FIFTH MEETING OF THE EXECUTIVE COUNCIL   (REVISED)

ACTION LIST - DAY 1: Monday, June 9, 1997

1 Agenda approved with one change proposed by Brazil to include Rules Of Procedures, leading to changes to the EC COP Rules of Procedure

2. Report of the Fourth EC Meeting was approved with 1 change proposed by Brazil. Brazilian delegation listed in the EC report was the delegation to the COP. The Brazilian delegation at the fourth meeting of the EC was Marcio Barbosa, Antonio McDowell and Antonio Divino Moura.

3. Approval of the establishment of an SAC Nominating Committee. Members are: 2 EC members (Patricia Ramirez. from Costa Rica, Bob Halliday from Canada), 1 representative from a non-EC country (Carlos Elgueta from Chile), 1 scientist involved with IAI (Osvaldo Sala) and the IAI director as the ex-officio executive secretary. Patricia will be Chair of the committee and she will report to the EC tomorrow to present the recommendations of the committee.

4. Brazil proposed that the SAC Nominating Committee considers the SAC members and the areas of   expertise they represent, (who will continue in the SAC). The EC Chair also suggested this procedure be established as a formal procedure for electing SAC members and that in the future the nominating committee be established in advance of the meeting.

5. The EC approved the proposal of the Joint Funding and Resources Agreement presented by the EC Chair, which would establish a formal mechanism to foster cooperation in scientific areas of mutual interest and agree on the mechanism for collaboration.

6. The EC will present to the COP the revised agenda and the new IAI mission statement proposed by the SAC for approval.

7. The chair of the standing rules committee, Antonio McDowell, presented to the EC the proposed changes to the EC and COP Rules of Procedure. The EC will approve the proposal changes on Tuesday. SEE ANNEX 1

8. The EC chair welcomed the APN's interest in collaborating with the IAI on ENSO issues and proposed that the IAI Director and the EC Chair talk with the APN director to discuss this future collaboration.

9. After the Director's presentation on the annual programme the delegate from Brazil, Antonio Mcdowell, suggested that an additional item be included to the general goals presented by the director to- " develop efforts to raise consciousness in non-IAI members and that countries become fully integrated into the IAI".

10. The EC approved the proposed Phase 2 Announcement presented by the Chief Scientific Officer, Brad Wilcox, and will forward this to the CoP for approval.

11. The EC agreed to forward to the CoP for approval the recommendations presented by the Budget and Fiscal Policy Working Group concerning amendments to the Employee Handbook.

12. On Tuesday the EC will be asked to approve the Core Budget Request for 1997/98 for forwarding to the CoP.

13. The EC will consider the recommendations of the ENSO Task Force and take action on Tuesday.

14. An IAI Overhead Policy for the next Phase II announcement was established and will be forwarded to the CoP. (See document 28 ECV/CoP IV/DWD/Eng/June 9 1997)

15. The establishment of an IAI EC Communications Task Force was approved, to be forwarded to the CoP.

FIFTH MEETING OF THE EXECUTIVE COUNCIL (REVISED)

ACTION LIST - DAY 2: Tuesday, June 10th, 1997

1. **DIS/Data Policy**
   GEF Director suggested change of name from Recursos OMM to Recursos GEF/IAI/WMO Project.

2. **Newsletter**
   EC approved establishment of a working group on Communications. To be forwarded to CoP for approval.

3. **SAC - IAI Mission Statement and Revised Science Agenda**
   Recommended that the SAC works with the EC to develop further the content of the themes. Agreed that the CoP be asked to approve the recommendations in principle. EC approved the Mission Statement.
   The Director is authorized to use the recommendations in principle for implementation of Phase II.

4. **Phase II Program Strategy**
   Approved by EC and to be forwarded to the CoP, Directorate requested to look at a more generic terminology for the name Phase II.

5. **Peer review**
   Agreed that the peer review system be further developed and formalised. NOAA will work with Secretariat on a draft to present to CoP.

6. **Overhead policy**
   EC approved revised overhead policy to be presented to CoP.

7. **Rules of Procedure**
   Revised papers prepared by EC Standing Committee on Rules and Procedures approved and to be represented to CoP
   A version in Spanish to be prepared for the CoP.

8. **Core Budget Request 1997/98**
   Option 2 for Member Country contributions, which increases revenues to equal expenditures, approved by EC for presentation to CoP. The EC recommends that the CoP immediately approves the budget using Option 2 and recommends that it be implemented as soon as possible. The EC recommends that member country contributions will not increase for the next 3 years and that the Directorate uses its reserves to meet any increase in expenses during this period.
   Option 2 is a guideline and if member countries are unable to meet the contribution level immediately they can negotiate with the Directorate.

9. Budget and Fiscal Policy Working Group

Name revised to Financial and Administration Working Group, with revised membership. New details to be circulated to EC representatives.

Group to review minimum $5,000 member country contribution level and report back to next CoP.

10. Amendments to Employee Handbook

The EC recommends that the CoP approve the revisions to the IAI Employee Handbook..

11. ISP III

CoP to be asked for authority to approve and fund, as appropriate, the proposals recommended by the SAC.

12. SAC Nomination Committee

The Committee recommendation of 8 candidates in the 4 themes was approved by EC for forwarding to CoP.

EC approved that Dr Ruben Lara-Lara be appointed to the SAC as a Special Advisor

13. APN

EC approved establishment of joint working group with APN to consider collaboration on ENSO.

14. Future Meetings

EC approved offer of Panama to host the next EC meeting in November 1997.

15. Joint Funding and Resource Agreements

The EC approved forwarding the proposal to develop Joint Funding and Resource Agreements to the IAI Cop for its approval