

**INTER-AMERICAN INSTITUTE FOR  
GLOBAL CHANGE RESEARCH**



**EC-XIX & CoP-XI**  
*June 29 – July 2, 2004*  
*Buenos Aires, Argentina*

**8\_Addendum/English/29 June 2004**

## **STATUS OF THE CORE BUDGET AS OF JUNE 2004**

### **Country Contributions (July 1<sup>st</sup>, 2003 - June 2004)**

Status of Country Contributions as of May 31, 2004

	Due as of 30-Jun-03	Contribution for FY 03/04	Paid Jul/03 - Jan/04	Due as of 24-Jun-04	Aging of Unpaid Contributions (years)
Argentina	125,025.00	45,000.00	(15,000.00)	155,025.00	4
Bolivia	5,000.00	5,000.00		10,000.00	2
Brazil	(21,735.88)	80,000.00	(80,000.00)	(21,735.88)	
Canada	0.00	115,000.00	(115,000.00)	0.00	
Chile	10,000.00	5,000.00		15,000.00	3
Colombia	30,000.00	10,000.00		40,000.00	4
Costa Rica	(8,138.99)	5,000.00	(4,869.37)	(8,008.36)	
Cuba	10,066.56	5,000.00	(5,000.00)	10,066.56	2
Dominican Republic	30,000.00	5,000.00		35,000.00	7
Ecuador	15,000.00	5,000.00	(5,000.00)	15,000.00	3
Guatemala	30,000.00	5,000.00		35,000.00	7
Jamaica	5,000.00	5,000.00		10,000.00	2
Mexico	52,004.50	55,000.00	(107,377.99)	(373.49)	
Panama	5,000.00	5,000.00	(5,000.00)	5,000.00	1
Paraguay	35,000.00	5,000.00		40,000.00	8
Peru	25,000.00	5,000.00		30,000.00	6
Uruguay	25,000.00	5,000.00		30,000.00	6
USA (*)	0.00	550,000.00	(550,000.00)	0.00	
Venezuela	121,829.34	30,000.00		151,829.34	5
	<b>494,050.53</b>	<b>945,000.00</b>	<b>(887,247.36)</b>	<b>551,803.17</b>	

**% Received**

**94%**

Brazil, Costa Rica and Mexico paid contributions in advance.

During this fiscal year 94% of the expected incomes were collected with payments received from 9 member countries. From this number:

- 4 countries only paid arrears;
- 1 country settled the arrears and paid the current fiscal year;
- 2 countries paid the current fiscal year;
- 1 country paid the current fiscal year plus 25% of next year;
- 1 country advanced contributions for 2006/07.

Chile informed that will settle 2 years of arrears by the end of 2004.

## Expenses

The following table shows the actual expenses as of May 31, 2004 plus estimates for June 2004 compared to the approved budget for the fiscal year 2003/2004.

Category	Budget 2003-04	Expenses 2003-04			Difference
		Actual Jun/03-May/04	Estimates Jun/04	TOTAL 2003-04	
Salaries & Benefits	543,020	443,669	49,799	493,468	49,552
Staff Travel	108,600	61,521	15,429	76,950	31,650
Equipment	10,000	9,042	3,000	12,042	(2,042)
Dissemination Activities	98,500	75,765	10,000	85,765	12,735
Director's Fund	75,000	36,818	23,383	60,201	14,799
Other	104,050	103,103	7,224	110,327	(6,277)
	<b>939,170</b>	<b>729,918</b>	<b>108,835</b>	<b>838,753</b>	<b>100,417</b>

According to this information, we expect to finish this fiscal year with "savings" (expenses lower than the expected) close to \$100,000.

This amount is the result of strong efforts in order to adjust the level of expenses to the collected contributions. This final amount is the result of important savings in four of the six categories and small overruns in the other two.

### Salaries and Benefits

- No salary adjustments and lower than expected charges in two local positions represent 82% of the savings.
- Lower than expected charges in benefits for the international staff represent 18% of the savings.

### Staff Travel

Savings in this category represent 29% of the original budget. Travels were restricted to the most important due to the uncertainty of the country contributions.

### Dissemination Activities

- No EC/SAC Visits
- Savings in Brochures, Stands/Booths
- On the other hand \$17,480 was spent in the edition and distribution of the 10th Anniversary book.

### Director's Fund

- \$36,818 already spent and commitments for \$23,383.

Due to the financial restrictions the use of this fund was limited to activities that give visibility to the Institute.

Other

- 10,966 Savings in training. Only English courses to local staff were granted.
- < 4,630> Overrun in Professional Services. Higher than expected costs in Audit Services and in Legal Services.
- 1,370 Savings in communications. Lower than expected costs in telephone and courier.
- <21,280> Higher than expected costs in SAC meetings
- 7,303 Net savings from the remaining line items (includes Savings in EC/CoP meetings, which includes the FAC meetings banking costs).

< 6,277>

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**CASH FLOW**

Incomes (July 1 <sup>st</sup> , 2003 - June 2004)	\$887,247
Outcomes (July 1 <sup>st</sup> , 2003 - June 2004)	<u>\$838,753</u>
Balance	<b>\$ 48,494</b>
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The difference between the incomes and outcomes in this fiscal year show a positive balance close to \$50,000. This positive balance will strengthen our reserves for the next fiscal year. The total reserves will amount \$550,000.

It is important to consider that from these \$48,494, there are contributions paid in advance amounting \$30,117. Thus, 62% of this amount cannot be expected to be received next fiscal year.

These reserves are used as a "cushion" to compensate the different pace between the expenses and the collection of the contributions from the member countries. The majority of the incomes are received in the second half of the fiscal year and some close to the end of the fiscal year.

## **STATUS OF THE PROGRAM BUDGET AS OF JUNE 2004**

### **Collaborative Research Network**

The CRN Program is reaching its final year. The expiration date of the grant received from the National Science Foundation is May 31, 2005.

The project CRN-038 leaded by Dr. Pilar Cornejo was canceled and the remaining funds (\$486,200) plus the funds not used by the Grantee will be returned to the general fund of the program. CATHALAC has been requested several times to return to IAI the funds not used.

In Annex 1 there is a table showing the financial status of the projects.

### **Small Grants Program - I**

The Small Grants Program - I is reaching its end and 12 out of the 16 approved projects already submitted their final scientific and financial reports and received their final payment.

In Annex 2 is a table showing the financial status of the projects.

### **Small Grants Program - II**

The Small Grants Program - II started on March 2004 with 22 projects approved. As of the end of June, 20 projects complied with al the legal requirements (commitment letters and signature of the grant agreement) and already received the initial payment. It is expected that these project will end in the second quarter of 2005.

In Annex 3 is a table showing the financial status of the projects.

**ANNEX 1****COLLABORATIVE RESEARCH NETWORK**

<b>#</b>	<b>Commence</b>	<b>Term</b> <b>Termin</b>	<b>Amount</b> <b>US\$</b>	<b>PI</b>	<b>Paid as of</b> <b>30-Jun-01</b>	<b>Paid in</b> <b>FY 2001/2002</b>	<b>Paid in</b> <b>FY 2002/2003</b>	<b>Paid in</b> <b>FY 2003/2004</b>	<b>Unpaid as</b> <b>of 30/06/04</b>
1	11/1/1999	10/31/2004	818,000	<b>H Tiessen</b>	316,000.00	78,500.00	78,500.00	154,700.00	190,300.00
3	12/1/1999	11/30/2004	821,000	<b>B Luckman</b>	328,400.00	149,422.00	149,422.00	76,801.00	116,955.00
9	6/15/1999	12/15/2003	657,404	<b>C Wood</b>	293,213.00	84,318.00	149,449.00	93,440.00	36,984.00
12	11/1/1999	11/1/2004	819,826	<b>O Sala</b>	236,241.00	42,701.48	167,242.00	82,122.00	291,519.52
26	9/1/1999	8/31/2004	820,000	<b>M Vernet</b>	328,000.00	147,661.00	147,661.00	118,675.00	78,003.00
31	11/1/1999	10/31/2004	808,920	<b>A Lavel</b>	316,116.00		75,035.80	150,933.00	266,835.20
38	11/15/1999	11/15/2004	809,600	<b>P Cornejo</b>	168,300.00	155,100.00	-		486,200.00
40	11/1/1999	11/30/2003	552,000	<b>J Silva</b>	277,000.00	62,500.00	62,625.00	125,125.00	24,750.00
47	9/1/1999	8/31/2004	788,830	<b>M Mc. Clain</b>	335,374.00	137,548.00	138,460.00	119,200.00	58,248.00
48	11/15/2000	11/14/2005	799,679	<b>UConfalonieri</b>	161,132.00		146,802.00	173,869.00	317,876.00
55	12/1/1999	11/30/2004	819,180	<b>M Nuñez</b>	373,948.02	18,708.66	163,090.84	146,020.00	117,412.48
61	8/15/1999	8/15/2004	819,000	<b>E Campos</b>	344,000.00	60,000.00	161,958.00	170,000.00	83,042.00
62	10/15/1999	10/14/2004	820,000	<b>T Baumgartner</b>	328,000.00	52,712.00	96,528.00	149,240.00	193,520.00
73	6/1/2000	5/31/2004	293,000	<b>V Magaña</b>	115,000.00	68,000.00	56,393.61		53,606.39
			<b>10,446,439</b>		<b>3,920,724.02</b>	<b>1,057,171.14</b>	<b>1,593,167.25</b>	<b>1,560,125.00</b>	<b>2,315,251.59</b>

**ANNEX 2****Small Grants Program I**

	<b>Amount</b>	<b>Paid in 2002/03</b>	<b>Paid in 2003/04</b>	<b>Unpaid June / 04</b>	
3	Avijit Gangopadhyay	10,000.00	7,500.00	2,500	-
4	Esteban G. Jobbagy	29,820.00	22,365.00	7,455	-
5	G.Arturo Sanchez	28,360.00	21,270.00	7,090	-
7	Claudia Wagner - Riddle	29,980.00	22,485.00	7,495	-
8	Roberto J Fernandes	29,900.00	22,425.00	7,475	-
14	J.L.Moran Lopes	9,992.00	7,494.00	2,498	-
15	Edwin J. Castellanos	29,990.00	22,492.00	7,498	-
16	J.T. Arredondo Moreno	29,958.00	22,468.00	7,490	-
20	Ma. Elena Ruiz	10,000.00	10,000.00		-
23	Marcela Vasques Leon	15,000.00	11,250.00		3,750.00
24	Enrique Martinez	27,000.00	22,500.00	4,500	-
27	Ricardo Romero	10,000.00	9,000.00	1,000	-
30	Rodolfo Rodriguez	30,000.00	22,500.00	7,500	-
31	Charles Hall	30,000.00	22,500.00		7,500.00
35	Luis Cifuentes	30,000.00	22,500.00		7,500.00
37	Paulo Ortiz Bultó	30,000.00	22,500.00		7,500.00
	<b>380,000</b>	<b>291,249</b>	<b>62,501</b>		<b>26,250</b>

**ANNEX 3****SMALL GRANTS PROGRAM - II**

<b>CONTRACT</b>	<b>PI</b>	<b>START DATE</b>	<b>END DATE</b>	<b>Amount Granted</b>	<b>Paid in 2003 / 04</b>	<b>Unpaid June / 04</b>
03SGP211-206	Evamaria Koch	1-Mar-04	28-feb-05	29,978	23,983	5,995
03SGP211-207	Robert Howarth	1-Mar-04	28-feb-05	30,000	24,000	6,000
03SGP211-208	Diego Gaiero	1-Mar-04	28-feb-05	30,000	24,000	6,000
03SGP211-219	Ricardo Grau	12-feb-04	11-feb-05	30,000	24,000	6,000
03SGP211-228	Arturo Sanchez-Azofeifa	1-Mar-04	28-feb-05	30,000	24,000	6,000
03SGP211-209	Osvaldo Ulloa	1-Mar-04	28-feb-05	30,000	24,000	6,000
03SGP211-225	Patricia Romero	1-Mar-04	28-feb-05	30,000	24,000	6,000
03SGP211-229	Peter Sale	1-Mar-04	28-feb-05	15,000	12,000	3,000
03SGP211-210	Daniel Conde	1-Mar-04	28-feb-05	29,739	23,791	5,948
03SGP211-221	Michele Holbrook	1-Mar-04	28-feb-05	25,877	20,702	5,175
03SGP211-211	Laura Gallardo Krenner	1-Mar-04	28-feb-05	30,000	24,000	6,000
03SGP211-226	Vicente Barros	1-Mar-04	28-feb-05	30,000	24,000	6,000
03SGP211-223	Fidel Roig	1-Mar-04	28-feb-05	28,370	22,696	5,674
03SGP211-212	Frank Wania	1-Mar-04	28-feb-05	30,000	24,000	6,000
03SGP211-230	Agustin Gimenez	1-Apr-04	31-oct-04	24,750	19,800	4,950
03SGP211-213	Armando Trasviña	1-Mar-04	28-feb-05	30,000	24,000	6,000
03SGP211-222	Dimitri Gutierrez Aguilar	1-Mar-04	28-feb-05	30,000	24,000	6,000
03SGP211-214	Jorge Sanchez-Sesma	1-Mar-04	28-feb-05	30,000	24,000	6,000
03SGP211-224	Ricardo Prieto	1-Mar-04	28-feb-05	30,000	24,000	6,000
03SGP211-220	Roberto Sanchez	1-Mar-04	28-feb-05	28,000		28,000
03SGP211-227	Pedro Silva Dias	1-Mar-04	28-feb-05	29,000		29,000
03SGP211-215	Michael McClain	1-Apr-04	31-dec-04	29,597	23,677	5,920
				<b>630,311</b>	<b>458,649</b>	<b>171,662</b>