

**INTER-AMERICAN INSTITUTE FOR  
GLOBAL CHANGE RESEARCH**



**EC-XXV**  
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*Arlington, VA, USA*

**Financial Status as of October 31, 2007**

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Inter-American Institute  
For Global Change Research

QUARTERLY STATUS REPORT  
YTD – OCT 31, 2007

Report for the 25<sup>th</sup> EC Meeting  
Washington D.C. Nov. 28<sup>th</sup> to 30<sup>th</sup>, 2007

## Index

1)	Status of the Core Budget.....	4
a)	Country Contributions .....	4
b)	Collection of Country Contributions .....	8
c)	In-kind Contributions by the Host Country .....	10
d)	Expenses .....	12
2)	Cash Composition and Core Budget Reserves .....	14
3)	Status of the Program Budget as of October 31, 2007 .....	16
a)	Collaborative Research Network I (CRN - I) .....	16
b)	Collaborative Research Network II (CRN - II) .....	16
c)	Training Institutes on Interdisciplinary Global Environmental Change Sciences in the Americas .....	18
d)	IAI proposal to NSF for new Training Institutes.....	18
e)	Fundraising Efforts and Institutional Collaboration: MacArthur Foundation and IDRC .....	18
f)	Small-Grants Program for Human Dimensions (SGP-HD) .....	19
g)	Other activities planned for 2007/2008 – Training Institutes 19	
4)	Administrative Area .....	20
a)	Changes to Administrative Processes / Internal Controls .....	20
5)	External Audit of the IAI as of June 30, 2007 .....	22
ANNEX 1:	History of Member Country Contributions.....	23
ANNEX 2:	Collaborative Research Network I.....	24
ANNEX 3:	Collaborative Research Network II .....	25
ANNEX 4:	Collaborative Research Network II .....	26
ANNEX 5:	Training Institutes on Interdisciplinary Global Environmental Change Sciences in the Americas.....	27
ANNEX 6:	Brazil’s In-kind Contributions to the IAI .....	28
ANNEX 7:	Small Grant Program for the Human Dimensions (SGP- HD) 29	

## 1) Status of the Core Budget

### a) Country Contributions

As of October 31, 2007 the funds collected (cash incomes) represent 53% of the approved contributions for the fiscal year 2007/2008. United States is currently paying their contribution when requested by the IAI, therefore the contribution is shown as pending for 2007/2008 as funds for this fiscal year have not yet been withdrawn.

Table I shows the status of the contributions received as of October 31, 2007.

**Core Budget - 2007 / 2008**  
**Status of Country Contributions as of October 31, 2007**  
 Amounts in US\$

	Due as of 30/jun/07	Contribution for FY 07/08	Paid - in 2007/2008 to be applied to:			Due as of 30/jun/08
			Arrears	Current year	Advances	
Argentina	52.889	50.000				102.889
Bolivia	25.000	5.000				30.000
Brazil	(80.000)	85.000				5.000
Canada	(2.717)	125.000				122.283
Chile	(5.000)	5.000	-	-	(15.000)	(15.000)
Colombia	40.080	10.000				50.080
Costa Rica	6.992	5.000				11.992
Cuba	20.067	5.000				25.067
Dominican Republic	50.000	5.000				55.000
Ecuador	30.000	5.000				35.000
Guatemala	50.000	5.000				55.000
Jamaica	15.000	5.000				20.000
Mexico	-	60.000		(60.000)	-	-
Panama	-	5.000				5.000
Paraguay	55.000	5.000				60.000
Peru	35.000	5.000	(26.649)			13.351
Uruguay	45.000	5.000				50.000
USA (*)	595.000	595.000	(440.000)			750.000
Venezuela	184.521	30.000				214.521
<b>Totals</b>	<b>1.116.832</b>	<b>1.015.000</b>	<b>(466.649)</b>	<b>(60.000)</b>	<b>(15.000)</b>	<b>1.590.183</b>
						<b>Total Revenues:</b> (526.649)
						<b>Total Advances:</b> (15.000)
						<b>Contributions not received:</b> (488.351)
						<b>Difference:</b> -

(\*) The NSF has approved a grant for the fiscal years 2005-2008.  
 The full grant is available and the funds are received by IAI upon request.

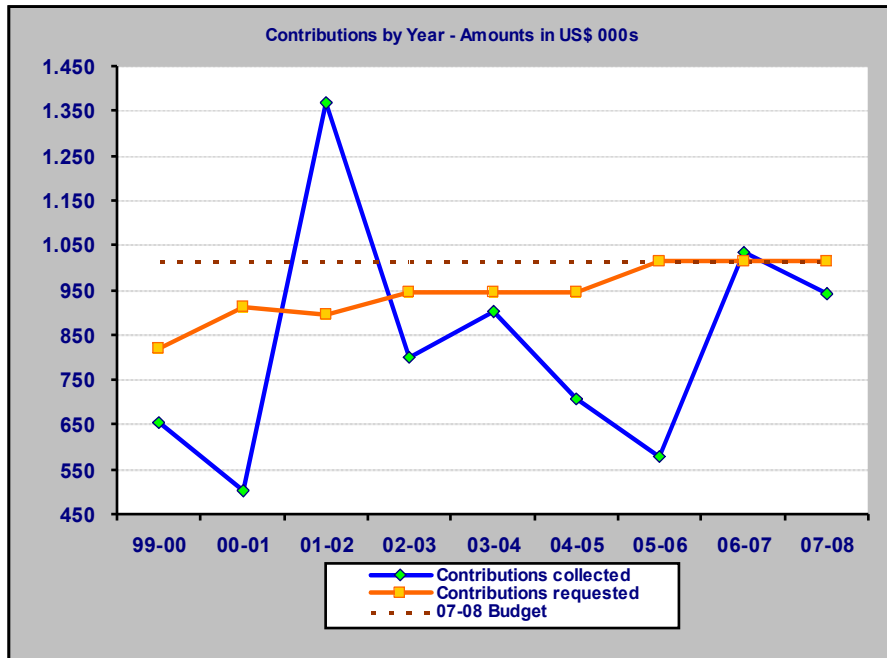
TABLE I: Status of Member Country contributions as of October 31, 2007 (cash basis)

During November 2006 the Member Country Representatives were contacted by the Directorate in order to remind them to request, within their administrations, the payment of the pledged contributions. The response from the countries has been very positive; Peru transferred the total of US\$36,649 and as part of the commitment to pay for previous contributions during 2007. Chile and Brazil paid their contributions for 08/09 in advance before the end of 2006/2007.

At the start of 2007/2008 Chile sent another payment of US\$15,000 to IAI. They already had one year's worth of contributions in advance, and with the additional payment, they would be advancing 3 more years of contributions. We sent them a letter requesting an explanation for the increased amount, specifically asking if Chile had intended to increase their yearly contributions from US\$5,000 to US\$12,000, based on the increase in the OAS participation percentage. We are waiting for an answer to our questions.

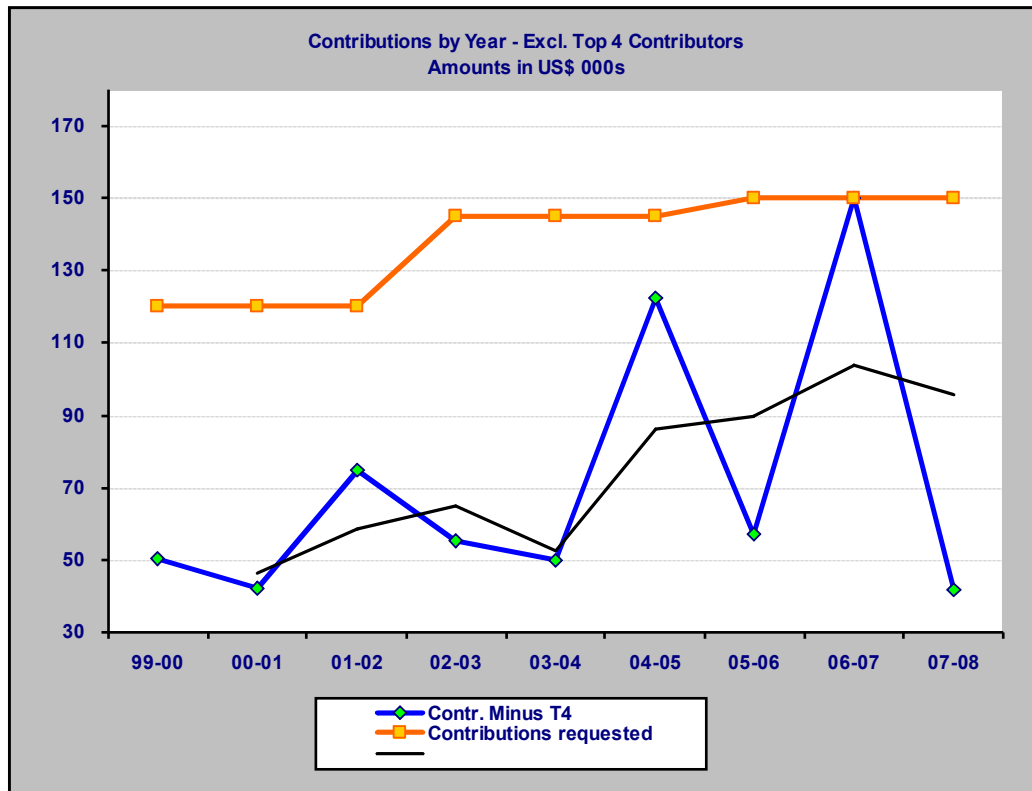
Additional contacts with the member countries were made after the June 2007 Cop/EC Meeting in Manaus, specifically communicating the contribution amounts in place for the 2007/2008 fiscal year. Also, collection letters will be sent to the countries with pending contributions in November 2007.

The following graph shows the Country Contribution's trend since 1999.



Annex 1 shows the history of member country contributions as of October 31, 2007, including expected contributions for 2007.

Excluding the four top contributors to the IAI (Brazil, Canada, Mexico, and USA), the trend of contributions is as follows:



The largest portion of the increase in 2006/2007 is Argentina's contribution to the IAI for a total of US\$100,000; the overall trend has increased slightly since 1999 (black trend line), even though the Institute is fully dependant of the four major contributors.

Four member countries have never paid their contributions to IAI since they became members, do not participate in the IAI meetings and have a very limited, if any, participation in the scientific programs: Bolivia, Dominican Republic, Guatemala, and Paraguay.

Also, Uruguay paid for the first 3 years of IAI, however they have not issued payments since the 1997/1998 fiscal year. Their participation in the scientific programs is also limited, and they do not participate in the IAI meetings.

## b) Collection of Country Contributions

In addition to the regular efforts mentioned above, the funding requests have involved all senior members of the IAI staff, with different strategies depending on the situation of each specific country. Important developments have been obtained in the case of Chile and Peru to engage them with IAI activities. Talks with high-level government officials have taken place in Guatemala and Uruguay, but without tangible results so far. Guatemala has never paid its contributions, and Uruguay only once upon joining.

After the visits, contacts were made and the process of engaging Uruguay is under way, with a formal letter to the ministers of S&T and Environment requesting the renewed participation of the country in IAI. This will be to ensure a wider participation of the country's scientists in one of the focus areas of study, the La Plata Basin.

Every opportunity to promote IAI and request funds is used. Whenever there is travel to one of the countries not actively participating in IAI affairs, contacts are made in order to promote IAI with the high level country officials, usually requesting meetings with persons with enough political power and budget, to move towards a decision to engage the country and compromise the funds.

The strategy to engage more countries is based on content driven effort, that is, first creating the local scientific content and then involving local scientists in the IAI's request for contributions. This encompasses not only current members of the Institute, but also potential members, with a focus on the Caribbean and the Central American countries.

Whenever the IAI had communications with member countries (or those not actively participating), a country profile sheet is provided in order to show the tangible benefits that the countries have obtained from participating in IAI. This creates a very positive impact.

We have received encouraging responses from other countries that are not actively participating in IAI, or that are not paying their contributions. This is the case of Bolivia that after contacts



with the local scientists involved in IAI, the Ministry of Foreign Affairs of Bolivia has sent the request for payment to the Ministry of Finance.

c) In-kind Contributions by the Host Country

As established in the Host Country Agreement, Brazil pays for several IAI expenses, including facilities, security, car and building maintenance, cleaning, gasoline, computer equipment, four local staff positions, telecommunications, office materials and equipment, among others.

The expenses paid by INPE in the 2006/2007 fiscal year amounted to US\$185,776.30 as follows (numbers provided by INPE Administration):

**IAI Expenses Paid by INPE**  
Amounts in US\$

<b>Fiscal Year Budget Line</b>	<b>2004/2005 US\$</b>	<b>2005/2006 US\$</b>	<b>2006/2007 US\$</b>
Fuel	1.649,04	3.025,04	3.680,07
Car Maintenance	-	1.008,97	789,83
Toll	-	-	-
Telephone / Fax	7.277,08	4.733,08	5.676,55
Xerox	2.287,53	2.320,12	1.058,59
Printing Services	-	654,95	-
Office Materials	828,38	226,11	518,38
Security	59.446,35	12.307,59	13.940,03
Cleaning / Maintenance Services	14.681,27	18.836,48	20.360,54
Salaries	65.879,54	79.782,71	93.803,84
Office Rent	20.205,32	31.858,45	34.464,20
Furniture Rent	7.737,27	6.157,52	6.570,60
Computer Equipment Rent	5.526,62	4.604,75	4.913,66
<b>Total</b>	<b>185.518,39</b>	<b>165.515,77</b>	<b>185.776,30</b>

In U.S. Dollar terms, the Brazilian In-kind contribution remained relative stable, with a slight decrease from years 2004/2005 to 2005/2006, compensated by the increase in year 2006/2007.

In Brazilian real terms however, the contribution has had a decrease of 22.4% from 2004/2005 to 2006/2007, mostly explained by the weakening of the US dollar compared to the Brazilian Real (Average: R\$ 2,72 / 1 US\$ in 2004/2005; R\$ 2,10 / 1 US\$ in 2006/2007).

The amount of the in-kind contribution in 2004/2005 was equal to R\$ 503,027; in 2006/2007 it was R\$ 390,163.

Annex 6 details the contributions per year in both U.S. Dollars and Brazilian Real with the yearly variances.

d) Expenses

The following table shows the expenses year-to-date October 2007 (four months into fiscal year 2007/2008). This comparison shows the status of the four-month core budget compared to the actual expenses in the corresponding period.

These expenses include the provisions for holidays, contributions to social security, and depreciation of fixed assets as of October 31, 2007.

**Budget Performance  
July 2007 to October 2007**

Category	YTD Actuals	YTD Budget	Difference	%
	Jul-07 - Oct-07	Jul-07 - Oct-07		
Salaries & Benefits	231.731	249.457	(17.726)	-7,1%
Travel	19.768	26.400	(6.632)	-25,1%
Equipment	2.221	3.960	(1.739)	-43,9%
Operational Costs	34.216	28.908	5.308	18,4%
Dissemination & Outreach	213	14.190	(13.977)	-98,5%
Director's Fund	15.367	12.012	3.355	27,9%
<b>Total</b>	<b>303.516</b>	<b>334.927</b>	<b>(31.411)</b>	<b>-9,4%</b>

Budget included at 0,33 ( 4 months of projected expenses)

TABLE II: Core Budget expenses as of October 31, 2007

Year-to-date expenses at the end of October 2007 are 9.4% below the four-month budget, with most of the variance coming in the categories of Salaries & Benefits, Travel, and Dissemination & Outreach, as follows:

- Salaries & Benefits: The Pension Plan expenses of the Program Manager, included in the budget as an international employee is governed by Brazilian legislation decreasing actual expenses compared to the budget (US\$11k impact). The rest of the difference is due to lower actual Housing and Education Allowance than estimated in the budget.
- Travel: Staff travel has been reduced being more selective on the events to participate in, therefore cutting cost. Also, the actual expenses show reduced travel in 2007/2008 since the EC/CoP Meetings have not been held at the close of October 2007.
- Dissemination & Outreach: The expenses for this area were lower than budgeted as the expenses for the next issue of the

Newsletters have not been fully incurred. We expect these expenses in the following quarter, therefore matching the budget amounts.

Below you will see the detail by activity of Director's Special Fund expenses during the period from July to October 2007 (Table III):

**Table III**  
**Director's Special Fund Details**  
**July 2007 - October 2007**  
**Amounts in US\$**

<b>Activity number</b>	<b>Title</b>	<b>Amount</b>
07DSF01-0294	III Regional Conference on Global Change - South America	6.732,51
07DSF01-0295	Goettingen Summer School	8.634,97
<b>Total DSF:</b>		<b>15.367,48</b>

The activities under the DSF have increased compared to the previous year, when few activities were funded.

## 2) Cash Composition and Core Budget Reserves

The cash balance on September 30, 2007 was 21.6% lower than the ending balance at the end of fiscal year 2006/2007; expenses continue to be tightly controlled and some countries continue to pay for previous year's contributions, however at beginning of 2007/2008 the IAI has temporarily covered program funds with reserves, before requesting the funds from the NSF, this to avoid high NSF cash balances at the end of the quarter.

In the previous fiscal year, the grant to cover the U.S. Contribution did not open in Fastlane, making it impossible to withdraw the funds. This issue was corrected at the beginning of July 2007, and the funds for the 2006/2007 were partially withdrawn (70%). These funds fall into the 2007/2008 fiscal year cash-flow.

**Cash Reconciliation**  
At the end of Sep-07  
Amounts in US\$

	Jun-07	Sep-07	Variance
Program Funds	326.921,58	228.117,34	-30,2%
IAI CB Funds	394.837,75	337.796,40	-14,4%
<b>Total Cash</b>	<b>721.759,33</b>	<b>565.913,74</b>	<b>-21,6%</b>

This level of reserves (IAI Core Budget Funds) would cover 4 months of operations with the current annual budget level of \$1,015,000 (Fiscal Year 2007/2008 budget approved by the 14<sup>th</sup> CoP in Manaus, June 2007). A verbal agreement was reached with NSF Finance, to get the contributions up-to-date within an 18-month period.

If the funds for the U.S. contribution for 2006/2007 and 2007/2008 were taken into consideration in terms of reserves (there is another contribution approved for 2007/2008), the IAI Core Budget Funds would cover 13.3 months of operation at the current US\$1,015,000 budget level, however the weakening of the US dollar in Real terms is likely increase the level of expenses.

The effort to increase the level of contributions by broadening the country base is an on-going process and the results show in fiscal year

2006/2007, with member countries making efforts to participate more actively in the IAI and some of those that had not paid their contributions for some time, are trying to catch up on their commitments.

Alternative funding is also being sought by the Directorate, currently the MacArthur Foundation proposal, the IDRC proposal, and other initiatives have been approved and will expand the IAI's funding base, for projects as well as contributions to the operational expenses of the Institute as part of overheads in the proposals.

These initiatives, and any other in which the IAI is able to engage, require efforts by all the staff of the directorate, as the increased activities will be initiated before the funds arrive, i.e. before any operational relief in terms of additional administrative support. The staff of IAI is committed to make the extra effort in furthering the Directorate's reach and are engaged to assume the increased functions.

### **3) Status of the Program Budget as of October 31, 2007**

#### a) Collaborative Research Network I (CRN - I)

Although the CRN I program was already officially closed, in February 2007 the Directorate was granted an additional no-cost extension request from the US-NSF to utilize remaining funds for continued synthesis and dissemination of CRN I activities, particularly program results that have become available only after the closure of the program. Under this no-cost extension, the following activities are planned or are being completed:

- Publication of the Spanish version of the IAI-SCOPE book, including translation, editing, proof-reading and 200 printed copies and 250 additional copies of the English Edition.
- Purchase and mailing of the IAI-SCOPE book (Island Press has sent copies to the CRN I PIs, Co-PIs, IAI country delegates and SAC members).
- CRN 001 (Menezes, Tiessen) / CRN 003 (Luckman): three publications from dissertations finalized after program closure and a monograph on tropical tree-ring analysis.
- CRN 003 (Luckman): book-chapter in "Dendroclimatology: progress and prospects", Springer, plus 50 copies of the book to be distributed to regional dendro-labs and selected researchers/libraries.
- CRN 061 (Campos): publication of a 'Special Continental Shelf Edition' with ELSEVIER.
- Review and publication of workshop book on Application of Ecological Knowledge to Land Use Decisions (Costa Rica, Dec. 06).
- Other dissemination activities include the presentation of project results at international conferences.

Please refer to Annex 2 where you will find the details of the financial status of the program as of October 31, 2007.

#### b) Collaborative Research Network II (CRN - II)

The Collaborative Research Network II has been implemented, with all the grantees having signed the grant agreements and the Year 1 advances were transferred to the designated



accounts. The majority of the projects started in July 1<sup>st</sup>, 2006, with one starting in June 1<sup>st</sup>, 2006 and one starting September 1<sup>st</sup>, 2006.

The Year 1 financial and technical reports were received in August, 2007, and approved expenses were reported to NSF via Fastlane on the quarter ended Sept. 30, 2007.

At the end of the past fiscal year, two projects (2005-Sarmiento; 2021-Sanchez) had formally requested the interim funds as established in the IAI Project Management Manual. Interim technical reports and cash flow reports were submitted and approved; interim funds were transferred to the Principal Investigator's accounts to continue the project activities during Year 1 of CRN II, based on cash-flow reports provided.

The ten other projects sent their Technical and Financial Reports on time, and for these, eight received advances based on the reported expenses, cash balance, and forecast for the following year. Two projects did not receive additional funds as the activities had been delayed due to problems and delays in signing the sub-awards with the institutions of the Co-PIs; additional funds will only be sent once they can prove the advance in the projects according to the approved work-plans and can provide a sound forecast for the remaining funds.

A control model for CRN II operations was developed. This model includes all the relevant information by project, institution, country, as well as reported expenses, schedules of payment, annual technical reports to the NSF, contact lists, and other critical information. This model will be updated by the F&A Office and is being published on a shared drive as an up-to-date and authoritative source of information on the projects and the overall CRN II program. This is an important tool for control of the project from the scientific and financial points of view.

Annex 3 provides a detailed list of approved projects and its annual budgets; the reported expenses for Year 1 and the balance of the projects and overall program can be found in Annex 4.

c) Training Institutes on Interdisciplinary Global Environmental Change Sciences in the Americas

The Training Institute Seed Grant (TISG) program associated with the 2005 Training Institutes terminated in February of 2007. For the period February 2006-February 2007 the IAI supported 8 seed grants at a level of US\$ 9,000-15,000/each (total IAI investment of US\$ 110,000). Also, Canada's IDRC funded one TISG.

The Seed Grants program has been completed and technical reports have been submitted and approved. Two projects had remaining resources (approximately US\$ 5,000) that will be returned to NSF. IAI terminated one TISG contract agreement with the original Principal Investigator and his home institution, the Universidad Mayor de San Simón, Bolivia. IAI recovered the funds and took over the administration of the project with two leading co-PIs from Peru and Venezuela.

IAI submitted a final technical report to NSF with an initial assessment of the program, which was approved by NSF.

The funding details of these programs can be found in Annex 5.

d) IAI proposal to NSF for new Training Institutes

- IAI submitted a proposal to NSF to support new Training Institutes. The proposal has requested US\$ 300,000 for 3 Training Institutes for the period 2007-2009. The Training Institutes will explore science themes that are policy relevant to promote the science-policy interface.

e) Fundraising Efforts and Institutional Collaboration: MacArthur Foundation and IDRC

In an effort to diversify IAI funding base, the Institute approached the MacArthur Foundation, which has launched a program on Conservation and Sustainable Development. The announcement was a call for proposals inviting specific institutions to work with. The Foundation invited 3 organizations (CAN, IUCN and IAI) to submit a proposal to conduct an

assessment of the impacts of climate change on biodiversity in the Andes. Total funding available is for 2 years at a level of US\$450,000.

In July 2007 MacArthur announced that IAI's proposal passed its merit review. The final decision from the MacArthur's board of directors was favorable and we are now waiting on the MacArthur Legal Department to send us the contract.

In September 2007, IDRC also confirmed that the project proposal from IDRC was close to approval.

f) Small-Grants Program for Human Dimensions (SGP-HD)

In response a SAC recommendation to include more human dimensions research in its portfolio, the IAI has applied for and received a grant from the United States National Science Foundation (NSF) for US\$ 800,000 dollars for a 2-year period with which it has launched a new call for proposals under the Small Grants Program for Human Dimensions (SGP-HD award# 0642841) on 26 January 2007.

Six proposals were recommended for funding to the IAI Conference of the Parties (COP) at their 14th meeting in Manaus, Brazil, 14-15 June 2007. An additional two proposals were recommended for funding using funds under CRN II due to their inherent links to CRN II projects and following a recommendation made by the SAC during the CRNII review (July 2005). The COP approved the recommended package.

Grant agreements have been prepared for the approved projects. At the end of October 2007, four of the six project agreements have been signed and the funds for the first year transferred. The details of the program can be found on Annex 7.

g) Other activities planned for 2007/2008 – Training Institutes

## 4) Administrative Area

### a) Changes to Administrative Processes / Internal Controls

- Employee Handbook

The changes to the forms and approved policies are being compiled and attached to the manual as a new annex. The manual is up-to-date with all changes.

- Accounting Manual

One of the items included in the Management Checklist from the FAC is the Accounting Manual; a draft will be sent for review of the members of the FAC at the end of 2007.

- Improvements in the Personnel Files

A weakness, detected and detailed in the Management Checklist, had been the opportunity areas in the employee personal files within IAI. The suggestions of the FAC have been incorporated in the files. The next review by the FAC will include this item.

- Attendance/Leave Control and Balances

The web-based automated system is being tested. The prototype is expected to be functional and implemented in 2008.

- Brazilian Staff provided by INPE

Currently the IAI is paying nearly 50% of the salaries of the staff provided by Brazil, as the funding provided for these three positions is no longer enough to cover salaries at current market rates for the level of qualification required and detailed in the Host Country Agreement.

The situation has been discussed with INPE and the first steps towards a re-negotiation of the contract have been taken, however a final decision or outcome has not been attained. The president of the EC has been involved in the first contacts and discussion.

Recently, INPE informed IAI that the contract with Premium was being cancelled and a new bid for contracts would be put in place beginning 2008 and IAI would get the chance to review the bid details before it is published.

- Internal Controls

The Internal Controls have yielded favorable reviews from the External Auditors and the FAC. These controls remain in place, being reviewed and updated where opportunities for improvement are detected. Currently there are no Internal Control Issues outstanding either from the FAC or the External Auditors.

## **5) External Audit of the IAI as of June 30, 2007**

In October 2006, BDO Trevisan Auditores Independientes was chosen by the IAI to perform the external audit review of the IAI for the next three fiscal years (2005/2006, 2006/2007, and 2007/2008).

The audit for the fiscal year 2006/2007 was conducted during September 2007 and it included the review of accounting entries, internal controls, legal compliance, and opportunity areas in any of those. The results of the external audit review were favorable and they issued an opinion without qualification.

As requested by the FAC in May 2007, emphasis was made in a more detailed Management Letter from the independent auditors, as the one provided for the 2005/2006 financial statements was overly summarized and did not provided too many details.

The final report will not be received before the EC Meeting in Washington D.C.; however, based on the preliminary results presented to the FAC, and the resolution of the pending items after the audit, we expect an un-qualified report without internal control issues.

The previous qualifications raised by KPMG (former external auditors) were had been resolved in the 2005/2006 audit report.

The next audit by BDO Trevisan has been scheduled for September 2008; at this point the financial statements for the period July 2007 to June 2008 will be evaluated, as well as project management, internal controls and adherence to the Employee, Purchasing, and Project Manuals. We expect a favorable review in the next audit as well.

## ANNEX 1: History of Member Country Contributions

### Core Budget - 2006 / 2007 Status of Country Contributions as of October 31, 2007

	From Year	1994	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	
	To Year	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	Outstanding
<b>Argentina</b>	Full	40%	40%	40%	Full	70%	6%	30%	150%		200%		2,1 years	
<b>Bolivia</b>														6 years
<b>Brazil</b>	Full	Full	Full	57%	162%	Full	Full	Full	46%	123%	194%	94%	07/08 Advance	
<b>Canada</b>	Full	Full	Full	41%	89%	148%	Full	Full	Full	Full	102%		1 year	
<b>Chile</b>	Full	Full	C	C	C	C	300%	C	200%	200%	Full	300%	08/09 Advance	
<b>Colombia</b>		Full	Full	Full					Full	Full	Full		5 years	
<b>Costa Rica</b>	Full	C	C	C	C	358%	405%	Full	Full	40%			2,4 years	
<b>Cuba</b>	Full	Full	Full	C	199%			Full	Full				5 years	
<b>Dominican Republic</b>													11 years	
<b>Ecuador</b>					C	Full	200%	Full					7 years	
<b>Guatemala</b>													11 years	
<b>Jamaica</b>		Full	Full		Full	Full	Full	Full	Full				4 years	
<b>Mexico</b>	Full	23%	29%	33%	41%	241%	C	179%	181%	110%	Full		-	
<b>Panama</b>	Full	Full	Full	Full	C	C	Full	Full	Full	300%	Full		1 year	
<b>Paraguay</b>													12 years	
<b>Peru</b>	15%	C	C	C	C	C	240%	C	C	33%	200%	533%	2,7 years	
<b>Uruguay</b>	Full	Full											10 years	
<b>USA (*)</b>	Full	Full	Full	Full	Full	Full	Full	Full	Full	Full	Full		-	
<b>Venezuela</b>	Full	46%	53%	68%	8%			33%	42%	74%	42%		7,2 years	

Note: numbers represent a percentage of the current contribution, i.e. Colombia in 2007/2008 paid for the equivalent of 3 annual contributions

#### Codes:

	= Not a member
	= Paid more than current year (arrears or advances)
	= Payment not received for year
C	= Contribution paid in other year (arrears)

## ANNEX 2: Collaborative Research Network I

#	PI	Revised Budget	Payments	Primary Available Balance	Reported Expenses	Revised Available Balance
1	H Tiessen	773.344	749.822,22	23.521,78	749.823,00	23.521,00
3	B Luckman	776.666	776.666,00	-	776.666,00	-
9	C Wood	617.431	620.420,00	(2.989,00)	620.420,00	(2.989,00)
12	O Sala	779.197	779.197,28	(0,28)	773.858,00	5.339,00
26	M Vernet	776.121	776.121,00	-	776.119,00	2,00
31	A Lavel	764.568	668.789,60	95.778,40	686.118,00	78.450,00
38	P Cornejo	765.824	315.653,51	450.170,49	315.653,51	450.170,49
40	J Silva	527.250	498.409,00	28.841,00	498.409,00	28.841,00
47	M Mc. Clain	748.019	730.582,00	17.437,00	730.582,00	17.437,00
48	UConfalonieri	742.210	655.616,50	86.593,50	665.383,00	76.827,00
55	M Nuñez	774.598	691.175,72	83.422,28	691.196,00	83.402,00
61	E Campos	776.042	778.858,00	(2.816,00)	778.857,00	(2.815,00)
62	T Baumgartner	775.720	775.720,00	-	775.720,00	-
73	V Magaña	276.989	239.393,61	37.595,39	239.554,00	37.435,00
<b>Sub-Total</b>		<b>9.873.979</b>	<b>9.056.424</b>	<b>817.554,56</b>	<b>9.078.358,51</b>	<b>795.620,49</b>
<b>CRN Management</b>		<b>538.400</b>	<b>649.719,00</b>	<b>(111.319,00)</b>	<b>649.719,00</b>	<b>(111.319,00)</b>
<b>CRN Panel</b>		<b>26.976</b>	<b>26.976,00</b>	<b>-</b>	<b>26.976,00</b>	<b>-</b>
<b>Funds not Allocated</b>		<b>46.315</b>		<b>46.315,00</b>		<b>46.315,00</b>
<b>Sub-Total</b>		<b>10.485.670</b>	<b>9.733.119,44</b>	<b>752.550,56</b>	<b>9.755.053,51</b>	<b>730.616,49</b>

IAI-SCOPE Ubatuba	05CRNI10-0274	124.811,99
Support to J. Muelbert	05CRNI10-0275	2.419,00
Concord Wkshp	05CRNI10-0276	35.000,00
GBF Meeting	05CRNI10-0277	5.000,00
APLBA-CPTEC Meeting	Grant Agreement	70.000,00
FUNDACRID	Allocation of Funds	125.000,00
START - YSC II	Approved by HT 20/May/06	10.000,00
SCOPE II	Allocation of Funds	70.000,00
Support to HS - IDGEC Conf	05CRNI10-0283	3.647,00
ESSP Meeting	06CRNI-0286	37.865,84
Beijing	05CRNI10-0286	13.057,62
Publications	05CRNI10-0286	22.128,51
	<b>TOTAL</b>	<b>10.273.983,47</b>
		<b>(149.385,00)</b>

Less: Contribution from Argentina	(149.385,00)			
<b>Contribution from the NSF</b>	<b>10.336.285</b>	<b>Expenses with NSF Funds</b>	<b>10.124.598,47</b>	<b>211.686,53 Funds not Used</b>



## ANNEX 3: Collaborative Research Network II

### CRN II Approved Budget & Balances Summary

By Project	2006-2007 Year 1	2007-2008 Year 2	2008-2009 Year 3	2009-2010 Year 4	2010-2011 Year 5	Total US\$
CRN 2005-Sarmiento	199.400	170.210	116.110	12.000	-	497.720
CRN 2014-Berbara	154.250	154.370	129.870	112.890	98.620	650.000
CRN 2015-Diaz	243.180	157.666	143.049	75.922	92.120	711.937
CRN 2017-Gallardo	210.890	219.170	214.550	146.890	-	791.500
CRN 2021-Sanchez	211.245	206.980	212.835	210.690	223.135	1.064.885
CRN 2031-Jobaggy	184.163	202.284	225.997	187.250	124.358	924.052
CRN 2047-Luckman	151.200	151.200	151.200	151.200	151.200	756.000
CRN 2048-Raga	99.400	131.800	111.800	-	-	343.000
CRN 2050-Liu	116.781	148.206	150.886	166.805	120.622	703.300
CRN 2060-Castellanos	151.012	153.016	142.831	133.128	-	579.987
CRN 2061-McClain	35.603	130.860	110.613	68.117	82.233	427.426
CRN 2076-Piola	184.906	240.441	241.515	166.396	94.448	927.706
<b>Total in US dollars</b>	<b>1.942.030</b>	<b>2.066.203</b>	<b>1.951.256</b>	<b>1.431.288</b>	<b>986.736</b>	<b>8.377.513</b>

By Expense Item	2006-2007 Year 1	2007-2008 Year 2	2008-2009 Year 3	2009-2010 Year 4	2010-2011 Year 5	Total US\$
Salaries for Investigators	125.200	155.398	136.801	87.300	66.981	571.680
Salaries for Students / Scholarships	550.839	741.161	686.836	511.646	301.434	2.791.916
Travel / Workshops	355.931	401.620	447.485	266.232	246.836	1.718.104
Equipment	312.368	85.015	22.759	5.600	4.701	430.443
Research Expenses / Materials & Supplies	395.293	412.873	390.730	351.855	178.307	1.729.058
Communications	16.919	17.469	17.868	16.068	13.968	82.292
Publication / Documentation / Dissemination	12.650	35.532	41.364	34.432	48.936	172.914
Administrative Support / Office Supplies	69.050	79.802	78.892	70.943	65.210	363.897
Overhead (Indirect project costs)	103.780	137.333	128.521	87.212	60.363	517.209
<b>Total in US dollars</b>	<b>1.942.030</b>	<b>2.066.203</b>	<b>1.951.256</b>	<b>1.431.288</b>	<b>986.736</b>	<b>8.377.513</b>
Overhead %	5,6%	7,1%	7,1%	6,5%	6,5%	6,6%

By Expense Item	2006-2007 Year 1	2007-2008 Year 2	2008-2009 Year 3	2009-2010 Year 4	2010-2011 Year 5	2011-2012 Year 6
<b>NSF Grant by Year</b>	<b>2.197.289</b>	<b>2.011.222</b>	<b>2.030.835</b>	<b>1.988.200</b>	<b>1.988.200</b>	<b>208.200</b>

<b>Total NSF Grant</b> (includes US\$100k UCAR Funds)	<b>10.423.946</b>
<b>IAI Administered funds</b> (Management, meetings, travel, others)	<b>(823.946)</b>
<b>Total Other Activities</b> (See separate sheet for details):	<b>(12.265)</b>
<b>Plus: Cuban Components</b> (financed by DSF, not CRN II)	<b>15.000</b>

<b>CRN II Un-Committed Funds</b>	<b>1.225.222</b>
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## ANNEX 4: Collaborative Research Network II

Advances - Expenses by Project	Current Advance Year				2	
<b>CRN 2005-Sarmiento</b>	<b>199.400</b>	<b>136.170</b>	-	-	-	<b>335.570</b>
Cummulative Advances	199.400	335.570	335.570	335.570	335.570	
Cumm. Reported Expenses	142.290	142.290	142.290	142.290	142.290	
<b>Balance</b>	<b>57.110</b>	<b>193.280</b>	<b>193.280</b>	<b>193.280</b>	<b>193.280</b>	
<b>CRN 2014-Berbara</b>	<b>154.250</b>	<b>123.500</b>	-	-	-	<b>277.750</b>
Cummulative Advances	154.250	277.750	277.750	277.750	277.750	
Cumm. Reported Expenses	120.940	120.940	120.940	120.940	120.940	
<b>Balance</b>	<b>33.310</b>	<b>156.810</b>	<b>156.810</b>	<b>156.810</b>	<b>156.810</b>	
<b>CRN 2015-Diaz</b>	<b>194.500</b>	<b>126.100</b>	-	-	-	<b>320.600</b>
Cummulative Advances	194.500	320.600	320.600	320.600	320.600	
Cumm. Reported Expenses	88.315	88.315	88.315	88.315	88.315	
<b>Balance</b>	<b>106.185</b>	<b>232.285</b>	<b>232.285</b>	<b>232.285</b>	<b>232.285</b>	
<b>CRN 2017-Gallardo</b>	<b>210.890</b>	<b>175.300</b>	-	-	-	<b>386.190</b>
Cummulative Advances	210.890	386.190	386.190	386.190	386.190	
Cumm. Reported Expenses	193.935	193.935	193.935	193.935	193.935	
<b>Balance</b>	<b>16.955</b>	<b>192.255</b>	<b>192.255</b>	<b>192.255</b>	<b>192.255</b>	
<b>CRN 2021-Sanchez</b>	<b>211.245</b>	<b>165.590</b>	-	-	-	<b>376.835</b>
Cummulative Advances	211.245	376.835	376.835	376.835	376.835	
Cumm. Reported Expenses	210.790	210.790	210.790	210.790	210.790	
<b>Balance</b>	<b>455</b>	<b>166.045</b>	<b>166.045</b>	<b>166.045</b>	<b>166.045</b>	
<b>CRN 2031-Jobaggy</b>	<b>147.300</b>	<b>161.800</b>	-	-	-	<b>309.100</b>
Cummulative Advances	147.300	309.100	309.100	309.100	309.100	
Cumm. Reported Expenses	128.686	128.686	128.686	128.686	128.686	
<b>Balance</b>	<b>18.614</b>	<b>180.414</b>	<b>180.414</b>	<b>180.414</b>	<b>180.414</b>	
<b>CRN 2047-Luckman</b>	<b>121.000</b>	<b>121.000</b>	-	-	-	<b>242.000</b>
Cummulative Advances	121.000	242.000	242.000	242.000	242.000	
Cumm. Reported Expenses	122.406	122.406	122.406	122.406	122.406	
<b>Balance</b>	<b>(1.406)</b>	<b>119.594</b>	<b>119.594</b>	<b>119.594</b>	<b>119.594</b>	
<b>CRN 2048-Raga</b>	<b>79.500</b>	<b>65.000</b>	-	-	-	<b>144.500</b>
Cummulative Advances	79.500	144.500	144.500	144.500	144.500	
Cumm. Reported Expenses	40.028	40.028	40.028	40.028	40.028	
<b>Balance</b>	<b>39.472</b>	<b>104.472</b>	<b>104.472</b>	<b>104.472</b>	<b>104.472</b>	
<b>CRN 2050-Liu</b>	<b>93.400</b>	-	-	-	-	<b>93.400</b>
Cummulative Advances	93.400	93.400	93.400	93.400	93.400	
Cumm. Reported Expenses	4.272	4.272	4.272	4.272	4.272	
<b>Balance</b>	<b>89.128</b>	<b>89.128</b>	<b>89.128</b>	<b>89.128</b>	<b>89.128</b>	
<b>CRN 2060-Castellanos</b>	<b>151.012</b>	<b>122.413</b>	-	-	-	<b>273.425</b>
Cummulative Advances	151.012	273.425	273.425	273.425	273.425	
Cumm. Reported Expenses	87.537	87.537	87.537	87.537	87.537	
<b>Balance</b>	<b>63.475</b>	<b>185.888</b>	<b>185.888</b>	<b>185.888</b>	<b>185.888</b>	
<b>CRN 2061-McClain</b>	<b>28.500</b>	<b>79.600</b>	-	-	-	<b>108.100</b>
Cummulative Advances	28.500	108.100	108.100	108.100	108.100	
Cumm. Reported Expenses	3.390	3.390	3.390	3.390	3.390	
<b>Balance</b>	<b>25.110</b>	<b>104.710</b>	<b>104.710</b>	<b>104.710</b>	<b>104.710</b>	
<b>CRN 2076-Piola</b>	<b>184.906</b>	<b>194.420</b>	-	-	-	<b>379.326</b>
Cummulative Advances	184.906	379.326	379.326	379.326	379.326	
Cumm. Reported Expenses	96.855	96.855	96.855	96.855	96.855	
<b>Balance</b>	<b>88.051</b>	<b>282.471</b>	<b>282.471</b>	<b>282.471</b>	<b>282.471</b>	
<b>Total:</b>	<b>1.775.903,00</b>	<b>1.470.893,00</b>	-	-	-	<b>3.246.796,00</b>
<b>Year Payment</b>	1.556.546,00	1.470.893,00	-	-	-	<b>3.027.439,00</b>
<b>Interim Funds</b>	219.357,00	-	-	-	-	<b>219.357,00</b>
<b>Cumm. Advances</b>	<b>1.775.903,00</b>	<b>3.246.796,00</b>				
<b>Cumm. Reported Expenses</b>	<b>1.239.443,39</b>	<b>1.239.443,39</b>				<b>1.239.443,39</b>
<b>Balance</b>	536.459,61	2.007.352,61				<b>2.007.352,61</b>

## ANNEX 5: Training Institutes on Interdisciplinary Global Environmental Change Sciences in the Americas

### TISG 2006/2007

#	PI	Contributions					Reported Expenses				Remaining Funds			
		IAI		Other	Interest Earned	Total	IAI	DSF Funds	Other	Total	IAI	DSF Funds	Other	Total
		TI funds	DSF Funds	IDRC			TI funds				TI funds			
J1	H. Ramires	12.800	1.600	-	-	<b>14.400</b>	(14.079)	(1.600)	-	<b>(15.679)</b>	-	-	-	-
J2	G. Rúa	4.500	-	10.000	1	<b>14.501</b>	(4.501)	-	(10.000)	<b>(4.501)</b>	-	-	-	-
J3	García / Aparicio	13.901	-	-	6	<b>13.907</b>	(14.106)	-	-	<b>(14.106)</b>	-	-	-	-
J4	R. Benjarán		-	-		-				-	-	-	-	-
P1	C. Slafztein	13.300	1.000	-	174	<b>14.474</b>	(8.904)	(624)	-	<b>(9.529)</b>	4.396	376	-	<b>4.945</b>
P2	N. Breuer	14.400	-	-	-	<b>14.400</b>	(10.898)	-	-	<b>(10.898)</b>	3.502	-	-	<b>3.502</b>
P3	G. Cruz	14.400	-	-	-	<b>14.400</b>	(14.559)	-	-	<b>(14.559)</b>	-	-	-	-
P4	R. Villarando		-	-		-				-	-	-	-	-
<b>Total</b>		<b>73.301</b>	<b>2.600</b>	<b>10.000</b>	<b>181</b>	<b>86.082</b>	<b>(67.047)</b>	<b>(2.224)</b>	<b>(10.000)</b>	<b>(69.272)</b>	<b>7.898</b>	<b>376</b>	<b>-</b>	<b>8.447</b>

## ANNEX 6: Brazil's In-kind Contributions to the IAI

### IAI Expenses Paid by INPE Amounts in US\$

Fiscal Year Budget Line	2004/2005 US\$	2005/2006 US\$	2006/2007 US\$
Fuel	1.649,04	3.025,04	3.680,07
Car Maintenance	-	1.008,97	789,83
Toll	-	-	-
Telephone / Fax	7.277,08	4.733,08	5.676,55
Xerox	2.287,53	2.320,12	1.058,59
Printing Services	-	654,95	-
Office Materials	828,38	226,11	518,38
Security	59.446,35	12.307,59	13.940,03
Cleaning / Maintenance Services	14.681,27	18.836,48	20.360,54
Salaries	65.879,54	79.782,71	93.803,84
Office Rent	20.205,32	31.858,45	34.464,20
Furniture Rent	7.737,27	6.157,52	6.570,60
Computer Equipment Rent	5.526,62	4.604,75	4.913,66
<b>Total</b>	<b>185.518,39</b>	<b>165.515,77</b>	<b>185.776,30</b>
Variance		-10,8%	12,2%

### IAI Expenses Paid by INPE Amounts in R\$

Fiscal Year Budget Line	2004/2005 R\$	2005/2006 R\$	2006/2007 R\$
Fuel	4.272,47	6.810,29	7.712,33
Car Maintenance	-	2.294,83	1.686,09
Toll	-	-	-
Telephone / Fax	19.565,58	10.593,20	12.037,24
Xerox	6.246,12	5.197,68	2.225,76
Printing Services	-	1.547,09	-
Office Materials	2.276,16	500,55	1.082,59
Security	161.230,52	27.583,32	29.249,84
Cleaning / Maintenance Services	39.790,28	42.215,64	42.718,71
Salaries	178.806,12	178.806,12	196.946,00
Office Rent	54.840,00	71.400,00	72.384,00
Furniture Rent	21.000,00	13.800,00	13.800,00
Computer Equipment Rent	15.000,00	10.320,00	10.320,00
<b>Total</b>	<b>503.027,25</b>	<b>371.068,72</b>	<b>390.162,56</b>
Variance		-26,2%	5,1%

## ANNEX 7: Small Grant Program for the Human Dimensions (SGP-HD)

### SGP-HD Approved Budget & Balances Summary

By Project	2007-2008 Year 1	2008-2009 Year 2	2009-2010 Year 3	2010-2011 Year 4	2011-2012 Year 5	Total US\$
SGPHD-003 Meza	63.995	63.995	-	-	-	127.990
SGPHD-004 Gauthier	74.910	74.910	-	-	-	149.820
SGPHD-005 Varady	73.643	73.642	-	-	-	147.285
SGPHD-008 Pfaff	54.925	54.925	-	-	-	109.850
SGPHD-009 Tourrand	79.000	79.000	-	-	-	158.000
SGPHD-014 Fraisse	75.000	-	-	-	-	75.000
<b>Total in US dollars</b>	<b>421.473</b>	<b>346.472</b>	-	-	-	<b>767.945</b>

By Expense Item	2007-2008 Year 1	2008-2009 Year 2	2009-2010 Year 3	2010-2011 Year 4	2011-2012 Year 5	Total US\$
Salaries for Investigators	22.920	11.803	-	-	-	34.723
Salaries for Students / Scholarships	149.763	131.255	-	-	-	281.018
Travel / Workshops	128.721	91.614	-	-	-	220.335
Equipment	4.250	3.250	-	-	-	7.500
Research Expenses / Materials & Supplies	51.100	50.600	-	-	-	101.700
Communications	5.550	6.800	-	-	-	12.350
Publication / Documentation / Dissemination	14.850	16.350	-	-	-	31.200
Administrative Support / Office Supplies	13.619	11.600	-	-	-	25.219
Overhead (Indirect project costs)	30.700	23.200	-	-	-	53.900
<b>Total in US dollars</b>	<b>421.473</b>	<b>346.472</b>	-	-	-	<b>767.945</b>

	2007-2008 Year 1	2008-2009 Year 2	2009-2010 Year 3	2010-2011 Year 4	2011-2012 Year 5	2011-2012 Year 6
<b>NSF Grant by Year</b>	<b>400.000</b>	<b>400.000</b>	-	-	-	-

<b>Total NSF Grant</b>	<b>800.000</b>
<b>IAI Administered funds</b> (Management, meetings, travel, others)	<b>(4.450)</b>
<b>Total Other Activities</b> (See separate sheet for details):	-
<b>Plus: Cuban Components</b> (financed by DSF, not SGP-HD)	-

<b>SGP-HD Un-Committed Funds</b>	<b>27.605</b>
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