, currently the MacArthur Foundation project, and the IDRC project have been

initiated; these will expand the IAI's funding base, for projects as well as contributions to the operational expenses of the Institute as part of overheads in the proposals.

These initiatives, and any other in which the IAI is able to engage, require efforts by all the staff of the directorate, as the increased activities will be initiated before the funds arrive, i.e. before any operational relief in terms of additional administrative support. The staff of IAI is committed to make the extra effort in furthering the Directorate's reach and are engaged to assume the increased functions.

### **3) Status of the Program Budget as of May 31, 2008**

#### a) Collaborative Research Network II (CRN - II)

Projects under CRN-II have been fully implemented and the annual reports for year 1 have been received, analyzed and approved, the majority of the projects have received advances for the second year of the project, and four projects have requested the interim funds (20%) for year 2.

A meeting of the CRN II and SGP-HD PI's was held in Panama City, 21-23 February 2008. Participants included representatives from all projects, members of the IAI Scientific Advisory Committee (SAC), IAI Directorate staff and a representative of the local host, CATHALAC. Presentations by each project provided brief activity updates, but the main focus was on interdisciplinary networking and the opportunities and/or obstacles associated with interdisciplinary work and international networking.

Communication with stakeholder, policy communities was a second topic. Concrete proposals were made on how to better utilize and improve the IAI website as a communication tool and to more effectively use project briefs for addressing stakeholders. The process of synthesizing the outcomes and achievements of the CRN II and SGP-HD programs was initiated.

Other agenda items included initial plans for regional networks, the value of collaborations with the international programs of the Earth System Science Partnership (ESSP) and possible future IAI programs. As a result of the competition for the SGP-HD, two CRN II projects were expanded by the inclusion of human dimensions components. This was possible because proposals received matched recommendations made for these projects in the original CRN II funding decisions. The two expansions were a fisheries component in the South Atlantic CRN, and a human health component in the urban atmospheric contaminants CRN.

Following the recommendation of the CRN II review panel, SAC and EC for completion of the CRN II project portfolio, a project focusing on Climate Change has been approved (after peer review). The project title is "The Impact of Land Cover and Land Use Changes on the Hydroclimate of the La Plata

Basin". The project involves investigators from Argentina, Brazil and the USA and the Principal Investigator is Prof. Ernesto Hugo Berbery, CONICET, Argentina and University of Maryland, USA. The project duration is April 2008 – June 2011.

Annex 2 provides a detailed list of approved projects and its annual budgets; the reported expenses for Year 1 and the balance of the projects and overall program can be found in Annex 3.

b) Training Institutes on Interdisciplinary Global Environmental Change Sciences in the Americas

The Training Institute Seed Grant (TISG) program associated with the 2005 Training Institutes terminated in February of 2007. For the period February 2006-February 2007 the IAI supported 8 seed grants at a level of US\$ 9,000-15,000/each (total IAI investment of US\$ 110,000). Also, Canada's IDRC funded one TISG.

The Seed Grants program has been completed and technical reports have been submitted and approved. Two projects had remaining resources (approximately US\$ 5,000) that will be returned to NSF. IAI terminated one TISG contract agreement with the original Principal Investigator and his home institution, the Universidad Mayor de San Simón, Bolivia. IAI recovered the funds and took over the administration of the project with two leading co-PIs from Peru and Venezuela.

IAI submitted a final technical report to NSF with an initial assessment of the program, which was approved by NSF.

c) IAI proposal to NSF for new Training Institutes

The IAI submitted a proposal to NSF on July 15, 2007 for US\$ 300,000 for 4 Training Institutes during the period 2007-2009. The Training Institutes aim at exploring science themes that are policy relevant or that can strengthen the development of current IAI science programs.

From February 25-29, 2008, the IAI held the "Training Institute on Information Management: free and open access to, and use of data and information" hosted by The Water

Center for the Humid Tropics of Latin America and the Caribbean (CATHALAC) in Panama City, Panama. It was the first time that IAI organized a training related to Data and Information Management (DIM). The objectives of the Institute were: 1) to provide recommendations to help IAI develop its data and information policy; and 2) to encourage IAI supported projects (CRN II, SGP-HD) to make data available and expand international and interdisciplinary scientific interactions through improved information management.

The Institute also promoted discussion on institutional and policy aspects of information sharing, management and access such as open access, data storage and preservation of data; and fostered scientific and technical discussions on meta-analysis to inform decision makers. The 37 participants from 11 countries were Principal Investigators (PIs), co-PIs and data managers of IAI projects, and representatives from DIM institutions. Several working groups developed a final report which will serve as input to the IAI Directorate and the IAI Strategic Planning group in designing the IAI Data Policy and DIM.

The IAI is planning the "IAI-IUCN International Training Workshop on Mainstreaming Adaptation to Climate Change into Environmental Policy" to be held in San Pedro Sula, Honduras (May 20-22, 2008). The event will provide a policy brief to be made available to the subsequent Civil Society Forum (May 23-24, 2008) and the Central American Presidential Summit (May 28-30, 2008). The workshop seeks to bridge gaps between climate and risk management researchers and environmental practitioners. This workshop builds on the previous "Meeting on Variability, Change, Risk and Climate Risk Management" and the policy forum on "Science and Policy in Climate Risk Management held in November 2006, Panama.

d) Fundraising Efforts and Institutional Collaboration: MacArthur Foundation and IDRC

The IAI submitted a proposal to the MacArthur Foundation in June 2007. The proposal entitled "An assessment of research and institutional needs to cope with the effects of Climate Change on Andean Biodiversity" is now funded with US\$ 450,000 for the period of 2008-2009. The project will assess the current knowledge, research capacities, and institutional opportunities and constraints related to the impacts of climate

change on biodiversity in the Andean region of Bolivia, Colombia, Ecuador and Peru.

The assessment will guide future research, capacity building, institutional development and the application of knowledge to adaptation in regions that are highly susceptible to climate change and are home to important reserves of biodiversity.

The IAI has established a steering committee composed of biodiversity and climate change experts from Andean countries to conduct the science and policy components of the project.

Following the workshop on landuse planning and adaptation to global change in the La Plata Basin: the role of biofuels (Buenos Aires, March 28-31, 2007), the IAI prepared a proposal to the International development Research Center of Canada, which is now funded at CAD 444,000.

The project will reinforce and expand an interdisciplinary and international network of researchers and stakeholders in Argentina, Bolivia, Brazil, Paraguay and Uruguay to conduct research, interact with and inform stakeholders and policy. Its purpose is to document and analyze land-use change in the context of regional climate variations over the past 25 years; analyze the social and economic drivers and impact of this land use change in LPB in the context of rural development and markets for industrial crops and biofuels. It will synthesize this knowledge, identify connections between climate, landuse and development patterns, and forecast trends in cooperation with and for the benefit of land users and decision makers; and provide a gap analysis on institutional, scientific and outreach needs to guide future research and monitoring in the region.

The IAI worked with Dr. Horacio Riojas, co-Investigator of CRN I-048, of Mexico's National Institute of Public Health (INSP), in the organization of the workshop "Climate Change & Climate Variability Research and their Impacts on Human Health in the Americas" (January 21-22, 2008, Cuernavaca, México).

The workshop was attended by 18 professionals from 6 countries and sought to disseminate the results of CRN I-048 "Diagnostics and Prediction of Climate Variability and Human Health Impacts in the Tropical Americas" and to foster potential synergies and linkages with other national and

regional climate and health programs, in particular IDRC's (Canada) Ecohealth Program for Latin America and the Caribbean. IDRC invited the CRN I 048 (and other participants) to prepare a pre-proposal based on the results and discussion of the meeting which will be considered for funding once IDRC's Ecohealth Program takes shape.

Based on the synthesis of the CRN I project, the investigators identified research priorities to guide future research in linking climate and public health issues and fostered communication with the policy-making community, as Mexican scientists strengthened their national collaboration with Health officials

e) Small-Grants Program for Human Dimensions (SGP-HD)

The SGP-HD program has been implemented during the year with a total of six proposals accepted. Five of these have now received the funding for year 1 activities. One grant was held up due objections from the grantee's institutions to intellectual property rights and other clauses of the standard IAI grant agreement. This has now been resolved and the grant agreement is expected to be signed soon.

The first annual report will be received in August 2008. The details of the program can be found on Annex 5.

f) IAI-INPE/CPTEC Research Internships in Climate Variability

Two Latin American scientists have taken part in an internship program co-organized and supported by the IAI at the INPE/CPTEC climate research centre.

Janeet Sanabria from the Servicio Nacional de Meteorología e Hidrología (SENAMHI, Peru) worked on global climate model validation for conditions in Peru". Her study program (September 2007- April 2008) is supervised by Dr. José Marengo at CPTEC. Jannet expects to write three articles as a result of her internship.

Ana Graciela Ulke (Argentina) from the University of Buenos Aires is developing her research (January –July 2008) focusing on meso-scale atmospheric models under the supervision of Dr. Saulo Freitas. In January 2008, the IAI announced a call for new interns under the IAI-INPE/CPTEC



Research Internship Program. The IAI and INPE/CPTEC expect to select new interns to start their program in 2008 and 2009.

g) Proceedings and books from past Training Institutes

A report was produced from the IAI "Meeting on Climate Variability, Change and Climate Risk Management, followed by a Science and Policy forum in Climate Risk Management" (November 19-23, 2006, Panama City, Panama): "Memoria del Encuentro sobre Variabilidad, Cambio, Riesgo y Gestión Asociada al Clima y del foro sobre Ciencia y Política en la Gestión del Riesgo asociado al clima". This publication was produced by the Centro Regional de Información sobre Desastres para América Latina y el Caribe (CRID). This report is available in hard copy (Spanish) and on line at <http://www.crid.or.cr/encuentroyforoengestiondelriesgoasociadoalclima/index.shtml>.

#### **4) Administrative Area**

##### a) Changes to Administrative Processes / Internal Controls

###### Employee Manual

The changes to the forms and approved policies are being compiled and attached to the manual as a new annex. The manual is up-to-date with all changes.

###### Accounting Manual

For several other reasons, the accounting manual has not received a high priority during the past two quarters and it is still pending.

###### Improvements in the Personnel Files

A weakness, detected and detailed in the Management Checklist, had been the opportunity areas in the employee personal files within IAI. The suggestions of the FAC have been incorporated in the files.

###### Attendance/Leave Control and Balances

The web-based automated system just finished its testing phase and is now functional in IAI.

###### Host Country Agreement with Brazil

Another item that is creating problems and confusion in the dealing with the Government of Brazil is the implementation of the Host Country Agreement in Sao Jose dos C. Some of the processes that we currently perform in IAI (dealing with Receita Federal (Federal Revenue), for example) would not be needed if we were an organization located in Brasilia, where the local authorities are used to handle the issues of the international entities.

One major issue was the change of a law in the Federal Revenue, where only organizations with a local registry number were allowed to file any transactions, however the local registry is unable to assign this number to us as the text of the law does not fit international organizations.

We have no way to compare status of international employees to that of other International Organizations as the Host Country Agreement establishes.

The Host Country Agreement contains ambiguities, therefore for a future guarantee of operations in Brazil, it must be updated, also in order to reflect a new laws and realities, both of IAI and Brazil.

#### Brazilian Staff provided by INPE

Currently the IAI is paying nearly 50% of the salaries of the staff provided by Brazil, as the funding provided for these three positions is no longer enough to cover salaries at current market rates for the level of qualification required and detailed in the above mentioned agreement.

#### Cash management and other issues with Brazil

After the armed robbery, new steps have been taken to minimize the possibilities of this happening in the future. Due to the nature of the operation (i.e. refunds to participants in meetings), the IAI needs to handle cash and Banco do Brasil only gives us this possibility.

New arrangements with Citibank (recently approved to handle US dollars) provide a new alternative that is is being analyzed carefully. Other options, including the hiring of an armored truck are being reviewed as well.

#### Internal Controls

The controls remain in place, being reviewed and updated where opportunities for improvement are detected. Currently there are no critical Internal Control Issues outstanding either from the FAC or the External Auditors.

## **5) External Audit of the IAI as of June 30, 2007**

The audit for the fiscal year 2006/2007 was conducted in September 2007 and it included the review of accounting entries, internal controls, legal compliance, and opportunity areas in any of those. The results of the external audit review were favorable and they issued an opinion without qualification.

The next audit by BDO Trevisan has been scheduled for August 2008; at this point the financial statements for the period July 2007 to June 2008 will be evaluated, as well as project management, internal controls and adherence to the Employee, Purchasing, and Project Manuals. We expect a favorable review in the next audit as well.

## ANNEX 1: History of Member Country Contributions

### Core Budget - 2007 / 2008 Status of Country Contributions as of May 31, 2008

	From Year	1994	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	Outstanding
	To Year	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	
<b>Argentina</b>	Full	40%	40%	40%	40%	40%	70%		30%	150%	C	215%				1 year
<b>Bolivia</b>																6 years
<b>Brazil</b>	Full	Full	Full	57%	162%	Full	Full	Full	46%	123%	194%	94%				-
<b>Canada</b>	Full	Full	Full	41%	89%	148%	Full	Full	Full	Full	100%	Full				-
<b>Chile</b>	Full	Full	C	C	C	C	300%	C	200%	200%	Full	300%	Full	Full	09/10 Advance	
<b>Colombia</b>		Full	Full	Full					Full	Full	Full					5 years
<b>Costa Rica</b>	Full	C	C	C	C	358%	405%	Full	Full	40%						2.4 years
<b>Cuba</b>	Full	Full	Full	C	199%			Full	Full							5 years
<b>Dominican Republic</b>																11 years
<b>Ecuador</b>					C	Full	200%	Full								7 years
<b>Guatemala</b>																11 years
<b>Jamaica</b>		Full	Full		Full	Full	Full	Full	Full							4 years
<b>Mexico</b>	Full	23%	29%	33%	41%	241%	C	179%	181%	110%	Full	Full				-
<b>Panama</b>	Full	Full	Full	Full	C	C	Full	Full	Full	300%	Full	Full				-
<b>Paraguay</b>																12 years
<b>Peru</b>	15%	C	C	C	C	C	240%	C	C	33%	200%	533%				2.7 years
<b>Uruguay</b>	Full	Full														10 years
<b>USA</b>	Full	Full	Full	Full	Full	Full	Full	Full	Full	Full	Full	Full				-
<b>Venezuela</b>	Full	46%	53%	68%	8%			33%	42%	74%	42%					6.7 years

Note: numbers represent a percentage of the current contribution, i.e. Colombia in 2002/2003 paid for the equivalent of 3 annual contributions

#### Codes:

	= Not a member
	= Paid more than current year (arrears or advances)
	= Payment not received for year
C	= Contribution paid in other year (arrears)

## ANNEX 2: Collaborative Research Network II

### CRN II Approved Budget & Balances Summary

By Project	2006-2007 Year 1	2007-2008 Year 2	2008-2009 Year 3	2009-2010 Year 4	2010-2011 Year 5	Total US\$
CRN 2005-Sarmiento	199,400	170,210	116,110	12,000	-	497,720
CRN 2014-Berbara	154,250	154,370	129,870	112,890	98,620	650,000
CRN 2015-Diaz	243,180	157,666	143,049	75,922	92,120	711,937
CRN 2017-Gallardo	210,890	246,170	241,550	173,890	-	872,500
CRN 2021-Sanchez	211,245	206,980	212,835	210,690	223,135	1,064,885
CRN 2031-Jobaggy	184,163	202,284	225,997	187,250	124,358	924,052
CRN 2047-Luckman	151,200	151,200	151,200	151,200	151,200	756,000
CRN 2048-Raga	99,400	136,800	116,800	-	-	353,000
CRN 2050-Liu	116,781	148,206	150,886	166,805	120,622	703,300
CRN 2060-Castellanos	151,012	153,016	142,831	133,128	-	579,987
CRN 2061-McClain	35,603	130,860	110,613	68,117	82,233	427,426
CRN 2076-Piola	184,906	240,441	241,515	166,396	94,448	927,706
CRN 2094-Berbery	-	-	155,368	208,368	162,934	526,670
<b>Total in US dollars</b>	<b>1,942,030</b>	<b>2,098,203</b>	<b>2,138,624</b>	<b>1,666,656</b>	<b>1,149,670</b>	<b>8,995,183</b>

By Expense Item	2006-2007 Year 1	2007-2008 Year 2	2008-2009 Year 3	2009-2010 Year 4	2010-2011 Year 5	Total US\$
Salaries for Investigators	125,200	155,398	136,801	87,300	66,981	571,680
Salaries for Students / Scholarships	550,839	759,161	822,564	652,514	405,608	3,190,686
Travel / Workshops	355,931	411,620	489,985	346,472	294,336	1,898,344
Equipment	312,368	85,015	22,759	5,600	4,701	430,443
Research Expenses / Materials & Supplies	395,293	414,873	392,730	353,855	178,307	1,735,058
Communications	16,919	17,469	17,868	16,068	13,968	82,292
Publication / Documentation / Dissemination	12,650	35,532	41,364	37,432	54,216	181,194
Administrative Support / Office Supplies	69,050	79,802	78,892	70,943	65,210	363,897
Overhead (Indirect project costs)	103,780	139,333	135,661	96,472	66,343	541,589
<b>Total in US dollars</b>	<b>1,942,030</b>	<b>2,098,203</b>	<b>2,138,624</b>	<b>1,666,656</b>	<b>1,149,670</b>	<b>8,995,183</b>

Overhead %	5.6%	7.1%	6.8%	6.1%	6.1%	6.4%
<b>By Expense Item</b>	<b>2006-2007 Year 1</b>	<b>2007-2008 Year 2</b>	<b>2008-2009 Year 3</b>	<b>2009-2010 Year 4</b>	<b>2010-2011 Year 5</b>	<b>2011-2012 Year 6</b>
<b>NSF Grant by Year</b>	<b>2,197,289</b>	<b>2,011,222</b>	<b>2,030,835</b>	<b>1,988,200</b>	<b>1,988,200</b>	<b>208,200</b>

<b>Total NSF Grant</b> (includes US\$100k UCAR Funds)	<b>10,423,946</b>
<b>IAI Administered funds</b> (Management, meetings, travel, others)	<b>(823,946)</b>
<b>Total Other Activities</b> (See separate sheet for details):	<b>(35,484)</b>
<b>Plus: Cuban Components</b> (financed by DSF, not CRN II)	<b>15,000</b>

<b>CRN II Un-Committed Funds</b>	<b>584,333</b>
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## ANNEX 3: Collaborative Research Network II

Advances - Expenses by Project	Current Advance Year					2
<b>CRN 2005-Sarmiento</b>	<b>199.400</b>	<b>136.170</b>	-	-	-	<b>335.570</b>
Cummulative Advances	199.400	335.570	335.570	335.570	335.570	
Cumm. Reported Expenses	142.290	142.290	142.290	142.290	142.290	
<b>Balance</b>	<b>57.110</b>	<b>193.280</b>	<b>193.280</b>	<b>193.280</b>	<b>193.280</b>	
<b>CRN 2014-Berbara</b>	<b>154.250</b>	<b>123.500</b>	-	-	-	<b>277.750</b>
Cummulative Advances	154.250	277.750	277.750	277.750	277.750	
Cumm. Reported Expenses	120.940	120.940	120.940	120.940	120.940	
<b>Balance</b>	<b>33.310</b>	<b>156.810</b>	<b>156.810</b>	<b>156.810</b>	<b>156.810</b>	
<b>CRN 2015-Diaz</b>	<b>194.500</b>	<b>126.100</b>	-	-	-	<b>320.600</b>
Cummulative Advances	194.500	320.600	320.600	320.600	320.600	
Cumm. Reported Expenses	88.315	88.315	88.315	88.315	88.315	
<b>Balance</b>	<b>106.185</b>	<b>232.285</b>	<b>232.285</b>	<b>232.285</b>	<b>232.285</b>	
<b>CRN 2017-Gallardo</b>	<b>210.890</b>	<b>175.300</b>	-	-	-	<b>386.190</b>
Cummulative Advances	210.890	386.190	386.190	386.190	386.190	
Cumm. Reported Expenses	193.935	193.935	193.935	193.935	193.935	
<b>Balance</b>	<b>16.955</b>	<b>192.255</b>	<b>192.255</b>	<b>192.255</b>	<b>192.255</b>	
<b>CRN 2021-Sanchez</b>	<b>211.245</b>	<b>165.590</b>	-	-	-	<b>376.835</b>
Cummulative Advances	211.245	376.835	376.835	376.835	376.835	
Cumm. Reported Expenses	210.790	210.790	210.790	210.790	210.790	
<b>Balance</b>	<b>455</b>	<b>166.045</b>	<b>166.045</b>	<b>166.045</b>	<b>166.045</b>	
<b>CRN 2031-Jobaggy</b>	<b>147.300</b>	<b>161.800</b>	-	-	-	<b>309.100</b>
Cummulative Advances	147.300	309.100	309.100	309.100	309.100	
Cumm. Reported Expenses	128.686	128.686	128.686	128.686	128.686	
<b>Balance</b>	<b>18.614</b>	<b>180.414</b>	<b>180.414</b>	<b>180.414</b>	<b>180.414</b>	
<b>CRN 2047-Luckman</b>	<b>121.000</b>	<b>121.000</b>	-	-	-	<b>242.000</b>
Cummulative Advances	121.000	242.000	242.000	242.000	242.000	
Cumm. Reported Expenses	122.406	122.406	122.406	122.406	122.406	
<b>Balance</b>	<b>(1.406)</b>	<b>119.594</b>	<b>119.594</b>	<b>119.594</b>	<b>119.594</b>	
<b>CRN 2048-Raga</b>	<b>79.500</b>	<b>65.000</b>	-	-	-	<b>144.500</b>
Cummulative Advances	79.500	144.500	144.500	144.500	144.500	
Cumm. Reported Expenses	40.028	40.028	40.028	40.028	40.028	
<b>Balance</b>	<b>39.472</b>	<b>104.472</b>	<b>104.472</b>	<b>104.472</b>	<b>104.472</b>	
<b>CRN 2050-Liu</b>	<b>93.400</b>	-	-	-	-	<b>93.400</b>
Cummulative Advances	93.400	93.400	93.400	93.400	93.400	
Cumm. Reported Expenses	4.272	4.272	4.272	4.272	4.272	
<b>Balance</b>	<b>89.128</b>	<b>89.128</b>	<b>89.128</b>	<b>89.128</b>	<b>89.128</b>	
<b>CRN 2060-Castellanos</b>	<b>151.012</b>	<b>122.413</b>	-	-	-	<b>273.425</b>
Cummulative Advances	151.012	273.425	273.425	273.425	273.425	
Cumm. Reported Expenses	87.537	87.537	87.537	87.537	87.537	
<b>Balance</b>	<b>63.475</b>	<b>185.888</b>	<b>185.888</b>	<b>185.888</b>	<b>185.888</b>	
<b>CRN 2061-McClain</b>	<b>28.500</b>	<b>79.600</b>	-	-	-	<b>108.100</b>
Cummulative Advances	28.500	108.100	108.100	108.100	108.100	
Cumm. Reported Expenses	3.390	3.390	3.390	3.390	3.390	
<b>Balance</b>	<b>25.110</b>	<b>104.710</b>	<b>104.710</b>	<b>104.710</b>	<b>104.710</b>	
<b>CRN 2076-Piola</b>	<b>184.906</b>	<b>194.420</b>	-	-	-	<b>379.326</b>
Cummulative Advances	184.906	379.326	379.326	379.326	379.326	
Cumm. Reported Expenses	96.855	96.855	96.855	96.855	96.855	
<b>Balance</b>	<b>88.051</b>	<b>282.471</b>	<b>282.471</b>	<b>282.471</b>	<b>282.471</b>	
<b>Total:</b>	<b>1.775.903,00</b>	<b>1.470.893,00</b>	-	-	-	<b>3.246.796,00</b>
<b>Year Payment</b>	1.556.546,00	1.470.893,00	-	-	-	<b>3.027.439,00</b>
<b>Interim Funds</b>	219.357,00	-	-	-	-	<b>219.357,00</b>
<b>Cumm. Advances</b>	<b>1.775.903,00</b>	<b>3.246.796,00</b>				
<b>Cumm. Reported Expenses</b>	<b>1.239.443,39</b>	<b>1.239.443,39</b>				<b>1.239.443,39</b>
<b>Balance</b>	536.459,61	2.007.352,61				<b>2.007.352,61</b>

## ANNEX 4: Brazil's In-kind Contributions to the IAI

### IAI Expenses Paid by INPE Amounts in US\$

Fiscal Year Budget Line	2004/2005 US\$	2005/2006 US\$	2006/2007 US\$
Fuel	1.649,04	3.025,04	3.680,07
Car Maintenance	-	1.008,97	789,83
Toll	-	-	-
Telephone / Fax	7.277,08	4.733,08	5.676,55
Xerox	2.287,53	2.320,12	1.058,59
Printing Services	-	654,95	-
Office Materials	828,38	226,11	518,38
Security	59.446,35	12.307,59	13.940,03
Cleaning / Maintenance Services	14.681,27	18.836,48	20.360,54
Salaries	65.879,54	79.782,71	93.803,84
Office Rent	20.205,32	31.858,45	34.464,20
Furniture Rent	7.737,27	6.157,52	6.570,60
Computer Equipment Rent	5.526,62	4.604,75	4.913,66
<b>Total</b>	<b>185.518,39</b>	<b>165.515,77</b>	<b>185.776,30</b>
Variance		-10,8%	12,2%

### IAI Expenses Paid by INPE Amounts in US\$

Fiscal Year Budget Line	2004/2005 US\$	2005/2006 US\$	2006/2007 US\$
Fuel	4.272,47	6.810,29	7.712,33
Car Maintenance	-	2.294,83	1.686,09
Toll	-	-	-
Telephone / Fax	19.565,58	10.593,20	12.037,24
Xerox	6.246,12	5.197,68	2.225,76
Printing Services	-	1.547,09	-
Office Materials	2.276,16	500,55	1.082,59
Security	161.230,52	27.583,32	29.249,84
Cleaning / Maintenance Services	39.790,28	42.215,64	42.718,71
Salaries	178.806,12	178.806,12	196.946,00
Office Rent	54.840,00	71.400,00	72.384,00
Furniture Rent	21.000,00	13.800,00	13.800,00
Computer Equipment Rent	15.000,00	10.320,00	10.320,00
<b>Total</b>	<b>503.027,25</b>	<b>371.068,72</b>	<b>390.162,56</b>
Variance		-26,2%	5,1%



## ANNEX 5: Small Grant Program for the Human Dimensions (SGP-HD)

### SGP-HD Approved Budget & Balances Summary

By Project	2007-2008	2008-2009	2009-2010	2010-2011	2011-2012	Total US\$
	Year 1	Year 2	Year 3	Year 4	Year 5	
SGPHD-003 Meza	63.995	63.995	-	-	-	127.990
SGPHD-004 Gauthier	74.910	74.910	-	-	-	149.820
SGPHD-005 Varady	73.643	73.642	-	-	-	147.285
SGPHD-008 Pfaff	54.925	54.925	-	-	-	109.850
SGPHD-009 Tourrand	79.000	79.000	-	-	-	158.000
SGPHD-014 Fraise	75.000	-	-	-	-	75.000
<b>Total in US dollars</b>	<b>421.473</b>	<b>346.472</b>	-	-	-	<b>767.945</b>

By Expense Item	2007-2008	2008-2009	2009-2010	2010-2011	2011-2012	Total US\$
	Year 1	Year 2	Year 3	Year 4	Year 5	
Salaries for Investigators	22.920	11.803	-	-	-	34.723
Salaries for Students / Scholarships	149.763	131.255	-	-	-	281.018
Travel / Workshops	128.721	91.614	-	-	-	220.335
Equipment	4.250	3.250	-	-	-	7.500
Research Expenses / Materials & Supplies	51.100	50.600	-	-	-	101.700
Communications	5.550	6.800	-	-	-	12.350
Publication / Documentation / Dissemination	14.850	16.350	-	-	-	31.200
Administrative Support / Office Supplies	13.619	11.600	-	-	-	25.219
Overhead (Indirect project costs)	30.700	23.200	-	-	-	53.900
<b>Total in US dollars</b>	<b>421.473</b>	<b>346.472</b>	-	-	-	<b>767.945</b>

By Expense Item	2007-2008	2008-2009	2009-2010	2010-2011	2011-2012	2011-2012
	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6
<b>NSF Grant by Year</b>	<b>400.000</b>	<b>400.000</b>	-	-	-	-

<b>Total NSF Grant</b>	<b>800.000</b>
<b>IAI Administered funds</b> (Management, meetings, travel, others)	<b>(4.450)</b>
<b>Total Other Activities</b> (See separate sheet for details):	-
<b>Plus: Cuban Components</b> (financed by DSF, not SGP-HD)	-

<b>CRN II Un-Committed Funds</b>	<b>27.605</b>
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