

# Life Cycle Assessment (LCA)

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PDS Guatemala  
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# Emissions to air, water, soil

Raw Material



Processing



Manufacturing



Transport



Use Phase



Disposal



Resources:  
Minerals, electricity,  
water, crops

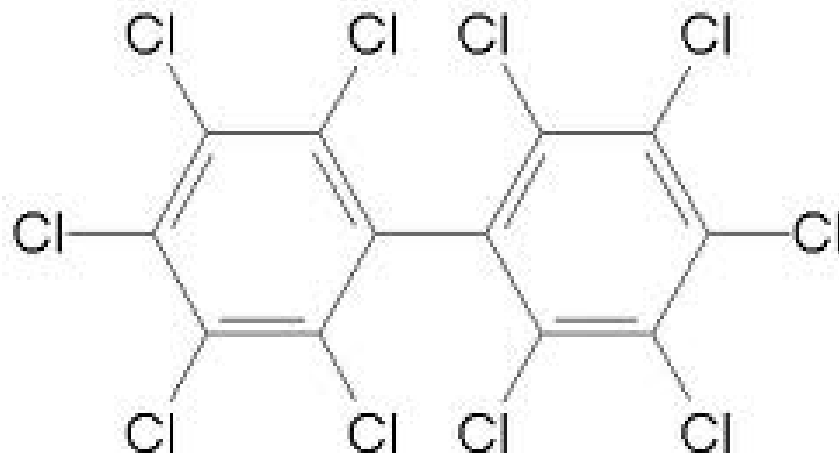


Adapted from:  
<http://sustainable-graphic-design.blogspot.com>

# Polycarbonate biphenyls (PCBs)



**PCB mass production began in 1935 by the Monsanto Corporation, and was banned in 1976**





# Electric cars

# BIOPLASTICS

## Transport



**Extraction**  
The plant materials are harvested and processed to extract their starches.



**Refining**  
The starches are processed further in bio-refineries using the use of special enzymes and fermentation (much as beer is made) to produce chemical compounds that are used to make plastics. They can be refined to fit the specifications manufacturers need for different products.



## The Life Cycle of Bioplastics

Some bioplastics decompose in a fairly short period of time, and the full life cycle of such products is shown here. Other bioplastics aren't biodegradable. But even in those cases, the use of plant-based raw materials means that pollution is being removed from the atmosphere while the plants grow, giving bioplastics a green appeal.

**Disposal**  
When disposing of a bioplastic product that is fully biodegradable, consumers can place it in an organic-waste collection bin.



**Compost and Renewal**  
The organic waste will compost and return to the earth as much to help new crops grow, completing the cycle.

GmbH; WSJ reporting

Water

Fertilizer,  
Pesticides

Equipment

Land

Transport

Electricity

Natural gas

Additives

Water

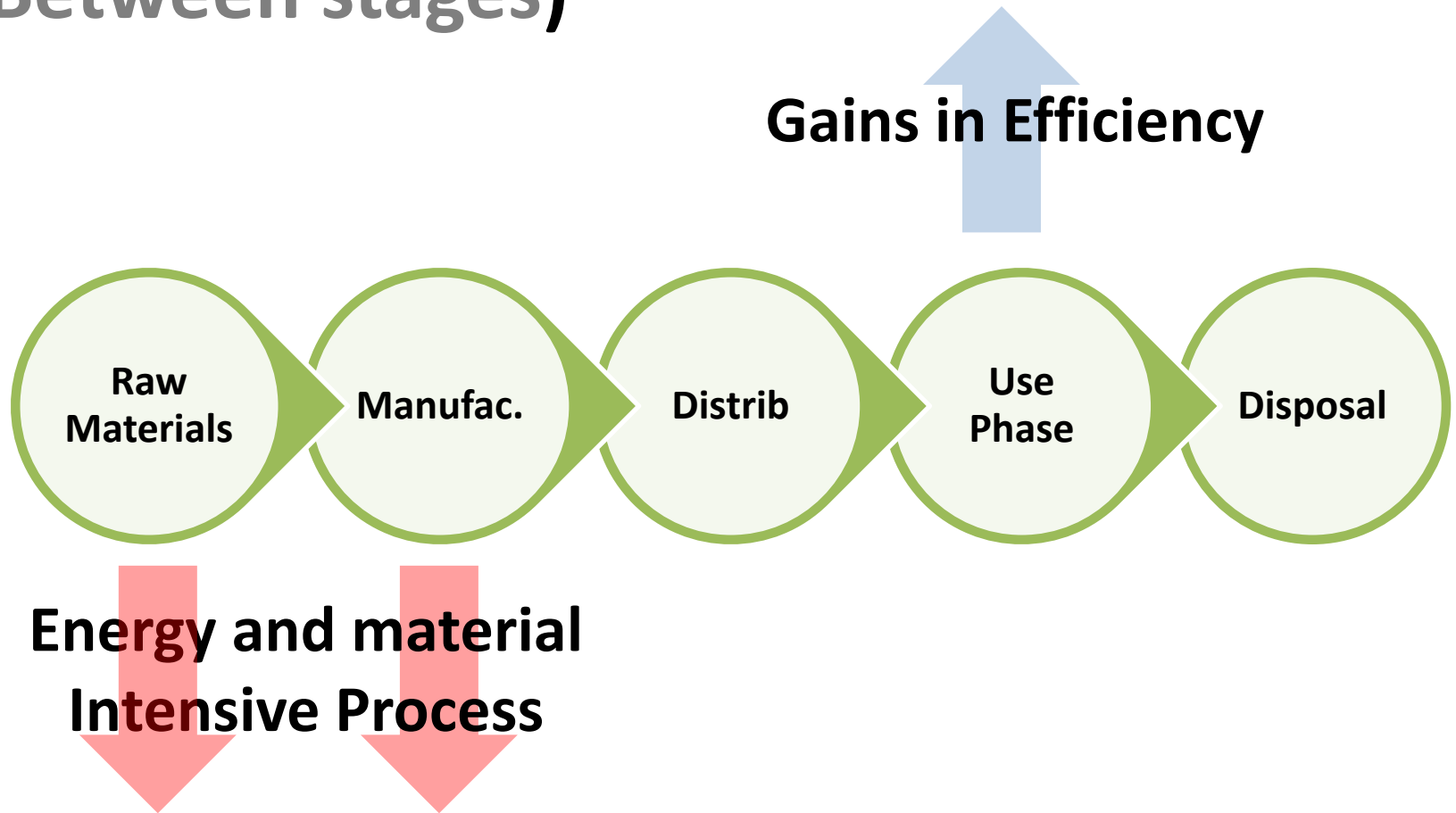
Transport

Electricity

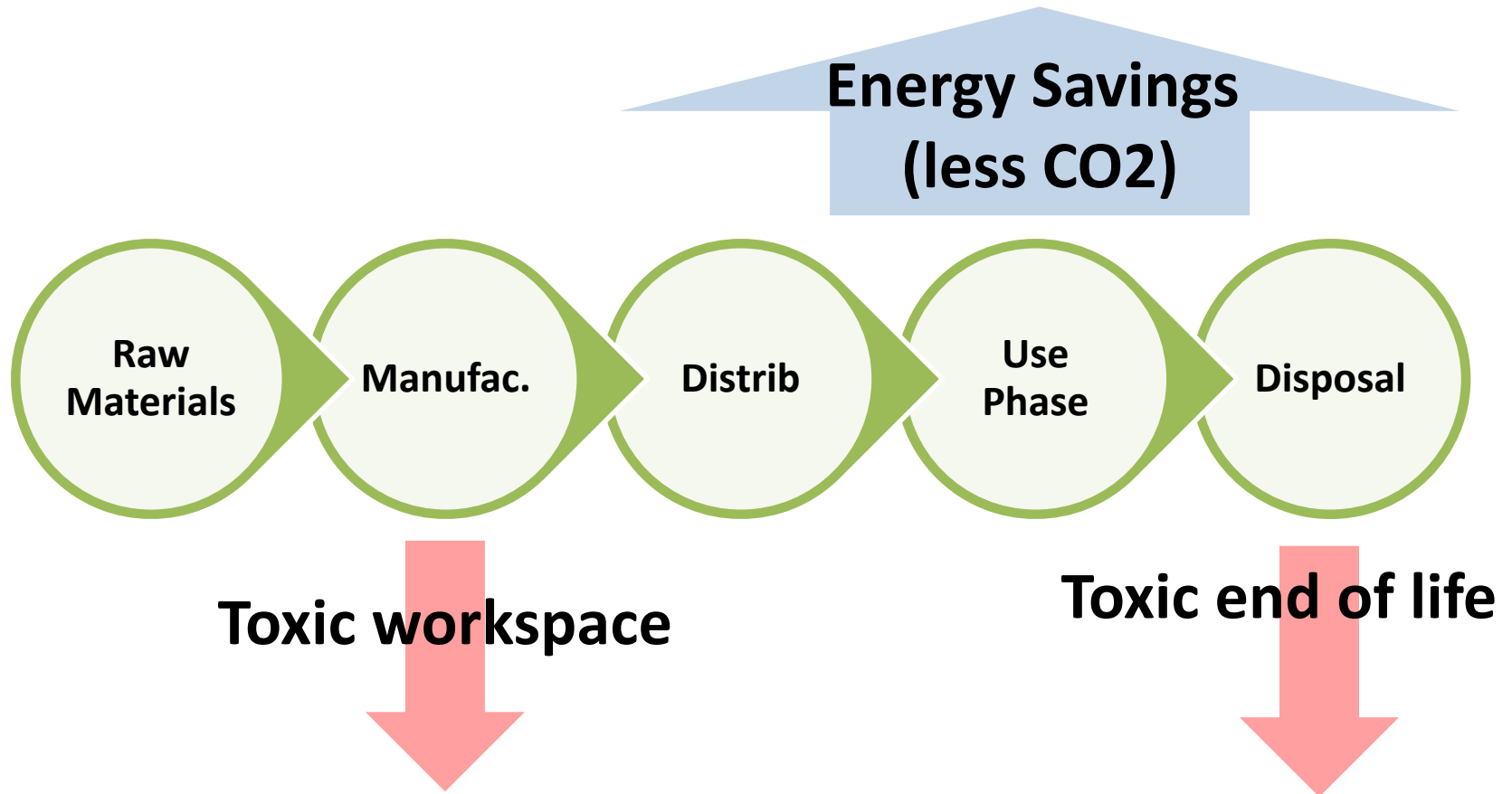
Compounds  
up linings,  
cts.



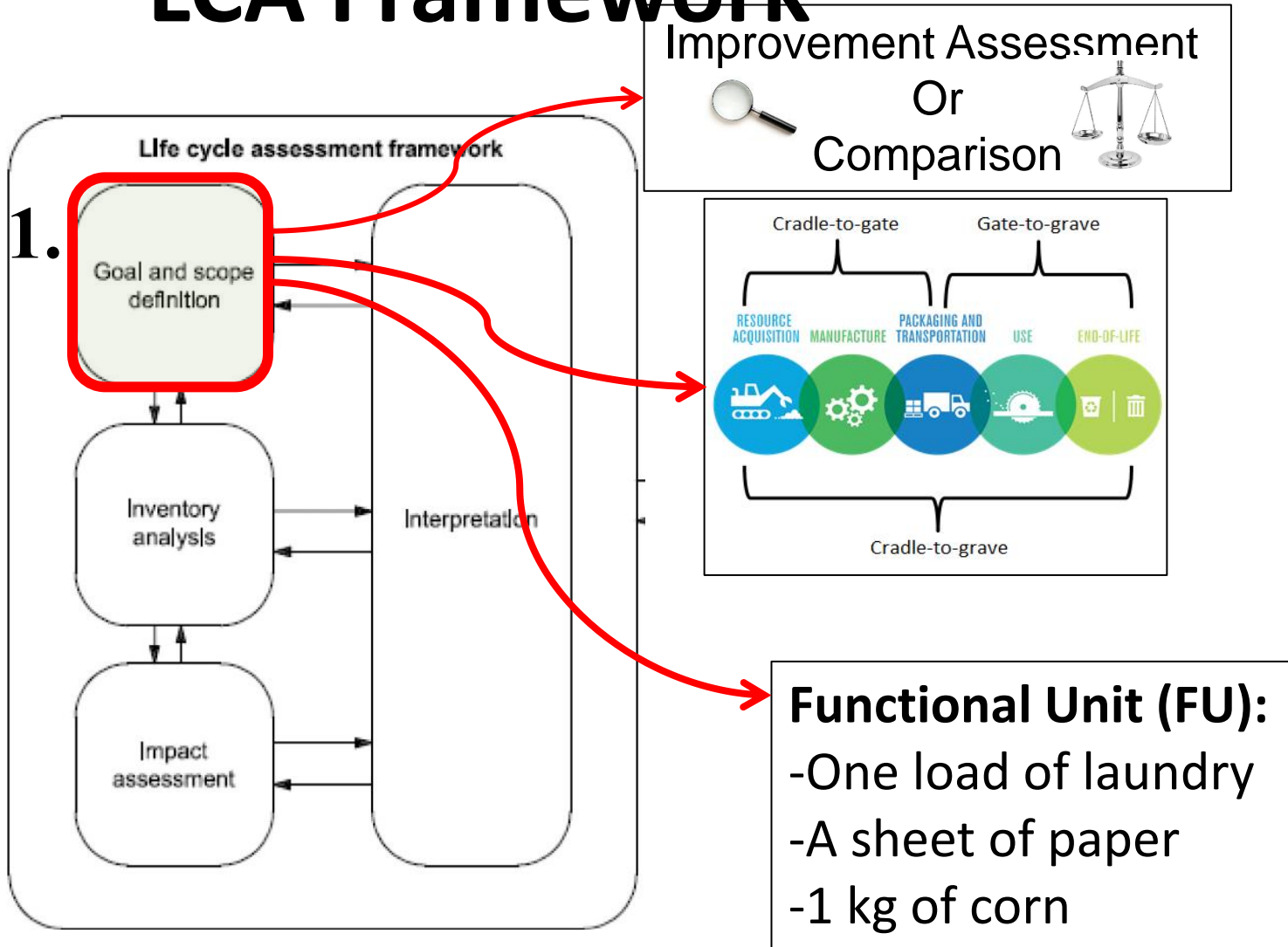
# 1. Problem Shifting (Between stages)



## 2. Problem Shifting (Between impacts)



# LCA Framework





# Goal & Scope: Motive



**Improvement  
Assessment**

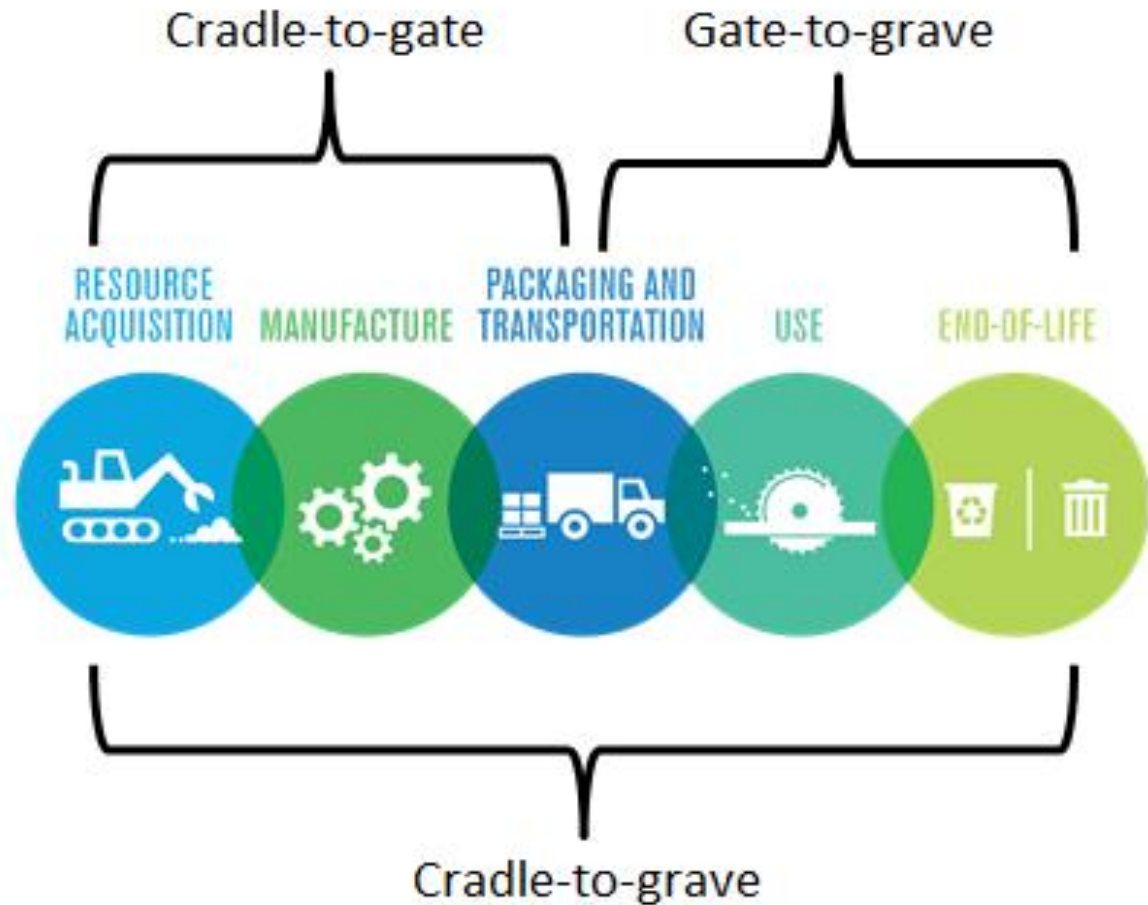
**Magnitude of  
Impact**



**Comparative  
Assessment**

**Trade-off**

# Goal & Scope: System Boundaries



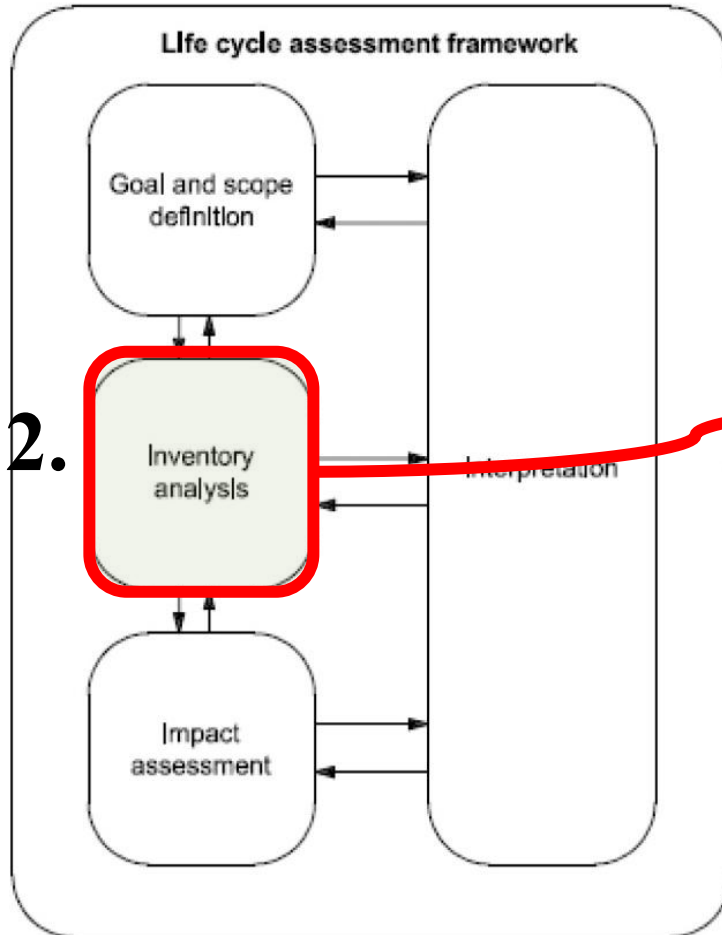
Copyright 2007 by Gail Terry Grimes. All rights reserved.

- Environmental concerns
- Stakeholder identification

# Goal & Scope: Functional Unit



# LCA Framework



## Inputs per FU:

- 3 kWh Electricity
- 15 gallons of water
- 40 m<sup>2</sup> of land
- 10 gallons of diesel
- 50 lbs of plastic



**Process A**

## Outputs per FU:

- 4 kg of CO<sub>2</sub> to air
- 15 gallons of contaminated water
- 3 grams of particulate matter
- 5g of dioxins



# Inventory: Sources

- Measurements
- Publications
- NREL - US LCI
- Ecoinvent
- Input/Output
- GREET






# Inventory

Park, remodelled | Park, remodelled - CML 2001

### Life cycle inventory of Park, remodelled (ecoinvent\_Fallstudien)

Inputs

Flow	Category	Flow property	Amount
Aluminium, 24% in bauxite, 11...	resource/in ground	Mass	3.31E
Anhydrite, in ground	resource/in ground	Mass	5.6E-
Barite, 15% in crude ore, in gro...	resource/in ground	Mass	1.48E
Basalt, in ground	resource/in ground	Mass	3.4
Borax, in ground	resource/in ground	Mass	2.1E-
Bromine, 0.0023% in water	resource/in water	Mass	7.1E-
Cadmium, 0.30% in sulfide, Cd ...	resource/in ground	Mass	6.98E
Calcite, in ground	resource/in ground	Mass	2.09E
Carbon dioxide, in air	resource/in air	Mass	1.82E
Carbon, in organic matter, in soil	resource/in ground	Mass	4.19E
Chromium, 25.5% in chromite, ...	resource/in ground	Mass	1.27E
Chrysotile, in ground	resource/in ground	Mass	1.31E
Cinnabar, in ground	resource/in ground	Mass	1.18E
Clay, bentonite, in ground	resource/in ground	Mass	4.33E
Clay, unspecified, in ground	resource/in ground	Mass	5.18E
Coal, brown, in ground	resource/in ground	Mass	1.13E4 kg
Coal, hard, unspecified, in grou...	resource/in ground	Mass	8.1E3 kg
Cobalt, in ground	resource/in ground	Mass	4.5E-4 kg
Colemanite, in ground	resource/in ground	Mass	0.1 kg
Copper, 0.99% in sulfide, Cu 0.3...	resource/in ground	Mass	1.26 kg
Copper, 1.18% in sulfide, Cu 0.3...	resource/in ground	Mass	6.96 kg
Copper, 1.42% in sulfide, Cu 0.8...	resource/in ground	Mass	1.85 kg
Copper, 2.19% in sulfide, Cu 1.8...	resource/in ground	Mass	9.18 kg
Diatomite, in ground	resource/in ground	Mass	3.54E-5 kg
Dolomite, in ground	resource/in ground	Mass	4.8 kg
Energy, gross calorific value, in ...	resource/biotic	Energy	2.0E6 MJ
Energy, gross calorific value, in ...	resource/biotic	Energy	2.9 MJ
Energy, kinetic (in wind), conve...	resource/in air	Energy	4.64E3 MJ



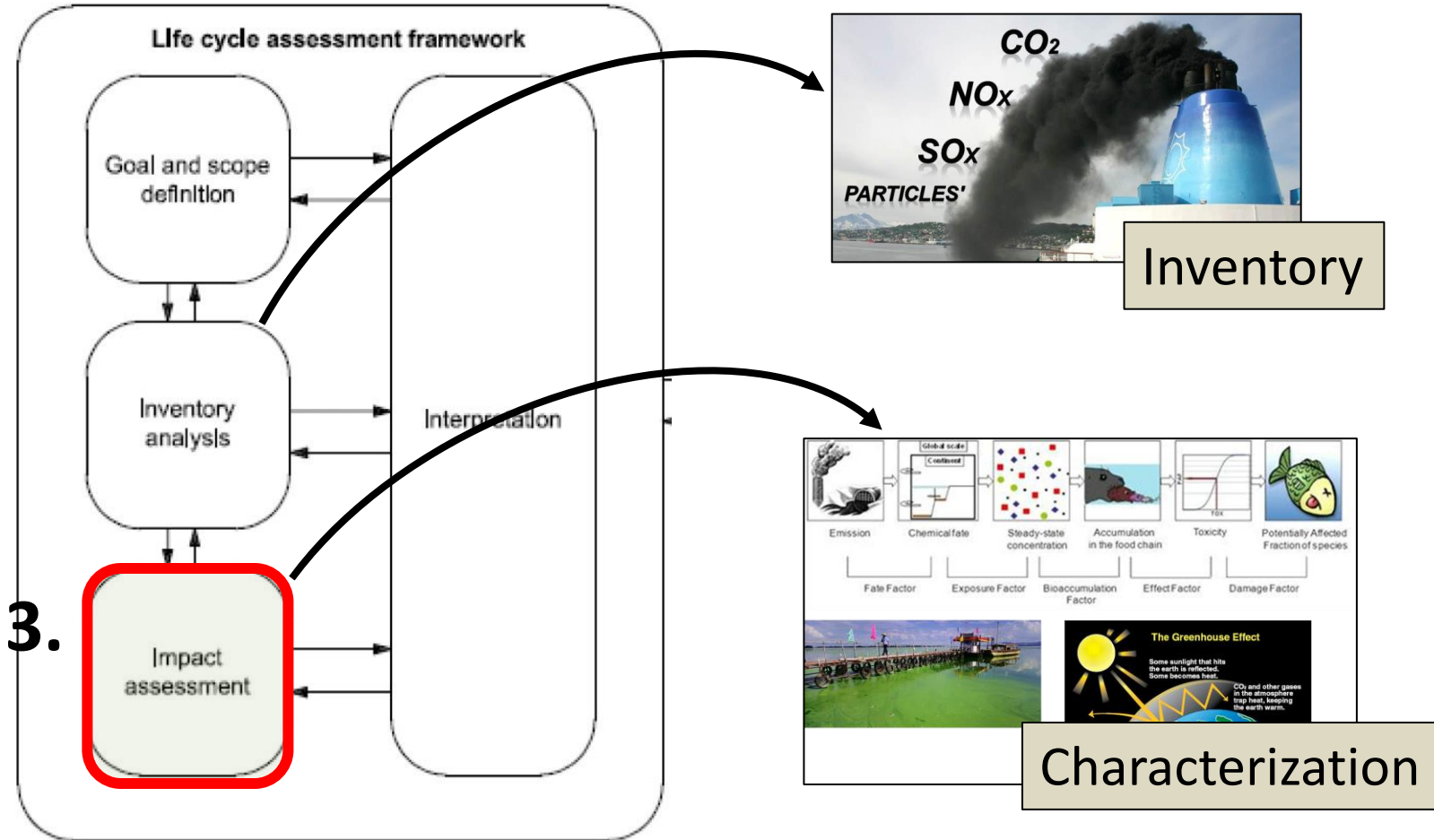
**CO<sub>2</sub>**  
**NO<sub>x</sub>**  
**SO<sub>x</sub>**  
**PARTICLES'**

**Inventory**

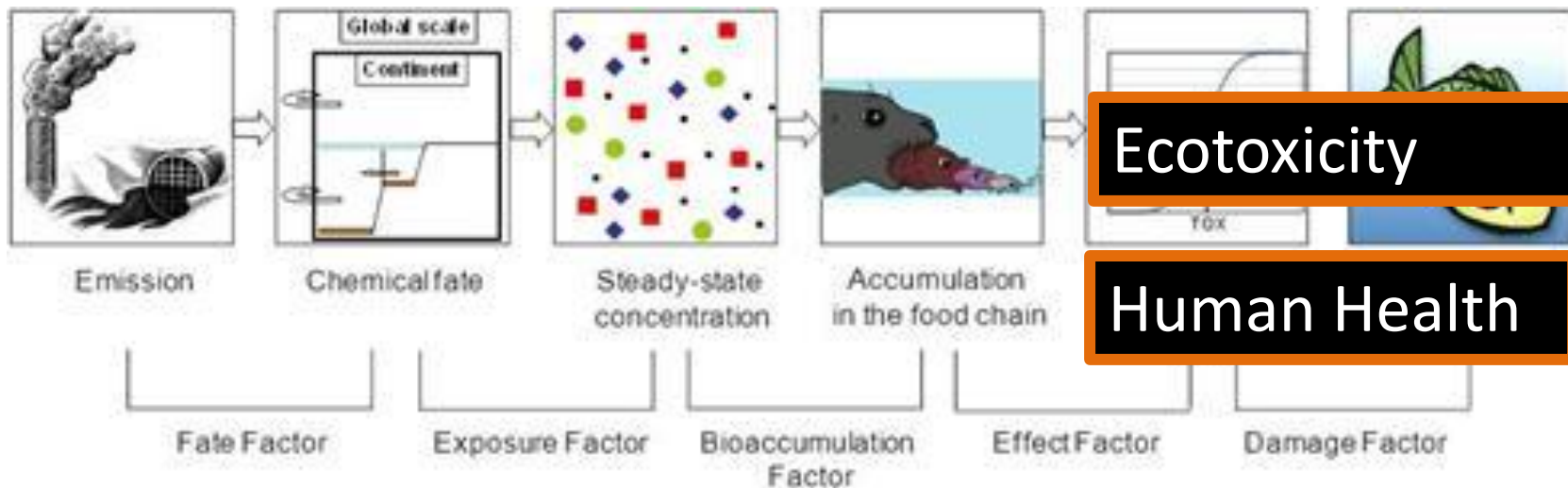
2-Propanol	air/high population de	Mass	
4-Methyl-2-pentanone	water/unspecified	Mass	1.11E-8 kg
Acenaphthene	air/low population density	Mass	1.36E-8 kg
Acenaphthene	water/river	Mass	8.15E-6 kg
Acenaphthene	air/unspecified	Mass	2.54E-11 kg
Acenaphthene	air/high population density	Mass	8.97E-8 kg
Acenaphthene	water/ocean	Mass	3.64E-6 kg
Acenaphthylene	water/ocean	Mass	2.28E-7 kg
Acenaphthylene	water/river	Mass	5.1E-7 kg
Acetaldehyde	air/high population density	Mass	1.01E-2 kg
Acetaldehyde	air/unspecified	Mass	0.49 kg
Acetaldehyde	water/river	Mass	8.79E-5 kg
Acetaldehyde	air/low population density	Mass	1.03E-4 kg

General information | Life cycle inventory | Characterization

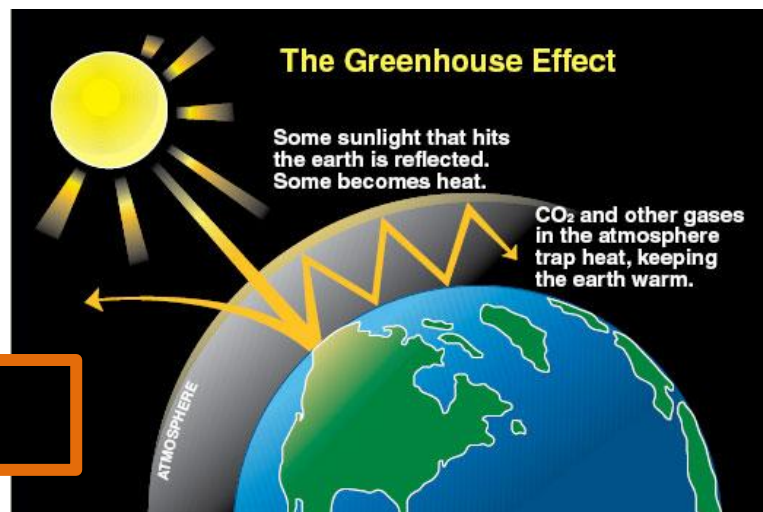
# Impact Assessment



# Characterization



**Global Warming**





# Selecting indicators

## Select specific emissions:

CO<sub>2</sub>

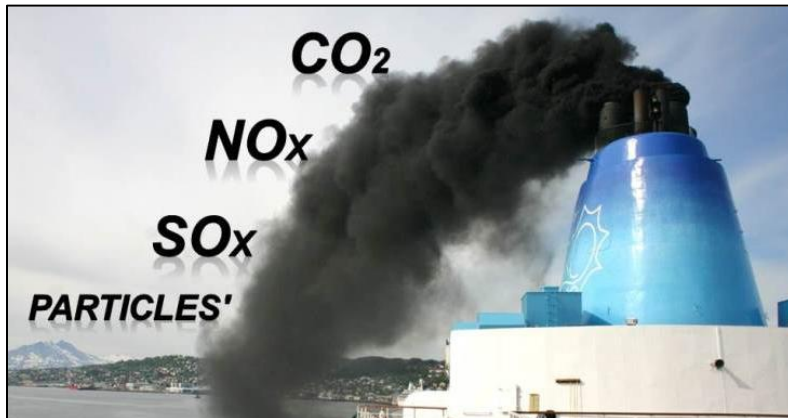
CH<sub>4</sub>

VOC

NO<sub>x</sub>

SO<sub>x</sub>

PM



## Characterization:

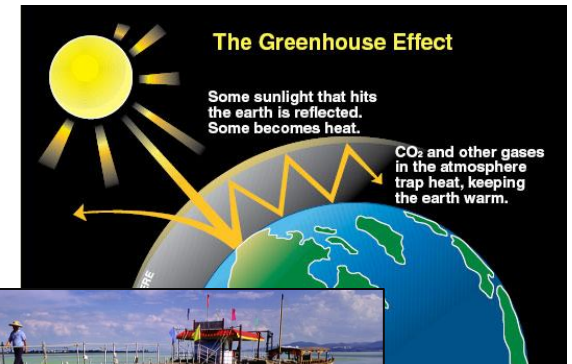
Global Warming Potential

Eutrophication

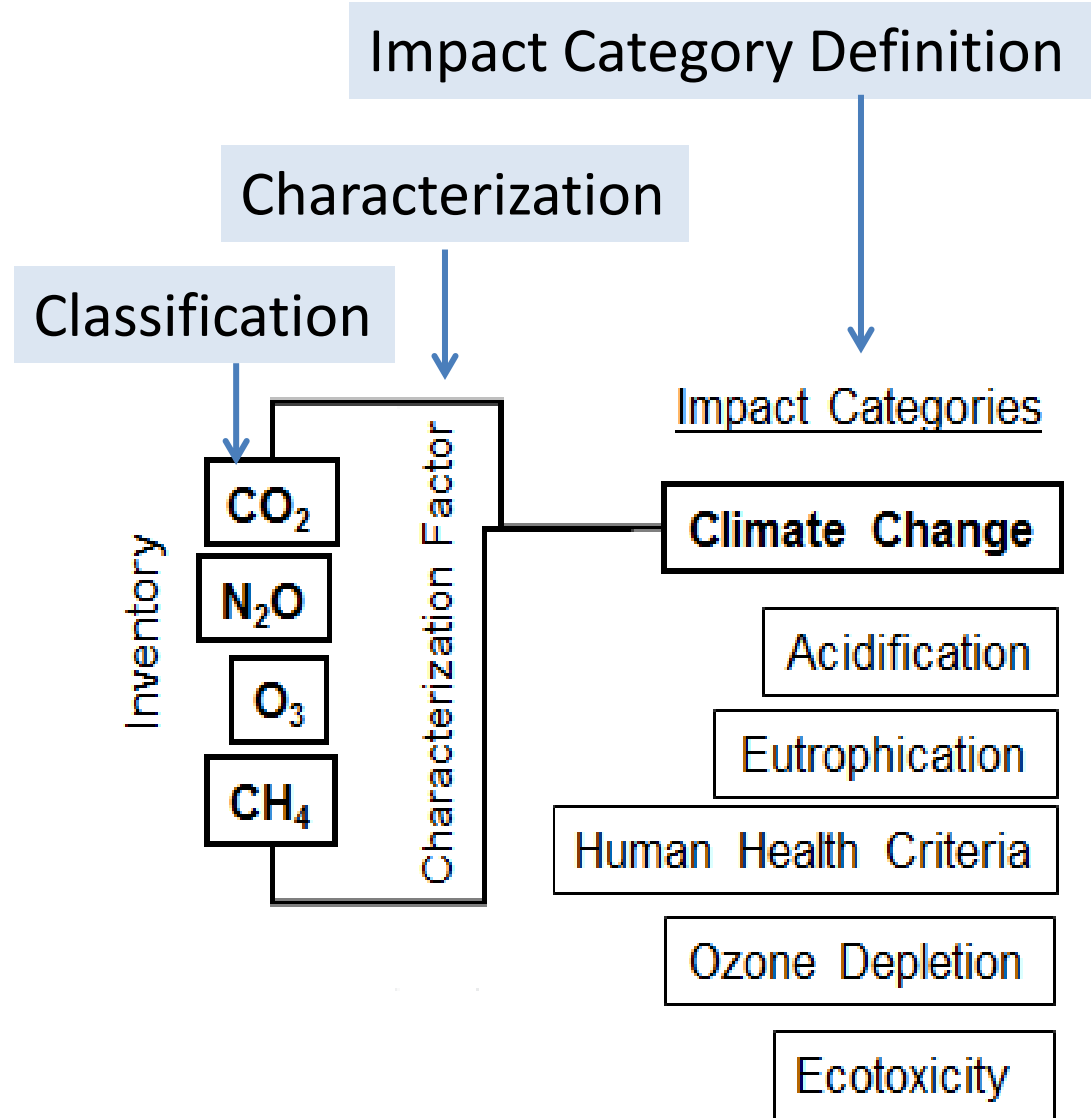
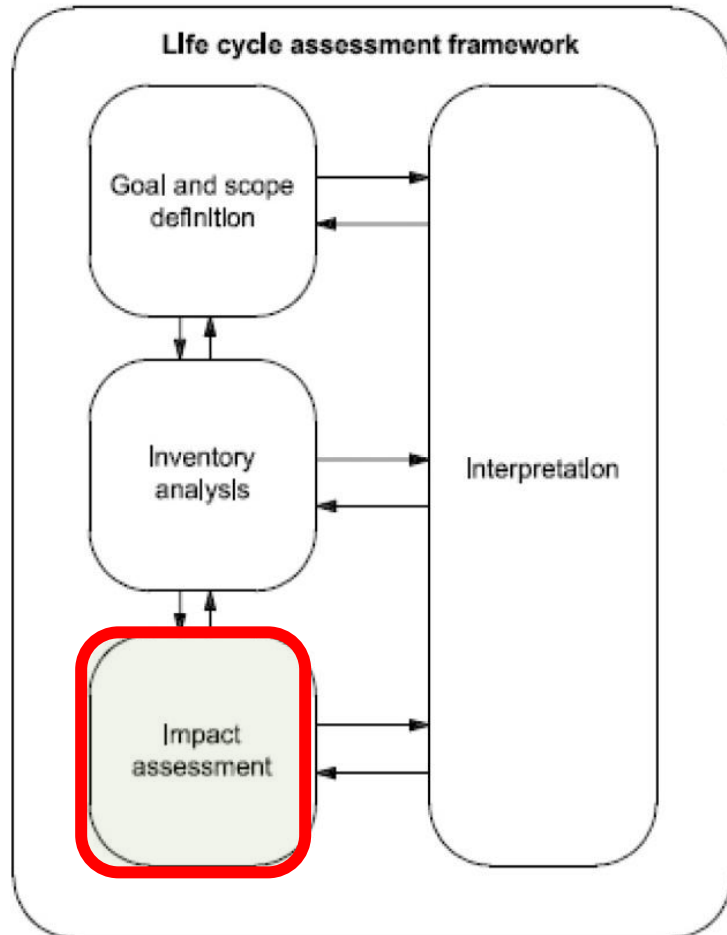
Acidification

Human Toxicity

...



# Impact Assessment



# LCIA Methods

- CML 2001
- Eco-Indicator 99
- IMPACT 2002+
- ReCiPe
- TRACI



View method 'ReCiPe Midpoint (E) V1.0'

General | Characterization | Normalization

Impact category	Unit
Climate change	kg CO2 eq
Ozone depletion	kg CFC-11 eq
Terrestrial acidification	kg SO2 eq
Freshwater eutrophication	kg P eq
Marine eutrophication	kg N eq
Human toxicity	kg 1,4-DB eq
Photochemical oxidant formation	kg NMVOC
Particulate matter formation	kg PM10 eq
Terrestrial ecotoxicity	kg 1,4-DB eq
Freshwater ecotoxicity	kg 1,4-DB eq
Marine ecotoxicity	kg 1,4-DB eq
Ionising radiation	kBq U235 eq
Agricultural land occupation	m2a
Urban land occupation	m2a
Natural land transformation	m2
Water depletion	m3
Metal depletion	kg Fe eq
Fossil depletion	kg oil eq

# TRACI

Tool for the Reduction and Assessment Of Chemical and Other Environmental Impacts

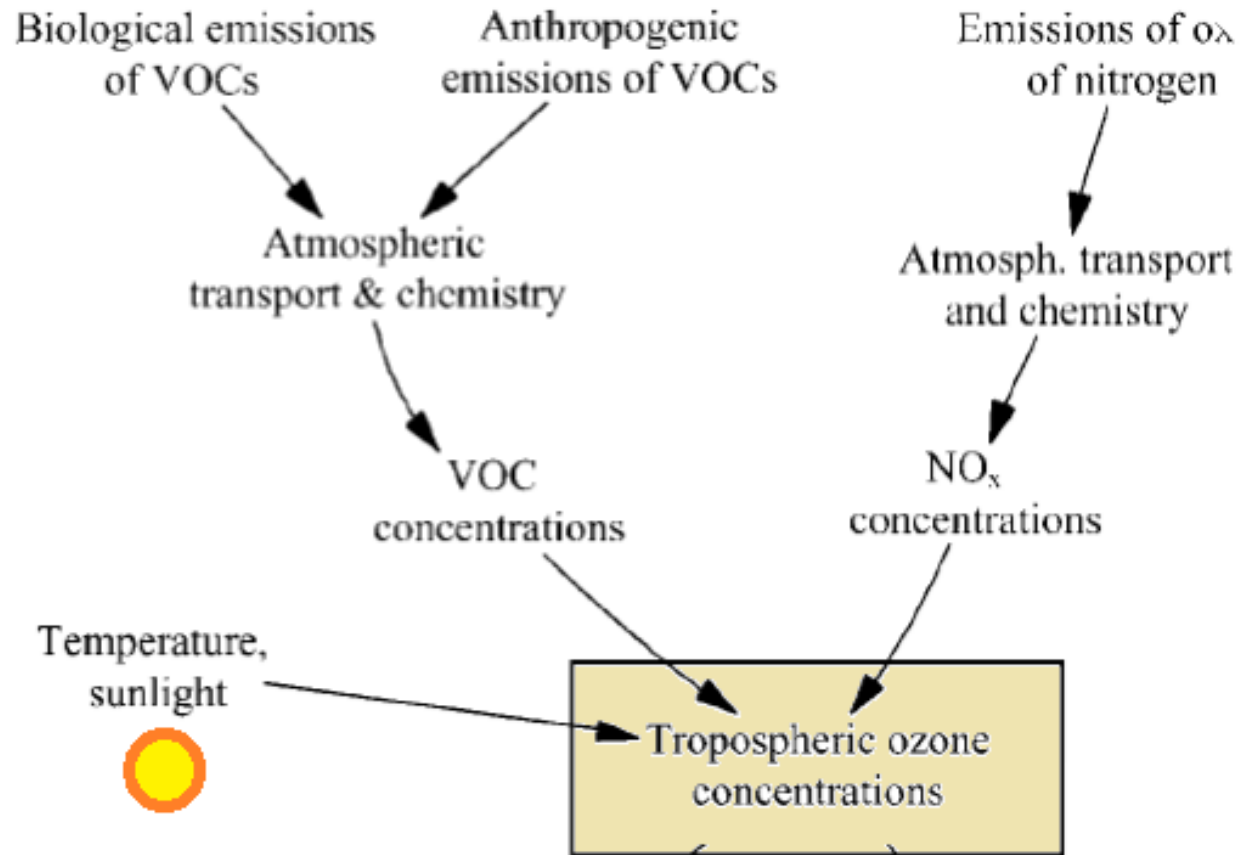
General	Characterization	Normalization
	Impact category	Unit
	Ozone depletion	kg CFC-11 eq
	Global warming	kg CO2 eq
	Smog	kg O3 eq
	Acidification	kg SO2 eq
	Eutrophication	kg N eq
	Carcinogenics	CTUh
	Non carcinogenics	CTUh
	Respiratory effects	kg PM2.5 eq
	Ecotoxicity	CTUe
	Fossil fuel depletion	MJ surplus

# Characterization **Factors**

- **Global Warming Potential (kg CO<sub>2</sub> eq)**
  - Infra-red absorbing efficiency, decay (time)
- **Acidification (H<sup>+</sup> eq)**
  - Air emissions and deposit (rain, snow, dry)
  - sulphur dioxide, nitrogen oxides, ammonia
- **Eutrophication**
  - Runoff( Terrain, precipitation, volatilization)

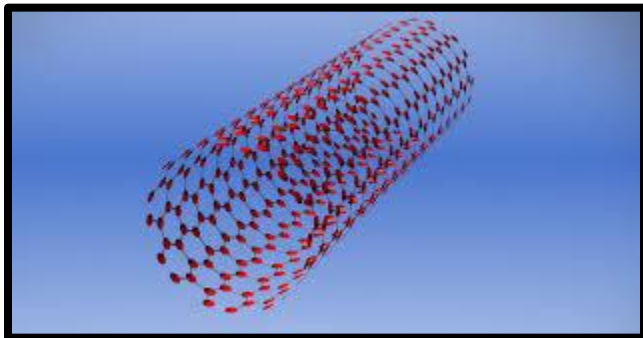
# Characterization Factors

- Smog (O<sub>3</sub> eq)
  - Ground level ozone



# Challenges/Limitations

- Uncertainty
- CF for emerging materials
- Accounting of long term emissions
- Regional differences
  - Preexisting conditions
  - Buffering capacities



# Use of Results

**Improvement: (Single product)**

Guide actions/Hotspots

**Comparative: >1**

Tradeoffs/prevents burden shifting





LCA activity

1. Sign up



# EarthSmart

EarthSmart is a simplified LCA tool for evaluating the environmental impacts of a Product or Service life cycle. EarthSmart is web-based, and enables teams in Product Design, Sales, Product Marketing, EH&S and others to innovate without being LCA experts.

## Innovate with EarthSmart's simplified "what if..." scenario analysis

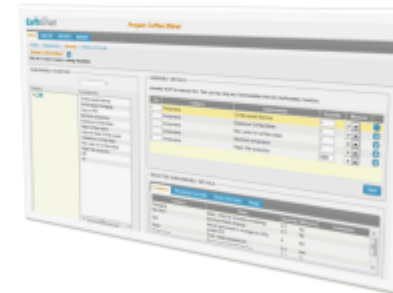
Other tools are built only for LCA experts. EarthSmart allows the LCA experts to ensure the rigor and consistency that ISO and the marketplace demands, while presenting information in an intuitive user interface that allows experts in areas other than LCA to quickly and easily ask "What if..."

- *What if* your sales team could show your customers a report?
- *What if* your marketing team marketed your sustainability initiatives?
- *What if* your R&D team changed a component or process in a product?
- *What if* your EH&S team used a different chemical in your product?

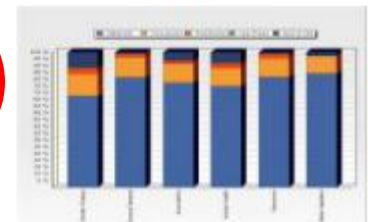
[Start Your 30-day Free Trial!](#)

## EarthSmart LCA tool

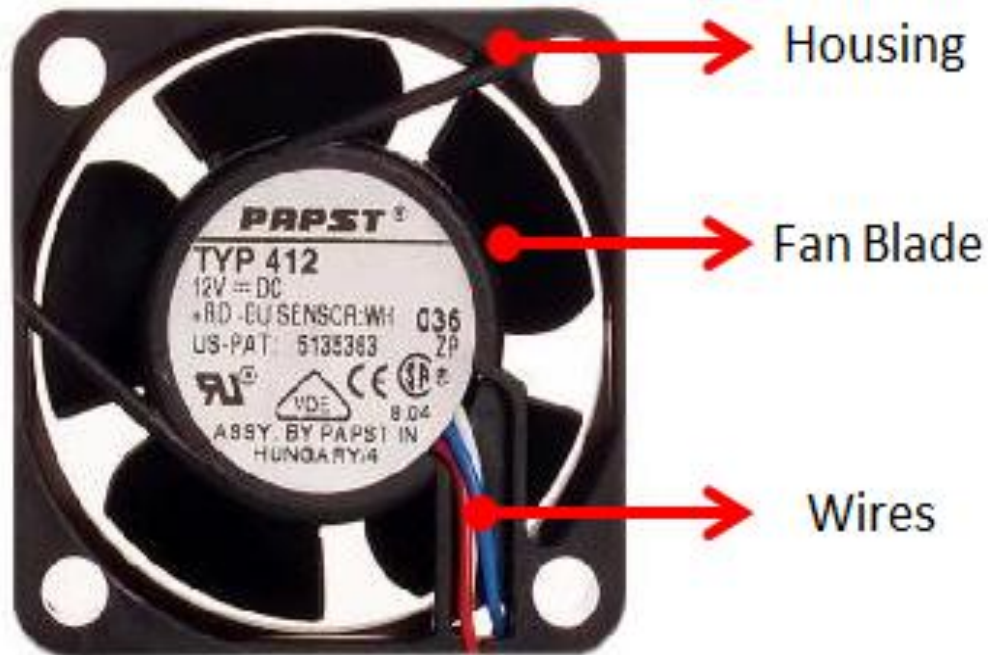
- Features
- Pricing & Order Information
- Tutorials
- Login
- Impact Assessment Method



[View our Earthsmart tutorials »](#)



# Computer Fan exercise in EarthSmart



# 2. New Project & Save

## PROJECTS

Please select or create a new Project.

Project	Method	Normalization	EOL Scenario
Plastic vs Wood Decking	ES Method	World ReCiPe H/A	Waste scenario 2010/US US-EI S
Practical LCA Course - Crane Truck	ES Method	World ReCiPe H/A	Waste scenario 2010/US US-EI S
Computer Fan	ES Method	World ReCiPe H/A	Waste scenario 2010/US US-EI S

## PROJECT DETAILS

To create a new project, click New, enter all the necessary information and click Save. The newly created project will appear at the bottom of the Projects table.

Project:

Description:

Impact Assessment Method:

Normalization/Weighting:

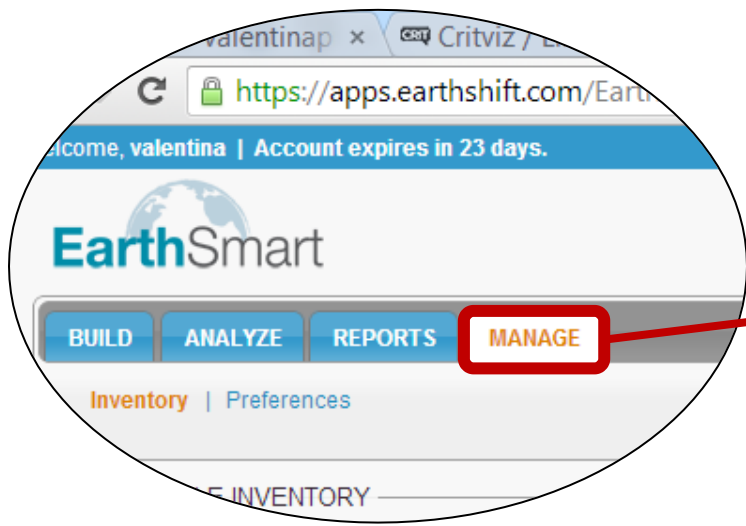
End of Life (EOL) Scenario:

Fill in the form

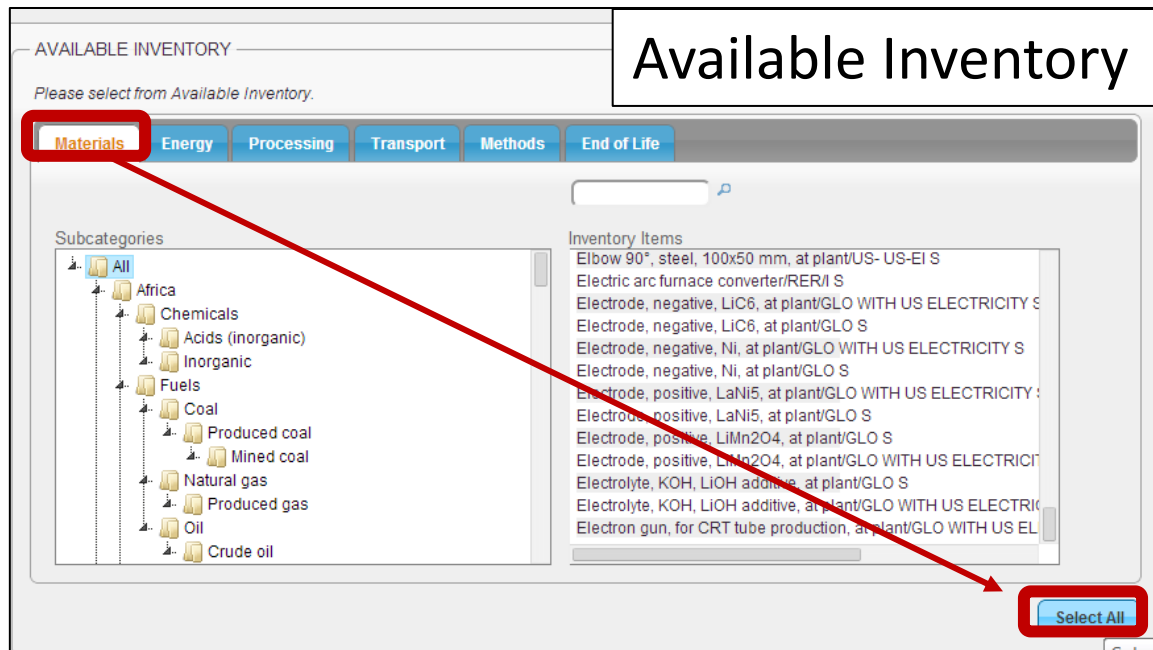
New & Save

Once you have selected your project, proceed to building your Component/Activity.

# 3. Import Inventory



- Go to Manage Tab
- Transfer ALL Inventory from available → approved



## Available Inventory

## Approved Inventory

The screenshot shows the 'Approved Inventory' table. The table has a header 'Common Name' and a 'Save' button at the bottom right. The table contains several rows of inventory items, each with a 'Common Name' and a 'Save' button next to it.

Common Name
acrylic varnish, 87.5% in H2O/US
alkyd paint, white, 60% in H2O/US
alkyd paint, white, 60% in solvent/US
aluminium hydroxide, at plant/kg/US
Benzene production/kg/North American
benzene, at plant/kg/US
butyl acetate/US
butyl acrylate, at plant/kg/US
calcium chloride, CaCl2, at plant/kg/US

Save

# 3. Import Inventory

## AVAILABLE INVENTORY

Please select from available inventory.

Materials

Energy

Processing

Transport

Methods

End of Life

### Inventory Items

Waste scenario 2010/US US-EI S  
Waste scenario average Europe  
Waste scenario Durable goods/US US-EI S  
Waste scenario incineration and landfill only/US US-EI S  
Waste scenario no end of life  
Waste scenario Non-Durable goods 2010/US US-EI S  
Waste scenario Packaging waste scenario 2010/US US-EI S  
Waste scenario Packaging/Eng S  
Waste scenario, electronics to WEEE treatment  
Waste scenario/Eng S  
Waste scenario/FR S  
Waste scenario/US with US electricity U

Import ALL inventory from:

- Materials
- Energy
- Transport
- Methods
- End of Life

Select All

# 3. Goal and Scope

## Purpose:

Compare 3 design choices for fan blades

## System Boundaries:

Cradle to grave

## Functional Unit:

Use of a fan for 1 year



Plastic



Steel



Aluminum



Housing

Fan Blade

Wires

# 3. Build Components

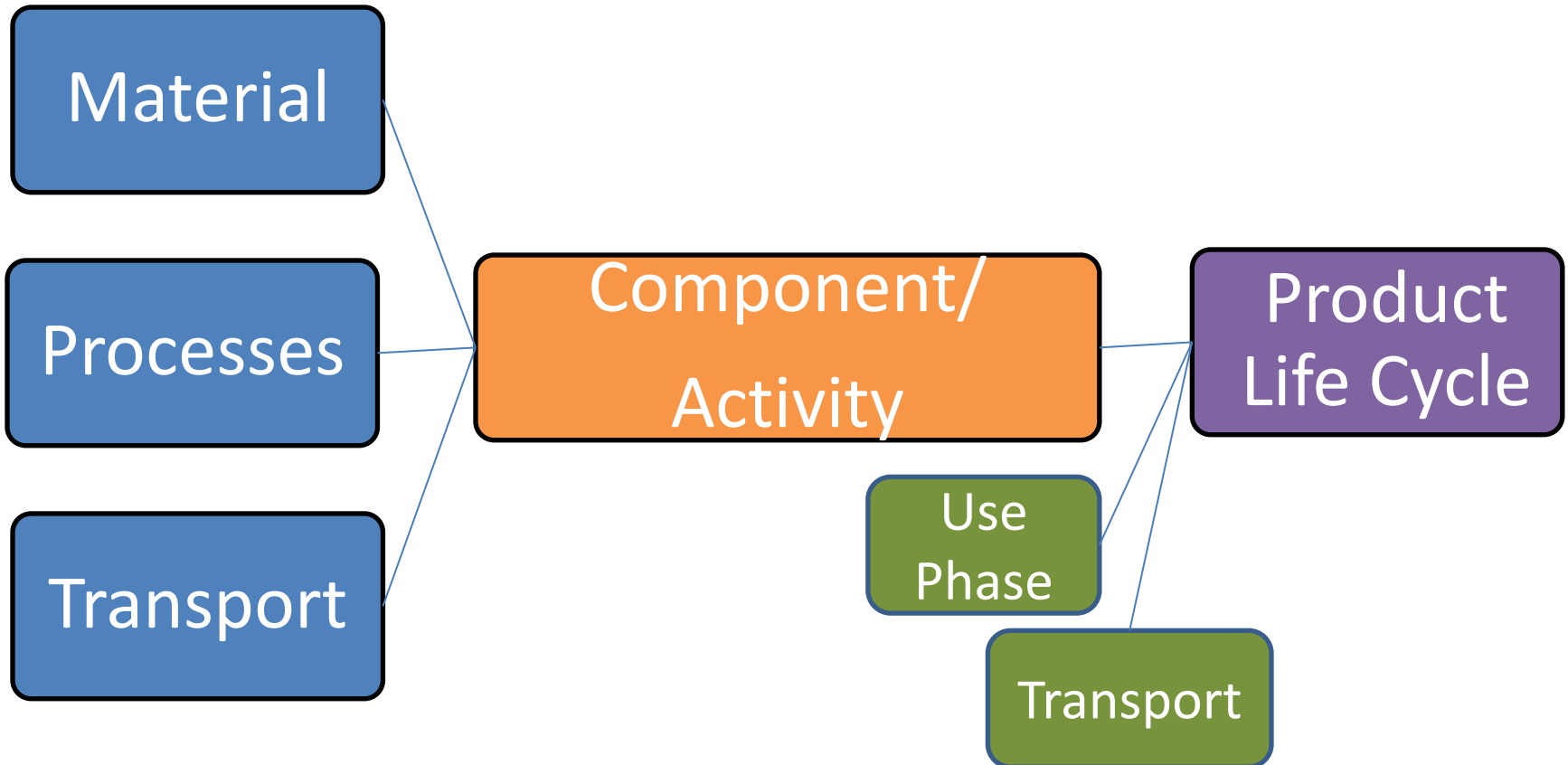
BUILD

ANALYZE

REPORTS

MANAGE

Project | Component/Activity | Product Life Cycle



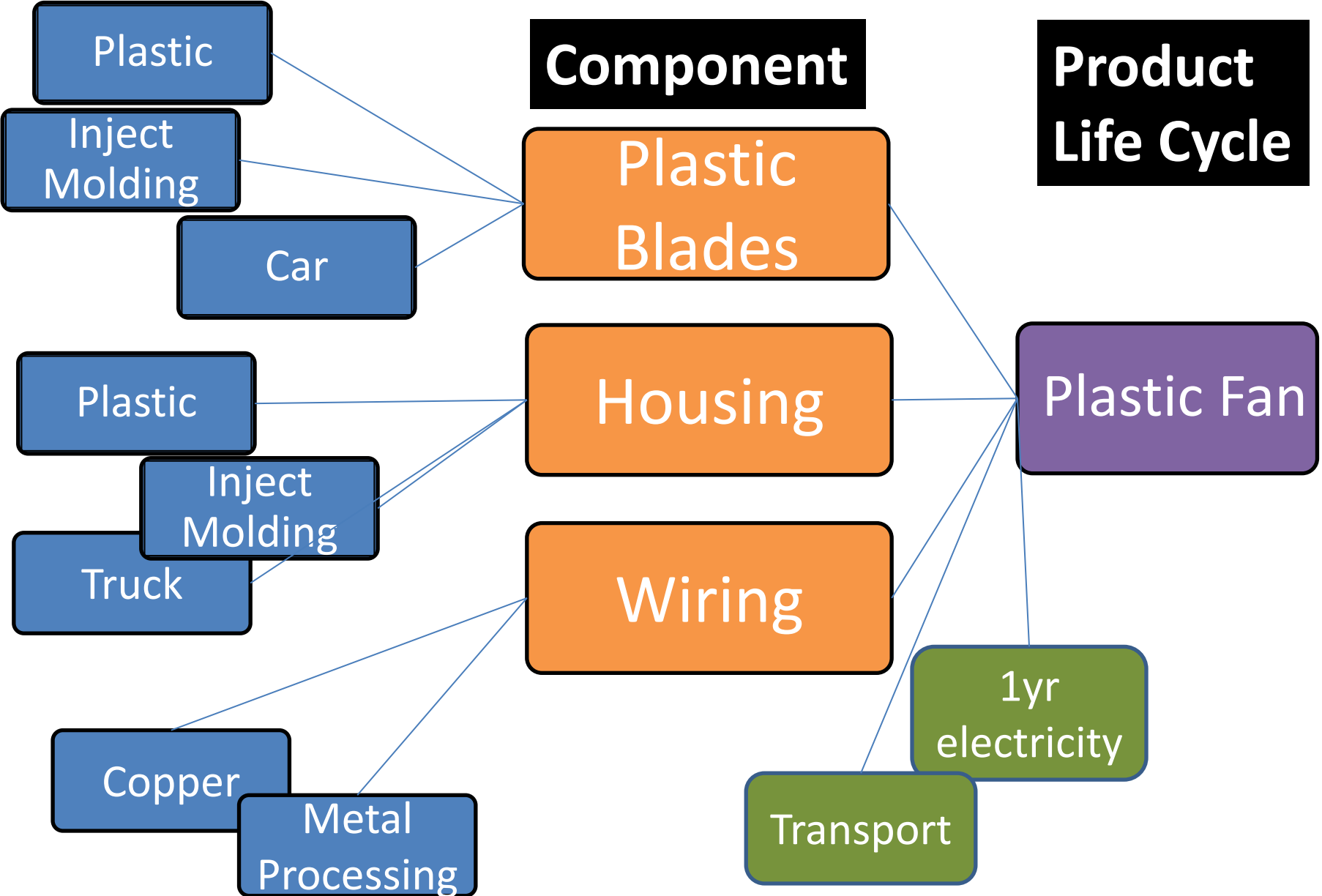


# 3. Build Components

## Inventory

## Component

## Product Life Cycle

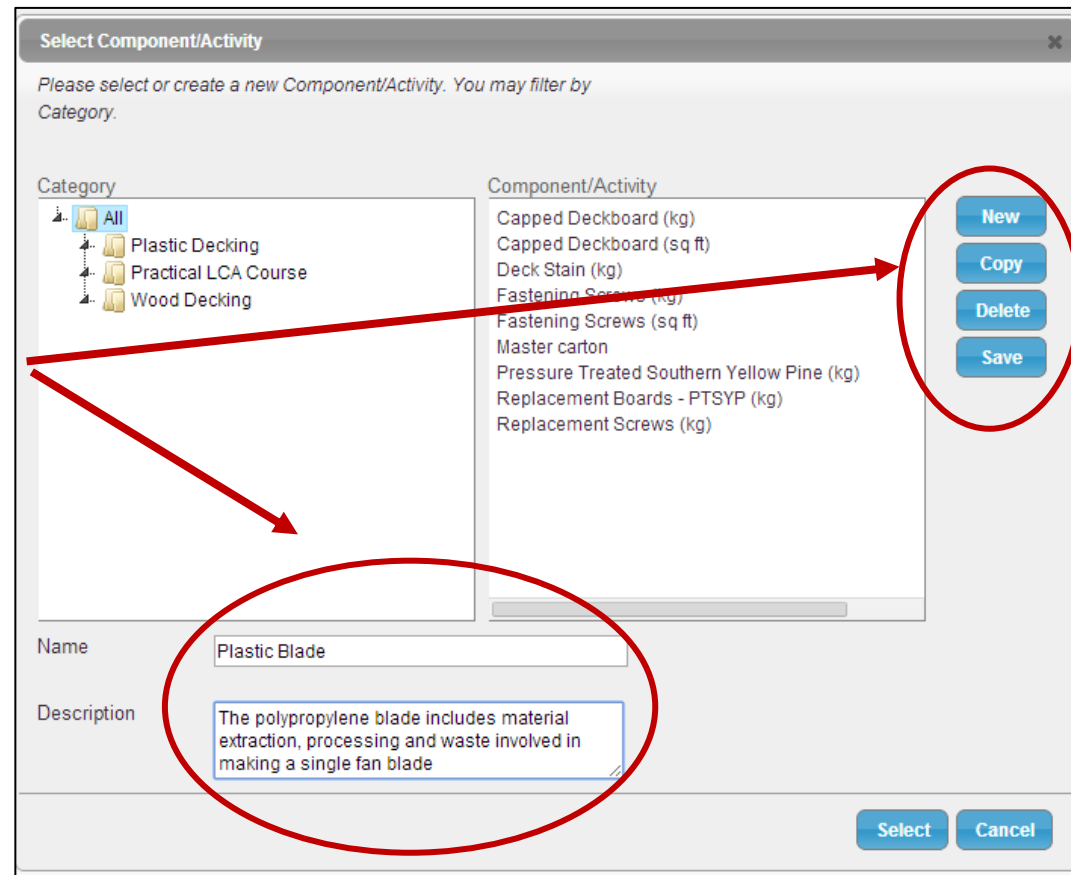


# 3. Create Component



- Go to Build Tab
- Select "Component/Activity"

- New
- Fill in Name & Description
- Save!
- select



# 3. Create Component

PROCESS INVENTORY

If you don't see the inventory you need, contact your EarthSmart Manager to add the inventory to your list.

Materials Processing Transport

Be sure to select Processing and Transport.

Subcategories

- All
- Europe
  - Chemicals
  - Inorganic
- Global and Oceanic
  - Chemicals
  - Organic
- North America
  - Chemicals
  - Inorganic
  - Organic
  - Other
  - Waste
  - Transport
- Construction
  - Paint
  - Minerals

Inventory Items

- acrylic varnish, 87.5% in H2O/US
- alkyd paint, white, 60% in H2O/US
- alkyd paint, white, 60% in solvent/US
- aluminium hydroxide, at plant/kg/US
- Benzene production/kg/North American
- benzene, at plant/kg/US
- Butyl acetate/US
- butyl acrylate, at plant/kg/US
- calcium chloride, CaCl<sub>2</sub>, at plant/kg/US

Drag inventory from:

- Materials
- Processing
- Transport

COMPONENT/ACTIVITY DETAILS

Component/Activity **MUST** be selected first. Then you may drag any processes from the Process Inventory.

Inventory Recycling Overrides Waste Overrides Image

Selected Inventory Items

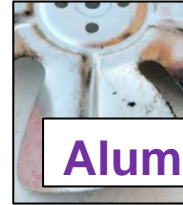
Category	Subcategory	Name	Quantity	Measure	Comments
----------	-------------	------	----------	---------	----------

**Drag** inventory,  
Specify quantity (ex. kg)  
..  
And **SAVE**

Save

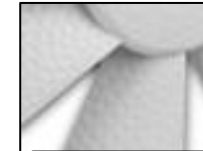
Component/  
Activity

1. Aluminum Blades



Aluminu  
m

2. Plastic Blades



Plasti  
c

3. Steel Blades



Ste  
el

4. Housing



Housing

5. Wiring



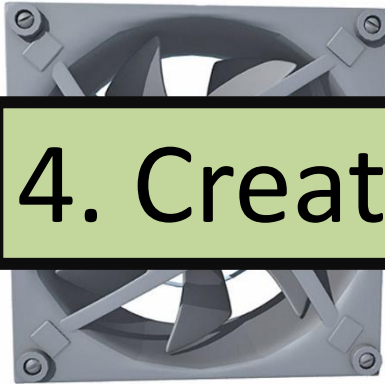
Wiring

6. Electricity

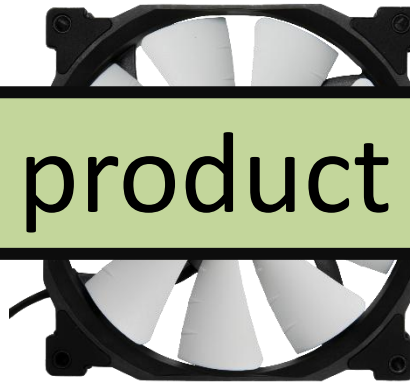


Electrici  
ty

Aluminum Fan



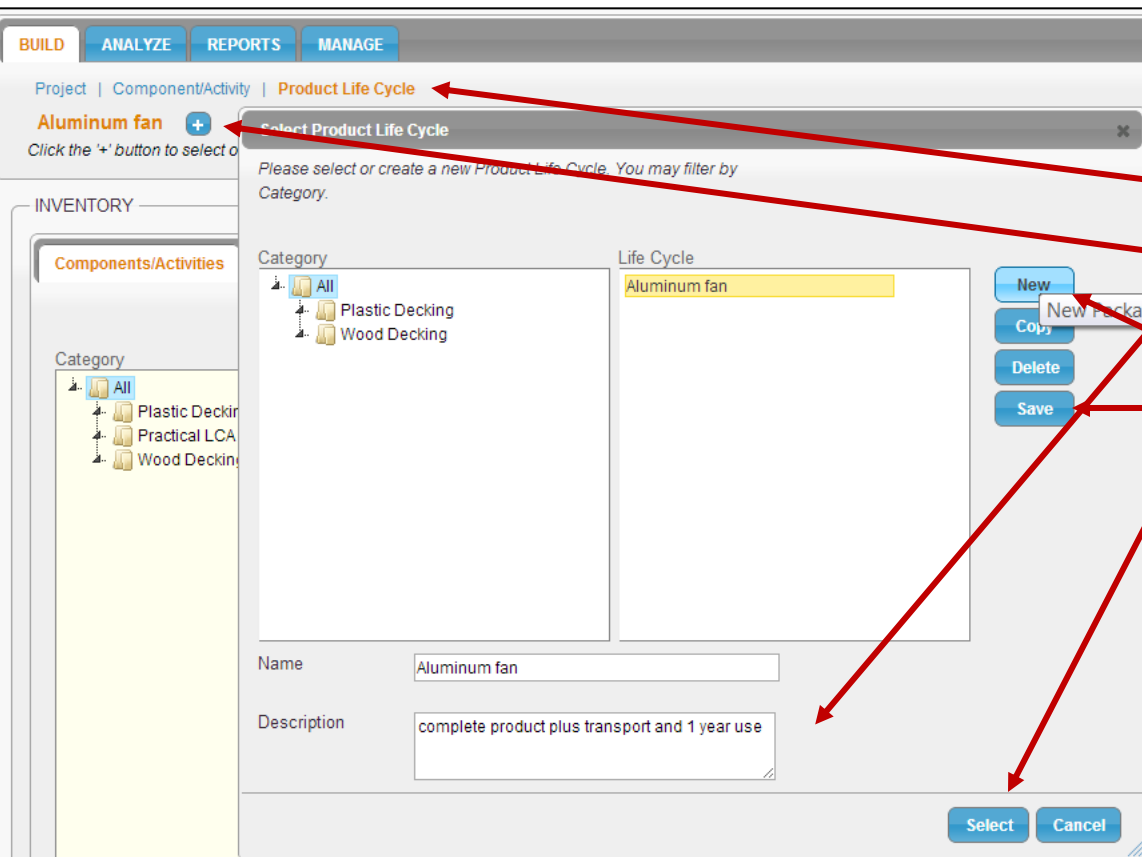
Plastic Fan



Steel Fan



# 4. Create product life cycles



- a. Go to "Product life cycle"
- b. "+"
- c. New (Fill form)
- d. Save
- e. Select

Components/Activities

Transport

Aluminum Fan

Category

Component

Place “components” into the “product life cycle”

Wood Decking

Copper wiring

Deck Stain (kg)

Fastening Screws (kg)

Fastening Screws (sq ft)

- Alum. Blades
- Wiring
- Housing

Southern Yellow Pine (kg)

## LIFE CYCLE DETAILS

Product Life Cycle MUST be selected first. Then you can

System Weight 0.78 kg

Product

Packaging

Use Phase

Transport

Selected Assemblies and Components/Activities

Uses	Assembly or Component/Activity	Quantity	
1	Aluminum blade	1	
1	Copper wiring	1	
1	Plastic Housing	1	

Recalculate &amp; Save

Recalculate

View BOM

Save

BUILD

**ANALYZE**

REPORTS

MANAGE

Product Life Cycle

Go to "Analyze"

LIFE CYCLE INVENTORY

Category

All

Plastic Decking

Life Cycle

Aluminum fan

plastic fan

steel fan

LIFE CYCLE(S) TO ANALYZE

Packages

Parameters

Choose Phase to Analyze

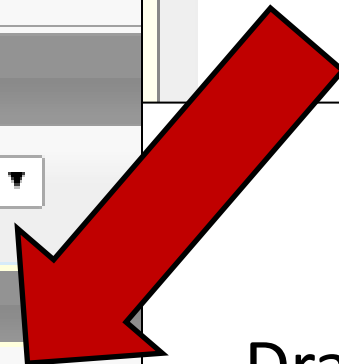
Life Cycle System ▼

Life Cycle

Aluminum fan

plastic fan

steel fan



Drag 3 fan types and

Analyze

LIFE CYCLE(S) TO ANALYZE

Packages

Parameters

Choose Phase to Analyze

Life Cycle System ▾

Life Cycle	
Aluminum fan	1
plastic fan	1
steel fan	1

Progress

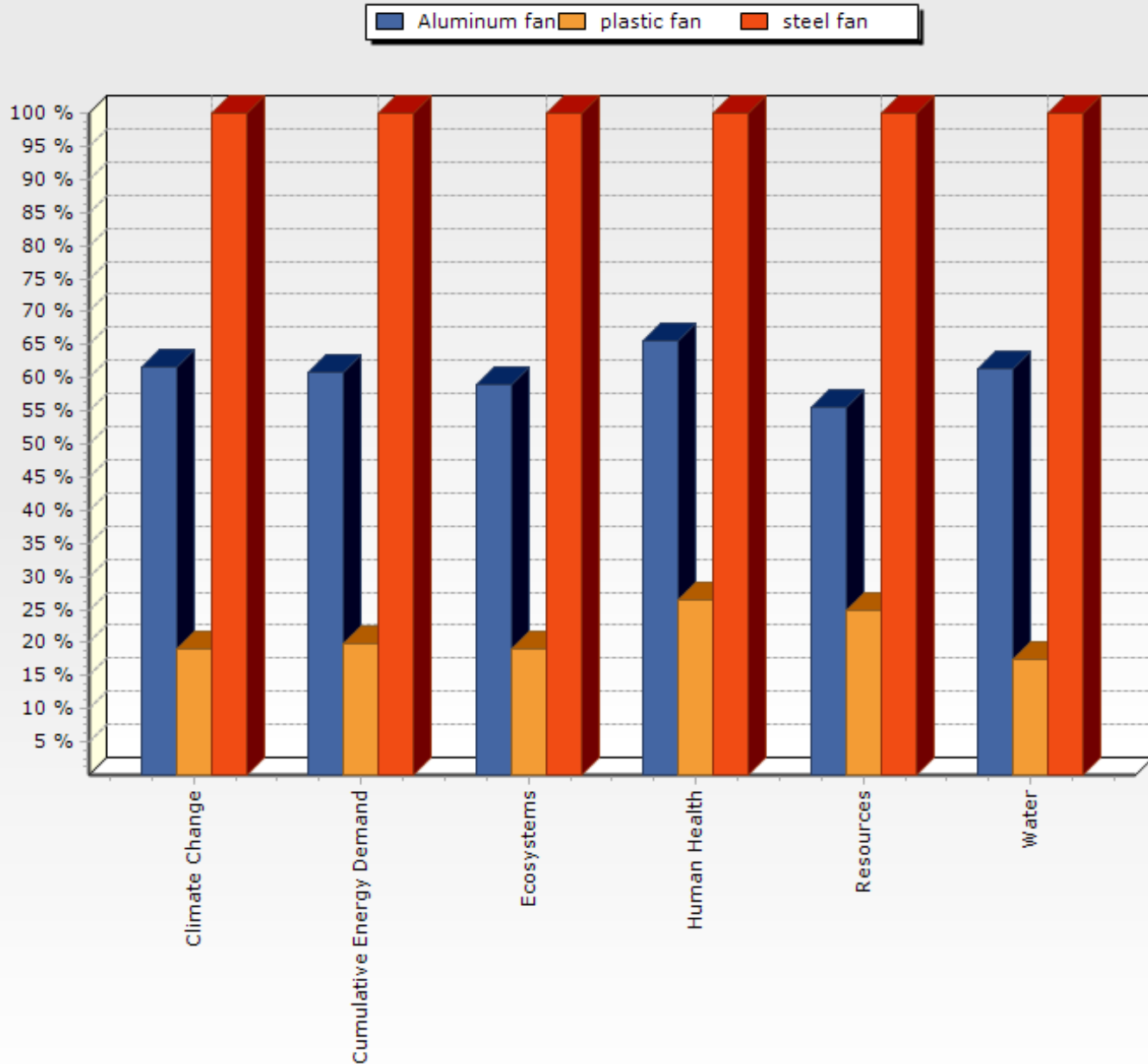


Analyzing...

Aluminum fan  plastic fan  steel fan

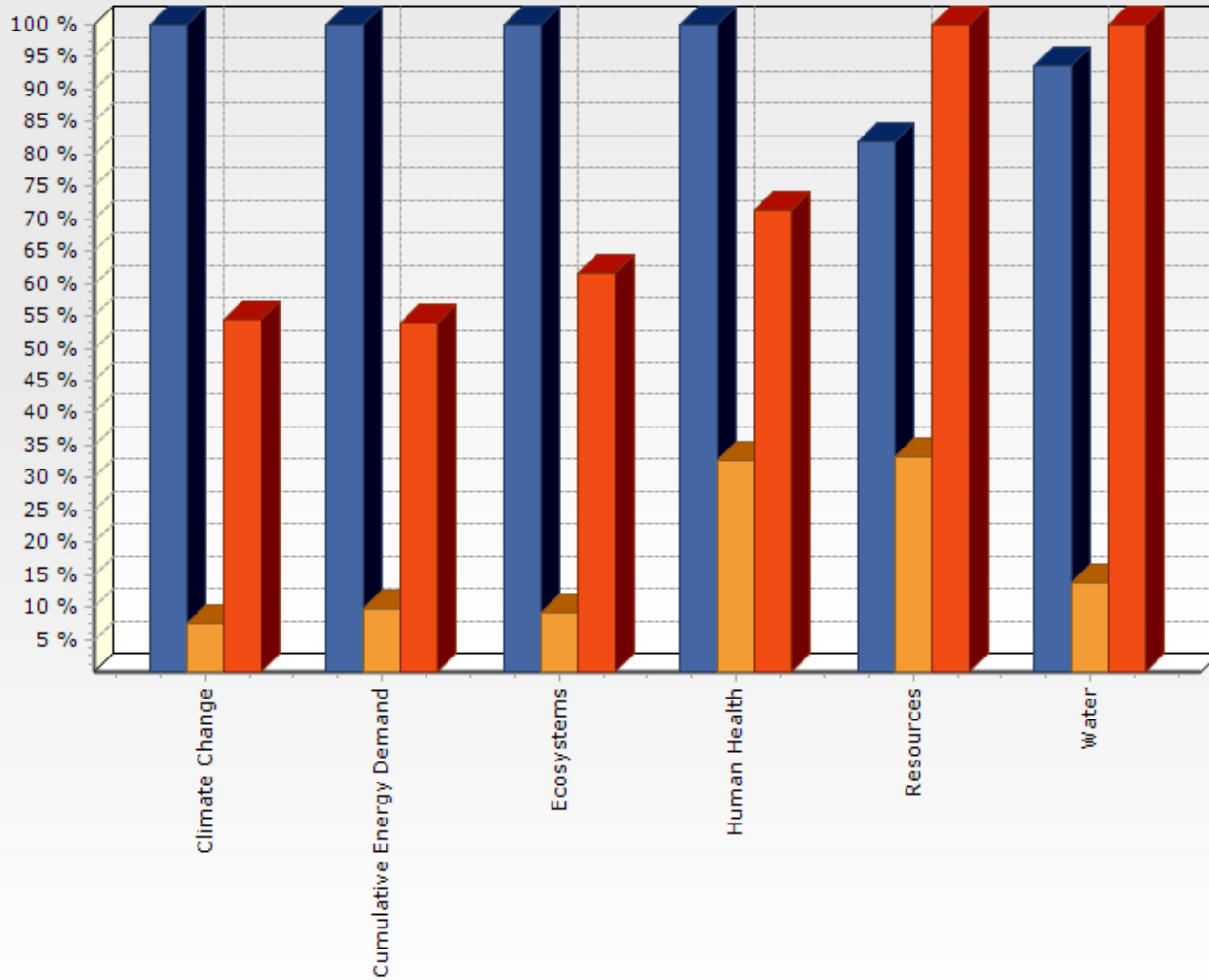


# Overall



# (Product)

Aluminum fan plastic fan steel fan



# LCA studies must:

- Have a clear goal and scope
- Defined system boundaries
- Record of all data sources and calculations

# LCA applicability to PDS

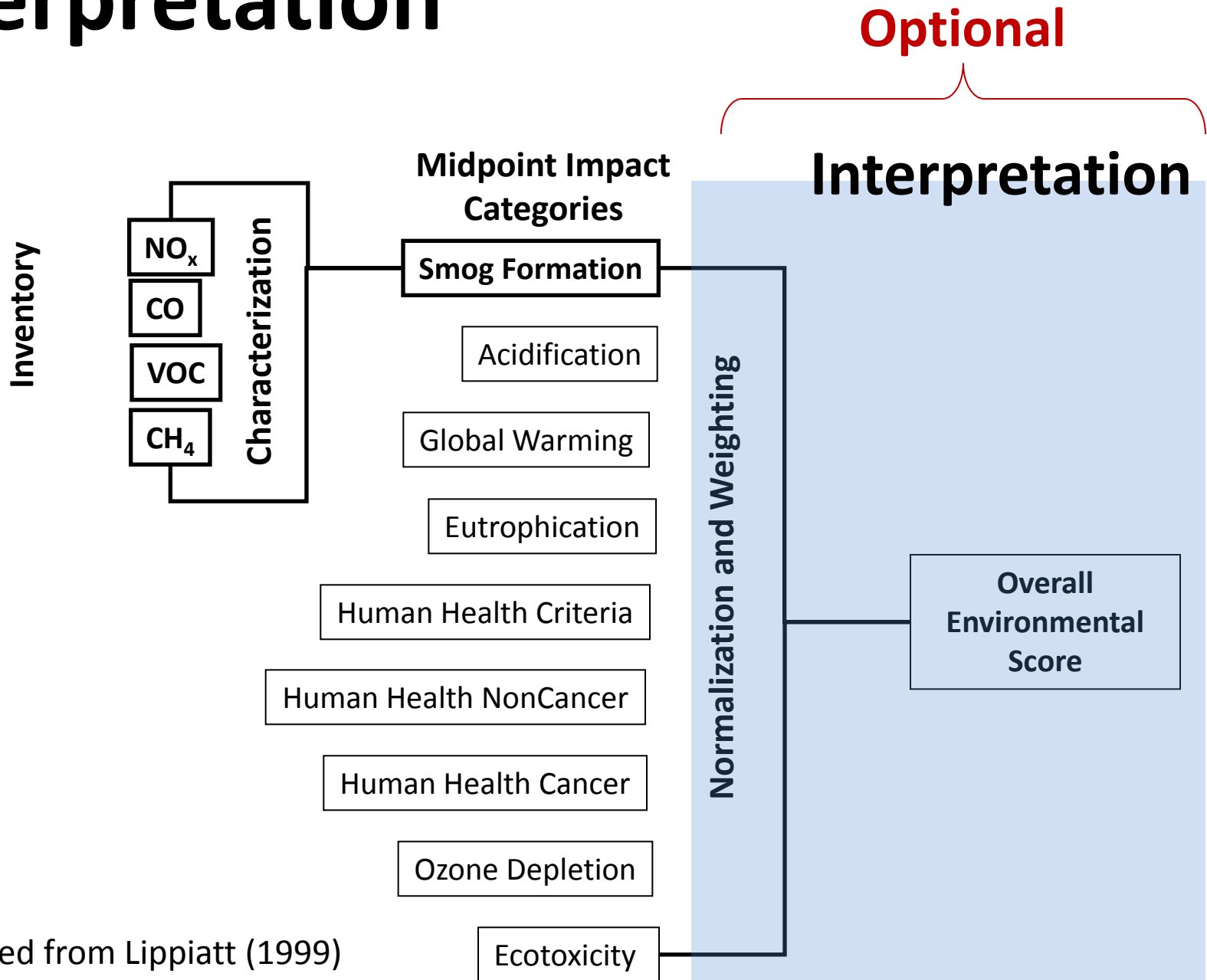
LCA provides a comprehensive view by applying generalized assumptions (pros/cons)

**How could you apply an LCA to your projects?  
(open discussion)**



# Part II

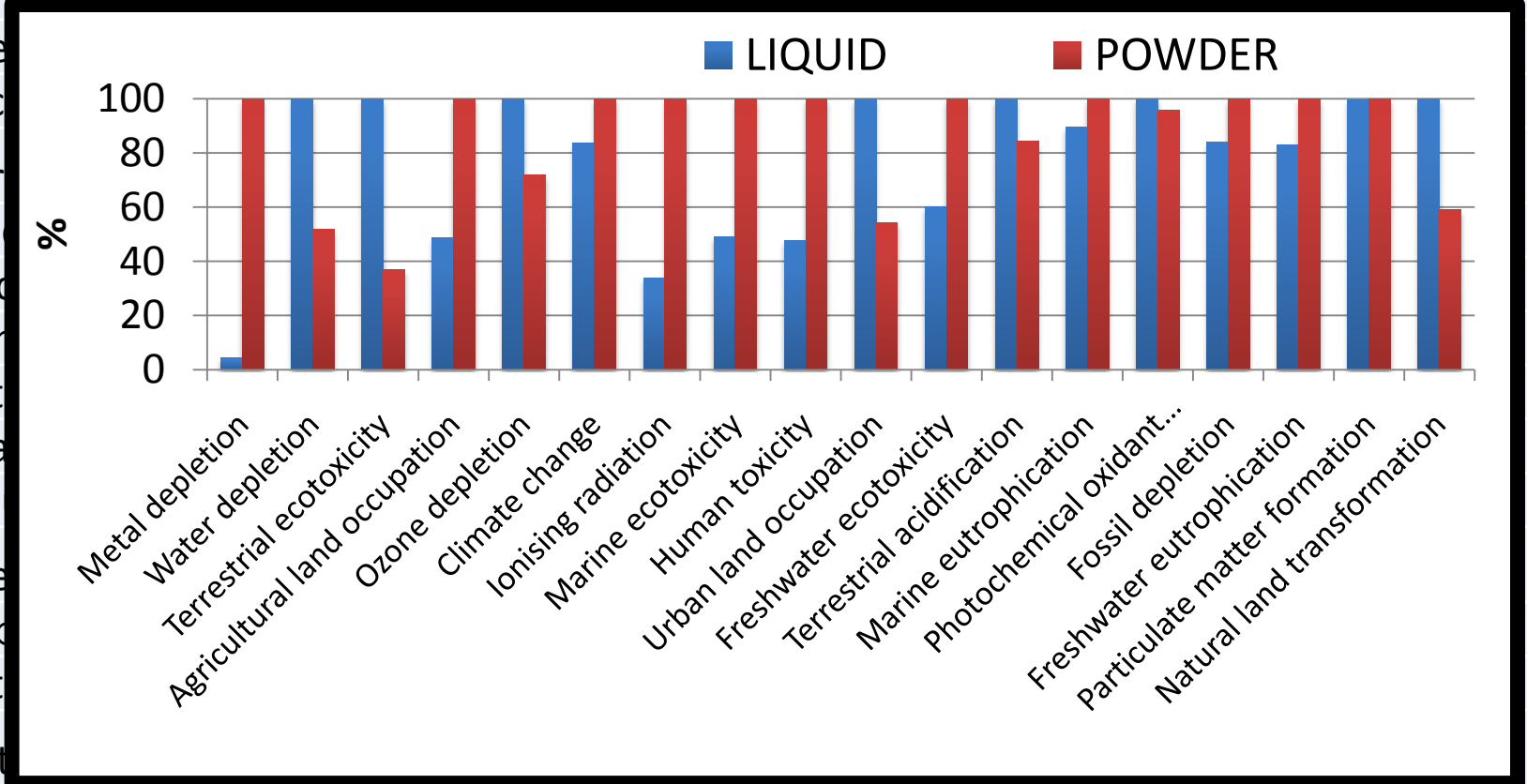
# Interpretation



Adapted from Lippiatt (1999)

# Characterized results (ReCiPe)

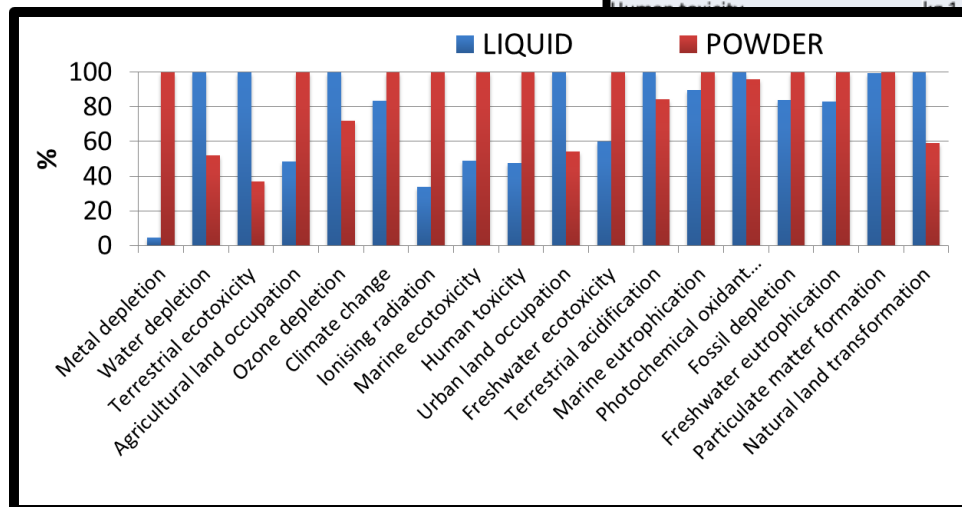
Impact category	Unit	LIQUID	POWDER
Metal depletion	kg Fe eq	0.000199	0.00443
Water depletion	m3	0.00266	0.00138
Terrestrial ecotoxicity	kg 1,4-DB eq	0.000706	0.000262
Agricultural land occupation	m2a	0.0102	0.021



# Analytic aid in result interpretation

- Multiple indicators (different units)
- Multiple alternatives (Comparative Assessments)
- Uncertainty
- Decision Makers
- Environmental tradeoffs

Impact category	Unit	LIQUID	POWDER
Metal depletion	kg Fe eq	0.000199	0.00443
Water depletion	m3	0.00266	0.00138
Terrestrial ecotoxicity	kg 1,4-DB eq	0.000706	0.000262
Agricultural land occupation	m2a	0.0102	0.021
Ozone depletion	kg CFC-11 eq	9.24E-09	6.65E-09
Climate change	kg CO2 eq	0.090378177	0.102304355
Ionising radiation	kg U235 eq	0.00689	0.0203
Marine ecotoxicity	kg 1,4-DB eq	0.00051	0.00104
Human toxicity	kg 1,4-DB eq	0.0195	0.041
Terrestrial ecotoxicity	kg 1,4-DB eq	0.00143	0.000775
Water depletion	kg 1,4-DB eq	0.000702	0.00117
Human toxicity	kg eq	0.000518689	0.000436512
Climate change	kg eq	7.05104E-05	7.92817E-05
Photochemical oxidant...	VOC	0.000306118	0.000289197
Fossil depletion	kg eq	0.0329	0.0392
Freshwater eutrophication	kg eq	0.0000349	0.000042
Particulate matter formation	kg eq	0.000159255	0.00015987
Natural land transformation	kg eq	0.0000195	0.0000115





# Normalization

“Normalization is the calculation of the magnitude of the category indicator results relative to some reference information. The aim of the normalization is to understand better the relative magnitude for each indicator results of the products system under study”

**ISO 14044:2006**

Normalization by:

1. Area of reference

2. Area of reference per capita

3. Baseline scenario (other alternatives)

External

Internal



International  
Organization for  
Standardization

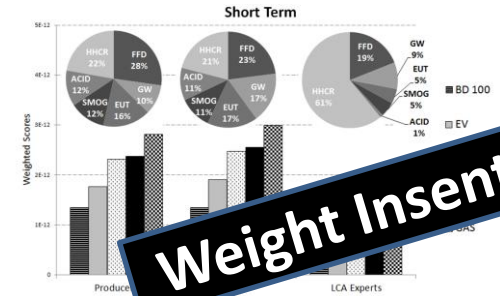
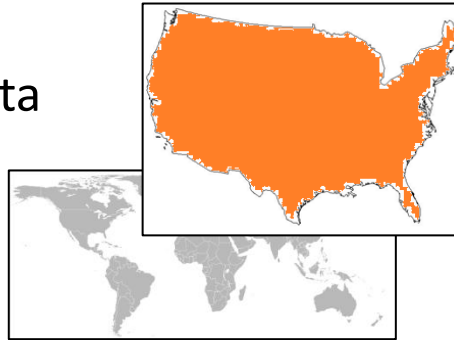
# weighting

# Limitations of Existing Interpretation Practices

$$\sum \frac{\text{Characterized Impact}}{\text{Normalization References}} \times \text{Weight} = \text{Overall score}$$

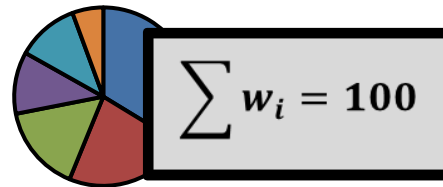
- **External Normalization**

- Lack of uncertainty data
- Data set discrepancy
- Weight Insensitivity



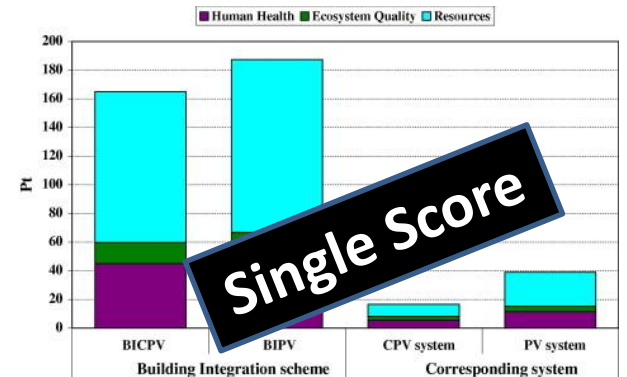
- **Weighting**

- Discreet values



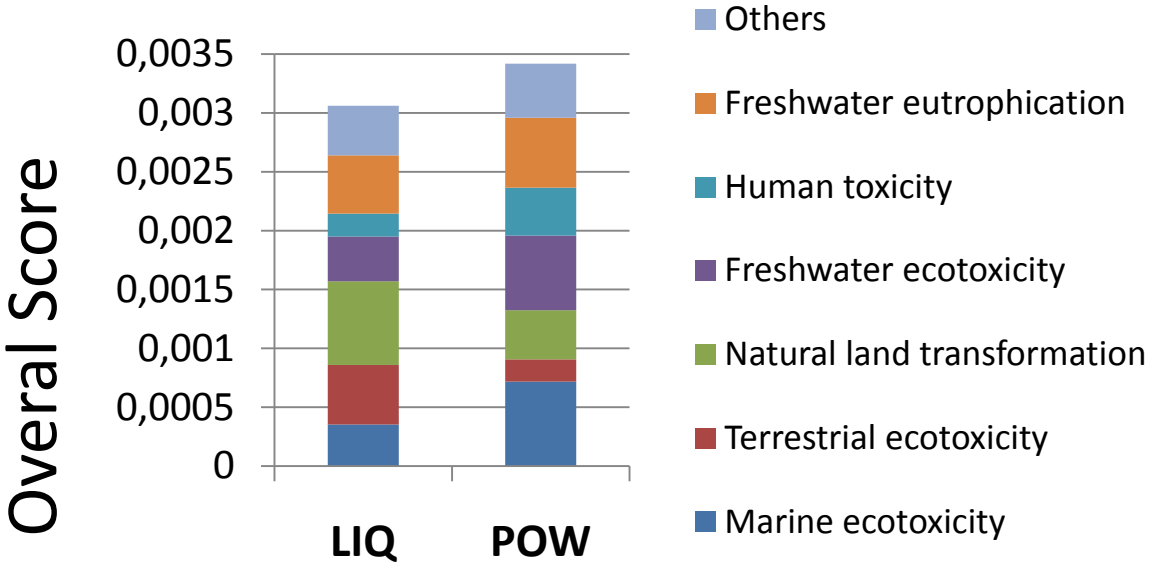
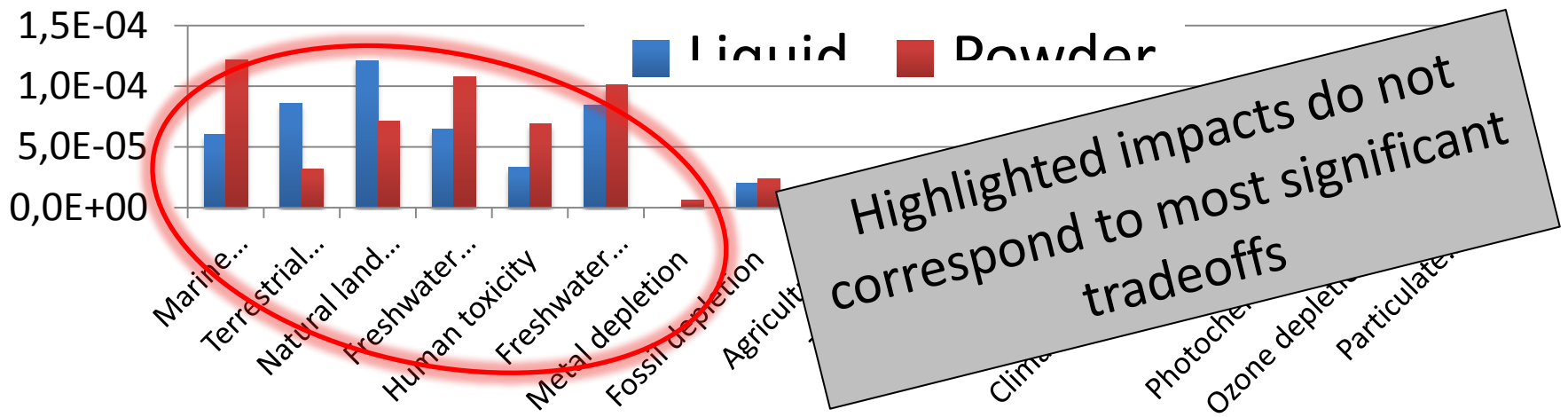
- **Single Score**

- Over simplistic



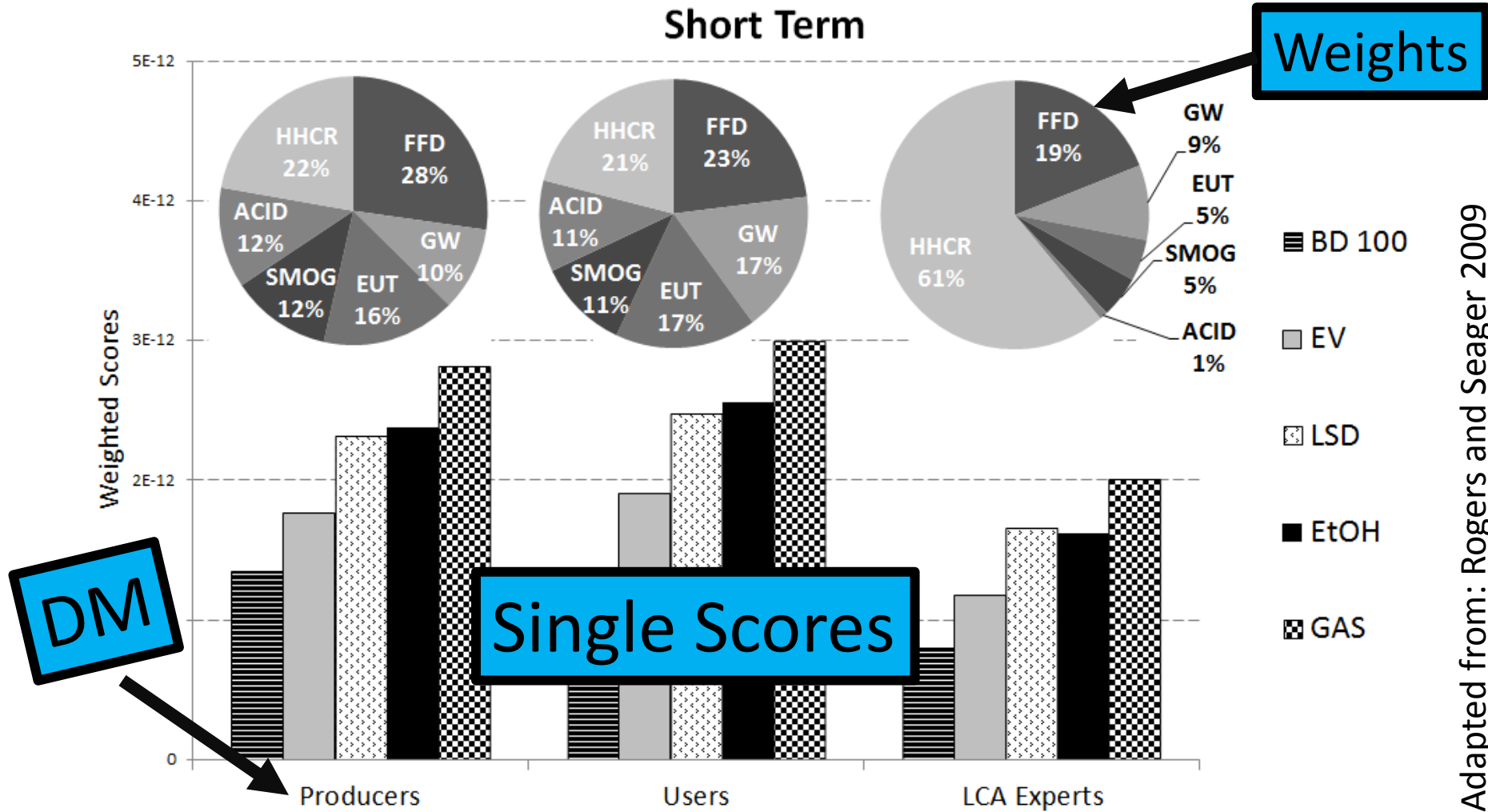
# Normalized Impact (ReCiPe)

Avg. EU person



**Single Score**  
**(=weighting)**

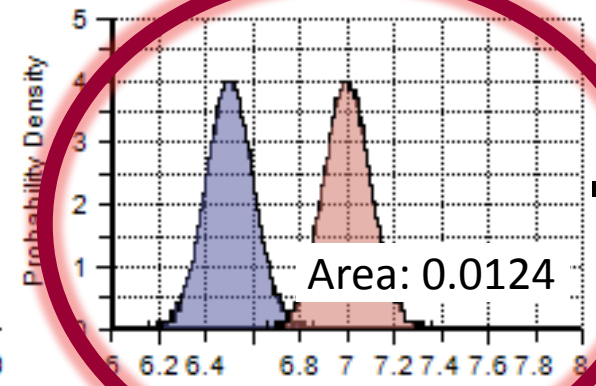
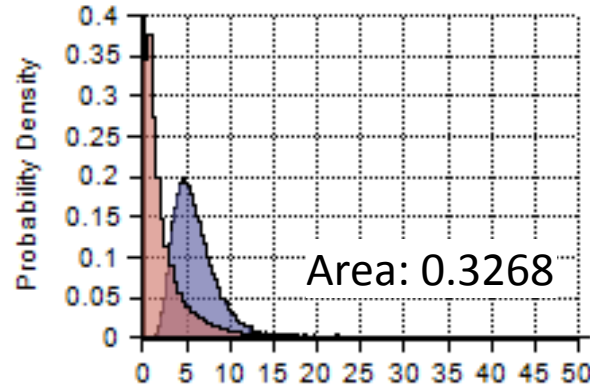
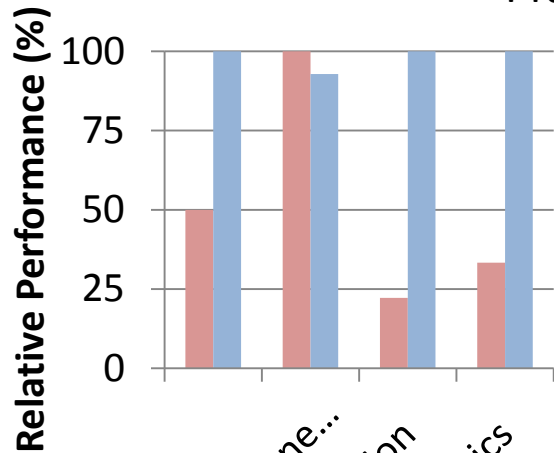
# Weighting Insensitivity



Adapted from: Rogers and Seager 2009

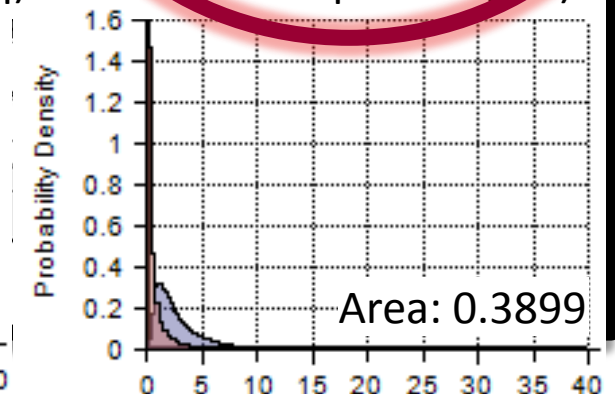
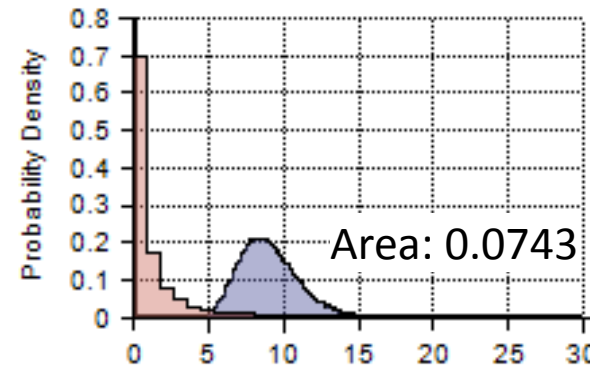
# Trade-off Evaluation

Prado-Lopez V, Seager TP, Chester M, Laurin L, Arslan E (under review)



Global Warming (kg CO<sub>2</sub> eq)

Ozone Depletion (m<sup>3</sup>)



Eutrophication (kg P eq)

Human Toxics (kg Pb eq)

Alternative A Alternative B

Therefore,  
Area ↓ , Trade-off ↑



# Normalization Algorithms

## External Normalization

$$\frac{\text{Characterized Imp}}{\text{Norm. Ref}} = \text{Norm. Imp}$$

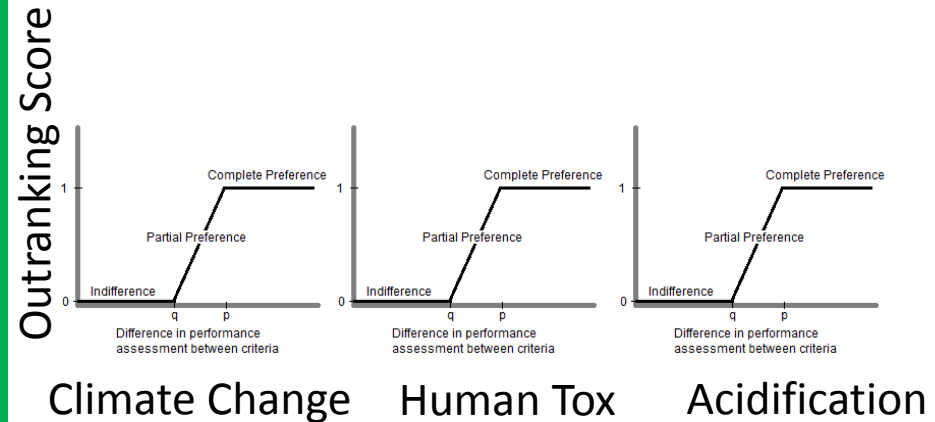


- Linear
- Absolute

## Outranking Normalization

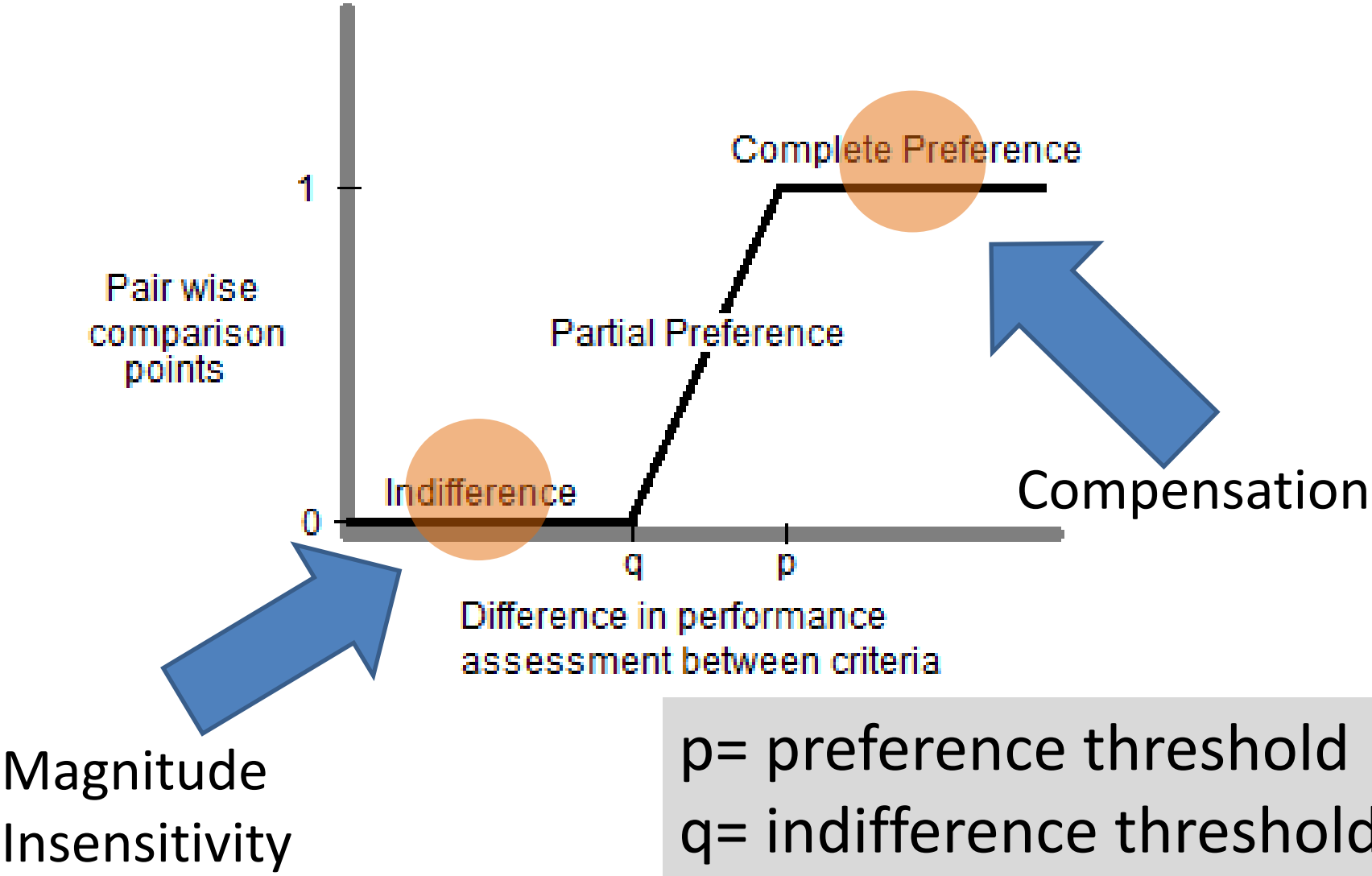
Preference,  $p = \text{avg (SD)}$

Indifference,  $q = p/2$



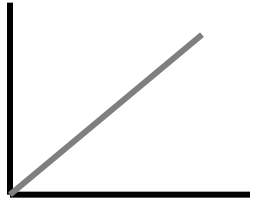
- Non linear
- Relative

# Outranking

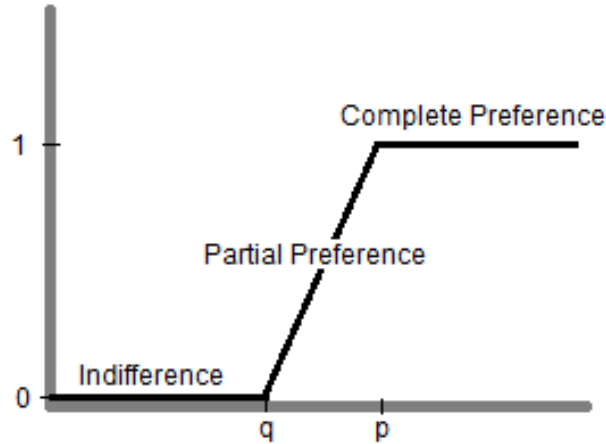




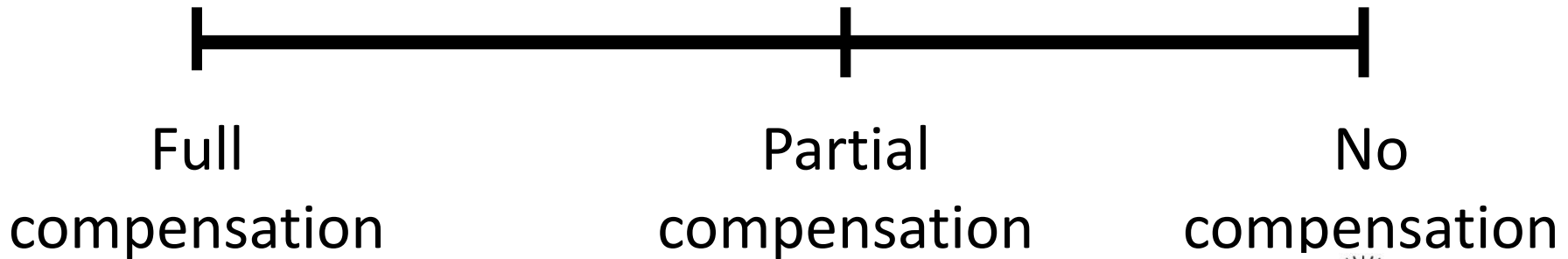
# Compensation (linearity)



Weak sustainability perspective



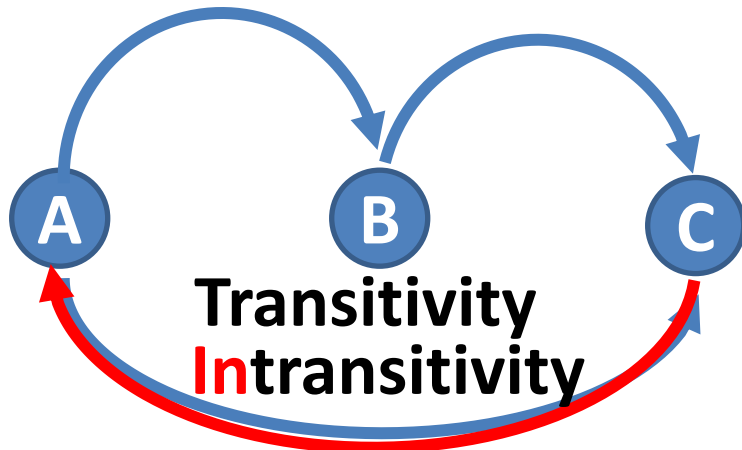
Strong sustainability perspective



# Absolute vs Relative

## Normative (External)

- “should be”
- Absolute ●
- Context free
- Transitivity



## Descriptive (Internal)

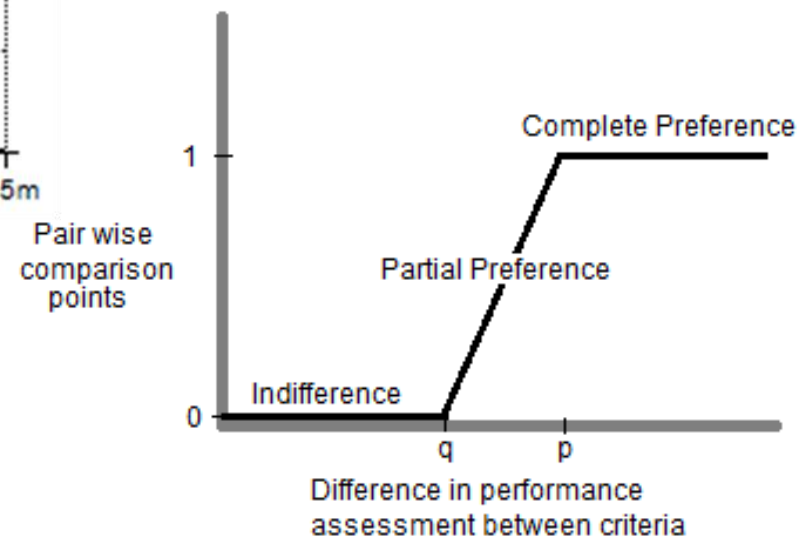
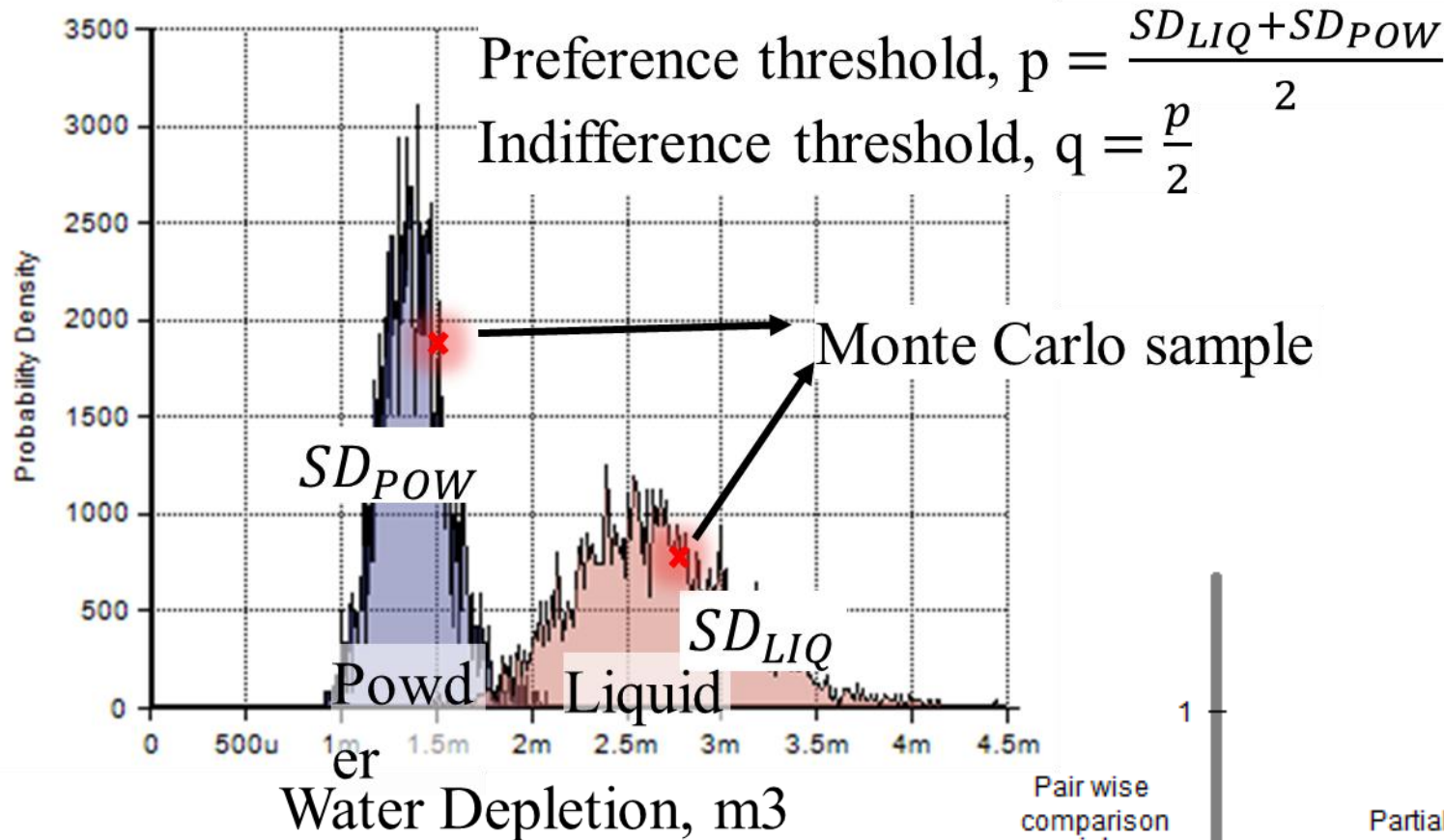
- “How they are”
- Relative ●●●
- Context effect
- Intransitivity

I'm a connoisseur of every restaurant's second cheapest bottle of wine.

someecards



# Stochastic Outranking



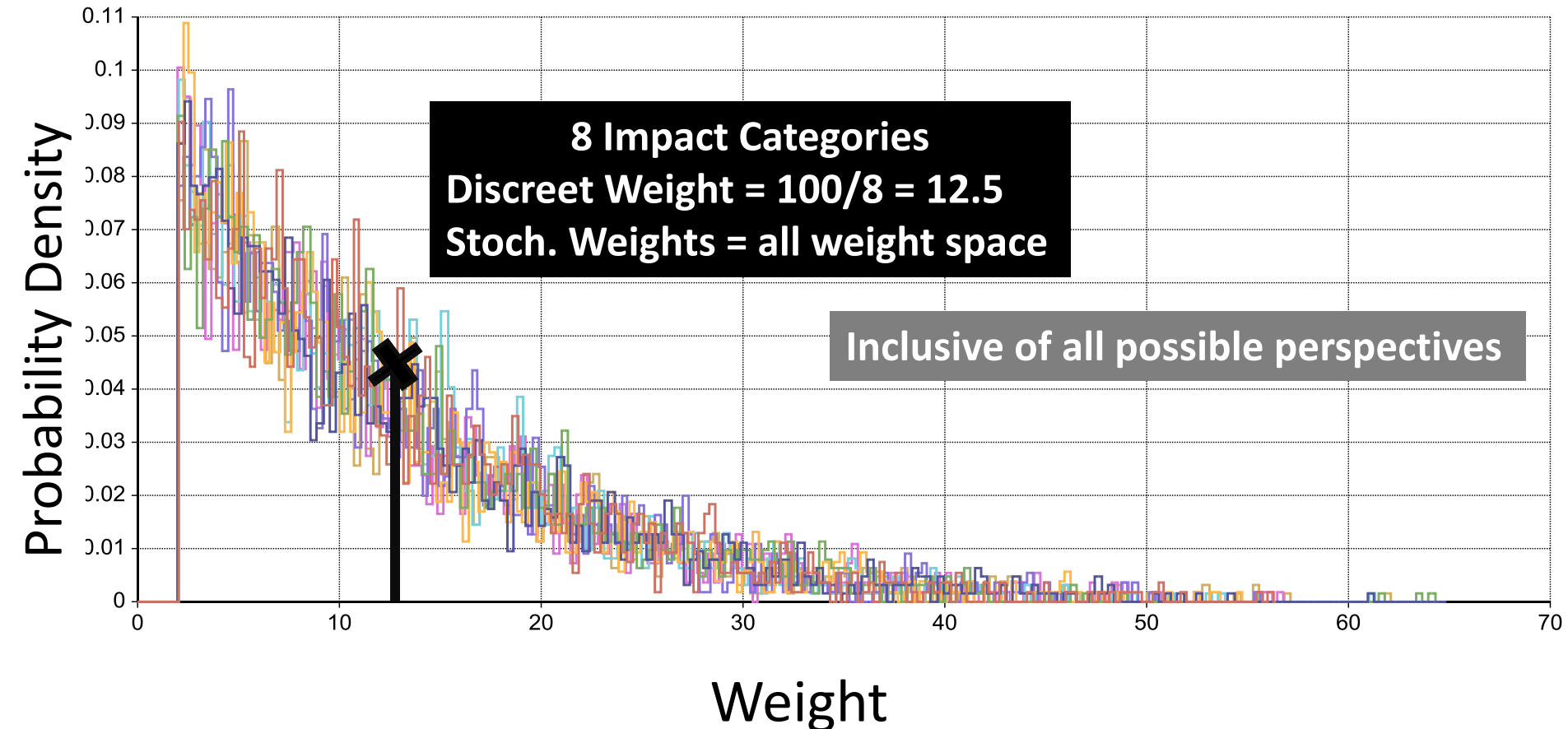
**Stochastic Multi attribute Analysis  
 (SMAA)**

# Pedigree Matrix

**Table 3 – Pedigree matrix for managing cost data quality issues in eco-efficiency**

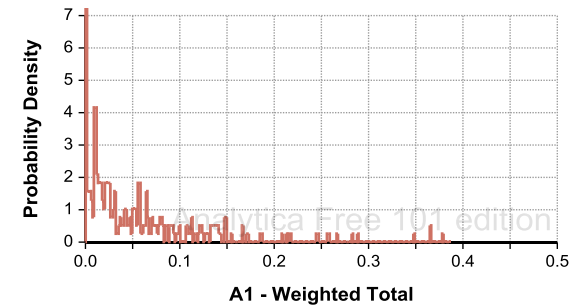
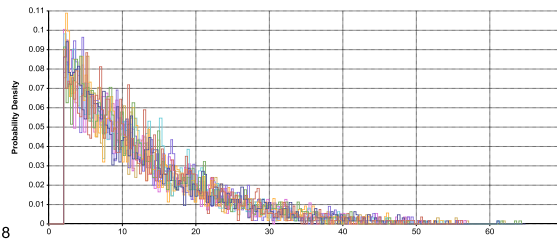
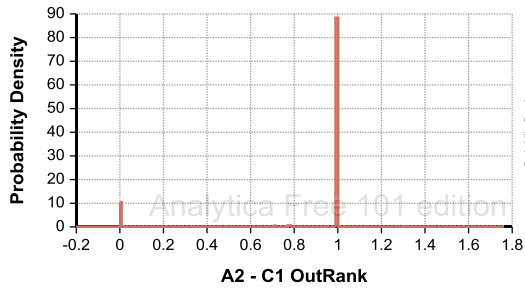
Indicator score	1	2	3	4	5
Reliability of source	Verified data based on measurements	Verified data partly based on assumptions or non-verified data based on measurements	Non-verified data partly based on assumptions.	Qualified estimate (e.g. by industrial expert)	Non-qualified estimate or unknown origin
Completeness	Representative data from a sufficient sample of sites over an adequate period to even out normal fluctuations	Representative data from a smaller number of sites but for adequate periods	Representative data from an adequate number of sites but from shorter periods	Representative data but from a smaller number of sites and shorter periods or incomplete data from an adequate number of sites and periods	Representativeness unknown or incomplete data from a smaller number of sites and/or from shorter periods
Temporal differences	Less than 0.5 years of difference to year of study	Less than 2 years difference	Less than 4 years difference	Less than 8 years difference	Age of data unknown or more than 8 years of difference
Geographical differences	Data from area under study, same currency	Average data from larger area in which the area under study is included, same currency	Data from area with slightly similar cost conditions, same currency, or with similar cost conditions, and similar currency	Data from area with slightly similar cost conditions, different currency	Data from unknown area or area with very different cost conditions
Further technological differences	Data from enterprises, processes, and materials under study	Data from processes and materials under study from different enterprises, similar accounting systems	Data from processes and materials under study but from different technology, and/or different accounting systems	Data on related processes or materials but same technology	Data on related processes or materials but different technology

# Stochastic **Weights**

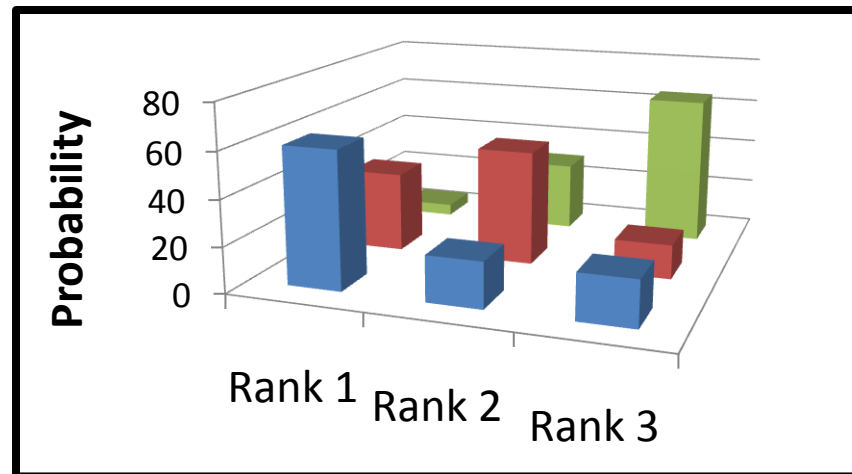


# SMAA-LCA results

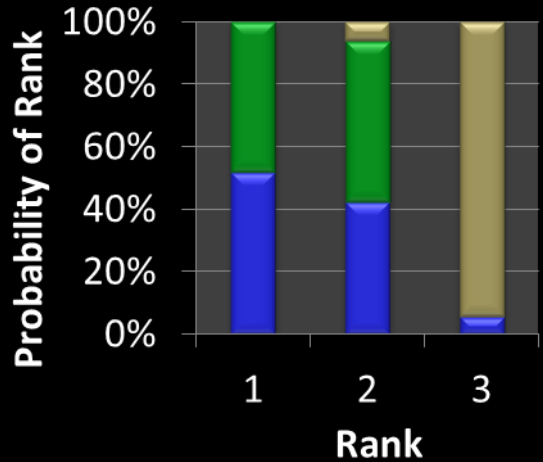
Stochastic Outranking \* Stochastic Weight = Stochastic Overall Score



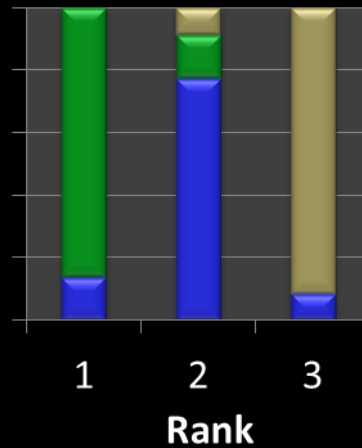
## Probabilistic ranking



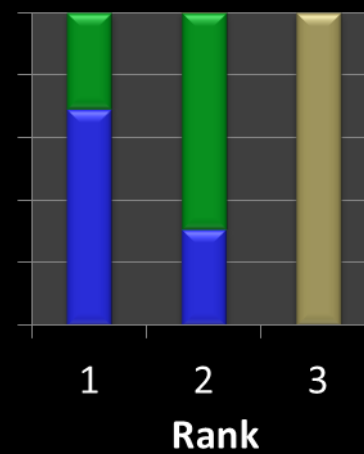
### Neutral



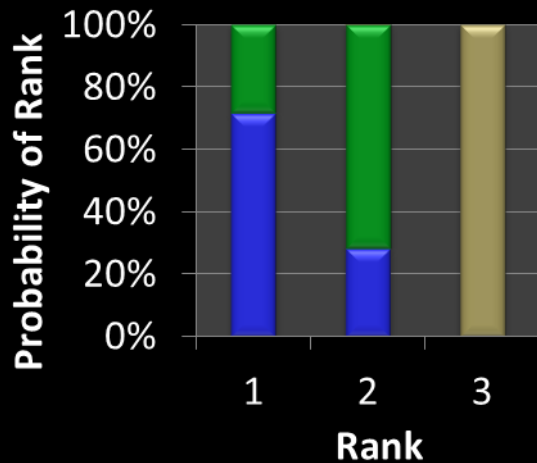
### Water Act.



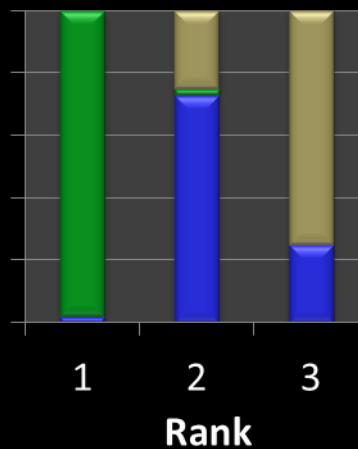
### Bean Counter



### Global Warming

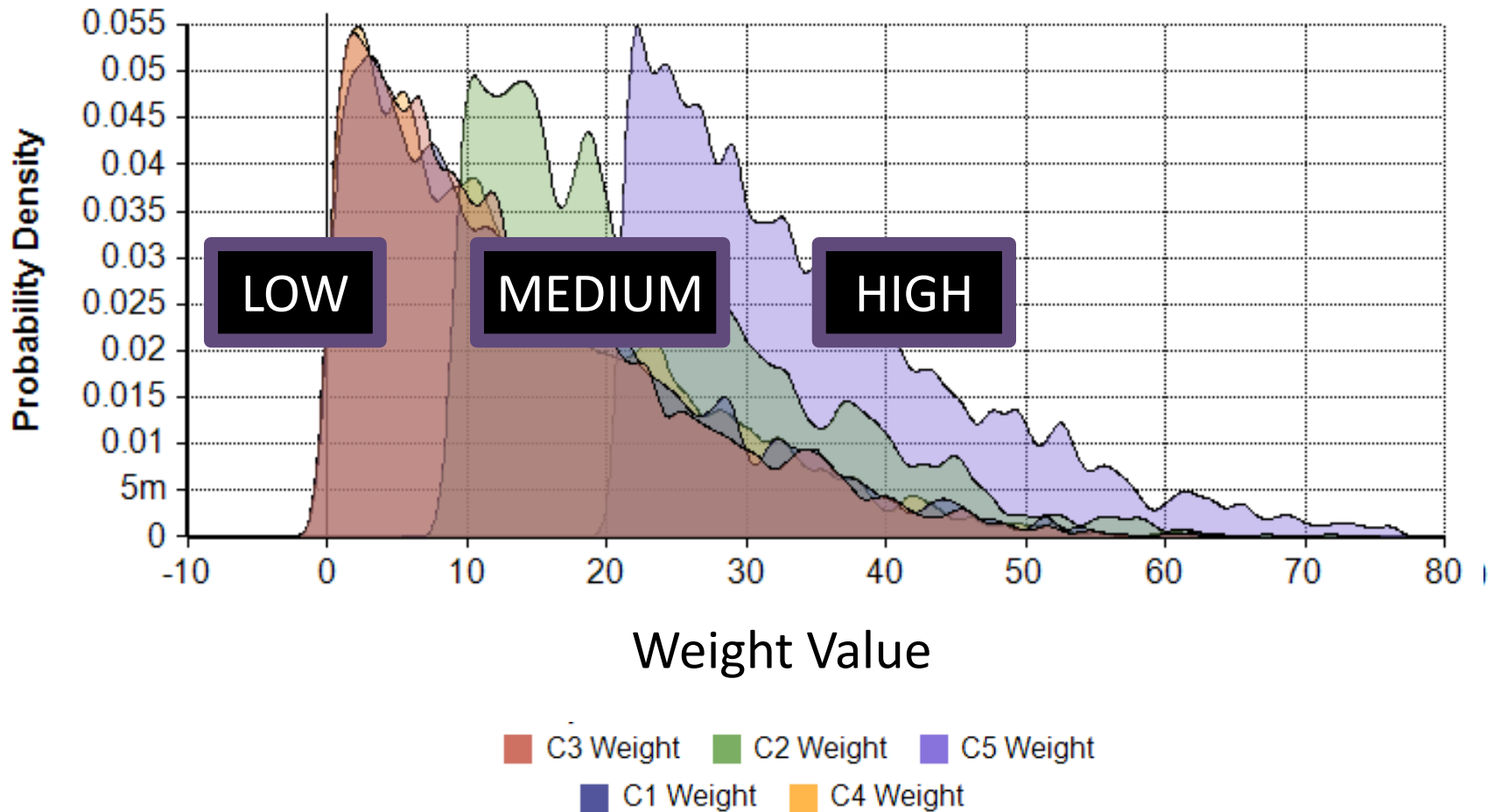


### Social Impact



- F. Minewaste
- F. Seawater
- F. Groundwater

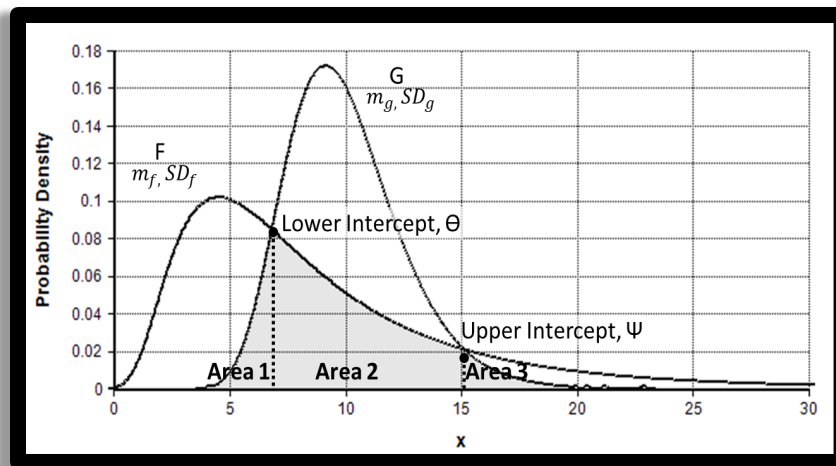
# Stochastic Weights (w/ preferences)





# Conclusions

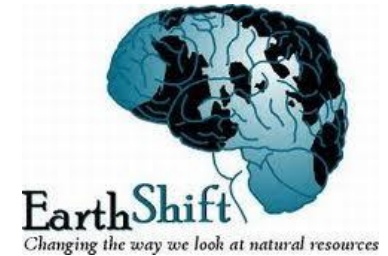
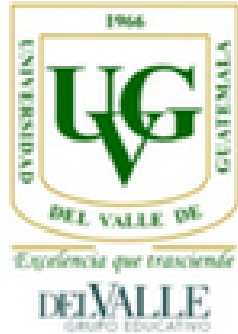
- Interpretation of Comparative LCAs:
  - Tradeoffs (Relative)
  - Partially Compensatory
  - Performance Uncertainty
  - Weight Uncertainty



Thank you!  
valentina.prado@asu.edu



# Thank You!



Sustainable Energy &  
Environmental Decision Science

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